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(54) Title: ERYTHROPOIETIN MUTEINS WITH ENHANCED ACTIVITY

(57) Abstract

Novel modifications of erytropoietin (Epo) which improve the biological activity of the protein are provided. The modified Epo proteins (Epo muteins) may be used in the same manner as has been demonstrated for wild type Epo, except that relatively smaller doses are required due to the enhanced biological activity. Methods of using the Epo muteins for treatment of blood disorders are provided. A method of obtaining additional Epo muteins with enhanced biological activity is also provided.

Erythropoietin Muteins With Enhanced Activity

Cross-Reference to Related Applications

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This application is a continuation-in-part of U.S. Patent Application Serial No. 08/049,802 filed April 21, 1993 which is incorporated herein by reference.

Background

10   This invention relates to erythropoietin in general and more particularly to modified erythropoietin proteins (erythropoietin muteins) having improved biological activity.

Erythropoietin (hereafter referred to as Epo) is a glycoprotein hormone which, in its mature form in humans, is 166 amino acids long with a

15   molecular weight of 34 to 38 kD (Jacobs *et al., Nature 313*: 806-809 (1985). Epo occurs in α, β, and asialo forms which differ slightly in their carbohydrate composition and biological activity (Dordal *et al., Endocrinology 116:* 2293-2299 (1985)).

In terms of biological function, Epo has been recognized as the

20   hematopoietic cytokine that regulates the process of red blood cell production, known as erythropoiesis. Erythropoiesis is a controlled physiological process which normally produces red blood cells in numbers which do not impede blood flow, but which are sufficient for oxygen transport.

The binding of Epo to its cognate receptor (D'Andrea, A.D., *et al.,*

25   *Cell 57*:277-285 (1989)) on erythroid precursor cells in the bone marrow results in salvaging these cells from programmed cell death, known as

apoptosis (Koury, M.J., *et al., Science 248*:378-381 (1990)), allowing them
to proliferate and differentiate into circulating erythrocytes (red blood cells).
Epo thus facilitates the formation of erythrocytes from erythroid precursor
cells.

5   The level of Epo in circulation normally controls the rate of red blood
cell production in the body. Typically, Epo is present at a low plasma
concentration sufficient to maintain a steady state concentration of red blood·
cells by stimulating formation of just enough red blood cells to replace those
lost through normal processes, such as aging. However, when an increase in
10   the production of red blood cells is needed, the amount of Epo in circulation
is increased. This red blood cell deficit may be caused, for example, by
anemia, the general loss of blood through hemorrhage, over-exposure to
radiation, prolonged unconsciousness, or exposure to high altitudes where
oxygen intake is reduced.

15   In mammals, Epo is produced in the fetal liver and adult kidney,
circulates in the bloodstream, and binds to receptors on committed progenitor
cells in the bone marrow and other hematopoietic tissues, resulting in
proliferation and terminal maturation of erythroid cells (Jelkmann, W.,
Physiol. Rev. 72:449 (1992)). The expression of Epo, both mRNA and
20   protein, is markedly increased by hypoxia, owing to a 3' enhancer and to
highly conserved elements in the promoter region (Semenza, G.L. *et al.,
Proc. Natl. Acad. Sci. USA 88*:5680-1684 (1988); Beck, I. *et al., J. Biol.
Chem. 266*:15563-15566 (1991); Pugh, C.W. *et al., Proc. Natl. Acad. Sci.
USA 88*:10553-10557; Blanchard, K.L. *et al., Mol. Cell Biol. 12*:5373-5385
25   (1992)). This elegant servomechanism enables Epo to regulate the red cell
mass of man and other animals.

Genes encoding Epo from a number of species have been studied.
Thus far, the Epo genes of man (Jacobs, K. *et al., Nature 313*:806-10 (1985);
Lin, F.-K. *et al., Proc. Natl. Acad. Sci. USA 82*:7580-7584 (1985)), a
30   monkey (*Macaca fascicularis*) (Lin, F.-K. *et al., Gene 44*:201-209 (1986)) and
a rodent, the mouse (McDonald, J.D. *et al., Mol. & Cell Biol. 6*:842-848

(1986); Shoemaker, C.B. *et al.*, *Mol. & Cell Biol.* 6:849-858 (1986)) have been cloned, sequenced, and expressed. There is a high degree of sequence homology in the coding region of the mature secreted proteins from these species.

5   In particular, the gene encoding human Epo has been cloned and used to produce recombinant Epo in cell culture. Recombinantly produced human Epo has general utility as a substitute for Epo isolated from natural sources. In addition, recombinant Epo has facilitated the production of large amounts of Epo free from deleterious substances associated with naturally derived Epo,

10   which has allowed for greater availability and utility of this protein.

Recombinantly produced Epo has proven especially useful for the treatment of patients suffering from impaired red blood cell production (Physicians Desk Reference (PDR), 1993 edition, pp 602-605). Recombinant Epo has proven effective in treating anemia associated with chronic renal

15   failure and HIV-Infected individuals suffering from lowered endogenous Epo levels related to therapy with Zidovudine (AZT) (See PDR, 1993 edition, at page 602).

Modifications of the Epo protein which would improve its utility as a tool for diagnosis or treatment of blood disorders are certainly desirable. In

20   particular, modified forms of Epo exhibiting enhanced biological activity would be more effective and efficient than native Epo in the therapy setting when it is necessary to administer Epo to the patient, enabling administration less frequently and/or at a lower dose. Administration of reduced amounts of Epo would also presumably reduce the risk of adverse effects associated with

25   Epo treatment, such as hypertension, seizures, headaches, etc. (See PDR, 1993 edition, at pp. 603-604).

Unfortunately, available information regarding the structure of the Epo enzyme and its function does not permit the accurate prediction of modifications which would result in such improvements. In fact, the highly

30   conserved nature of the amino acid sequence of Epo indicates that this protein is, for the most part, resistant to change and that most modifications would be

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expected to have deleterious effects on Epo and its activity (See U.S. Patent No. 4,835,260 by Shoemaker).

Some speculation does, however, exist regarding the possibility of creating variants of Epo with altered characteristics that would be preferable to the wild type protein for particular purposes. A number of modifications of Epo have been proposed, including deletions, additions, and substitution of existing amino acids. These modifications have been proposed as a means of achieving a variety of effects, including separation of various Epo functions on individual protein fragments, improving the efficacy of recombinant Epo production, improving *in vivo* stability, etc. Of particular relevance to the present invention are those modifications proposed to improve the biological activity (i.e. ability to stimulate red blood cell production) of Epo.

U.S. Patent No. 4,703,008 by Lin, F-K. (hereinafter referred to as "the '008 patent") speculates about a wide variety of modifications of Epo, including addition, deletion, and substitution analogs of Epo. The '008 patent does not indicate that any of the suggested modifications would increase biological activity *per se*, although it is stated that deletion of glycosylation sites might increase the activity of Epo produced in yeast (See the '008 patent at column 37, lines 25-28). Also, the '008 patent speculates that Epo analogs which have one or more tyrosine residues replaced with phenylalanine may exhibit an increased or decreased receptor binding affinity.

Australian Patent Application No. AU-A-59145/90 by Fibi, M *et al.* (herein referred to as "Fibi") also discusses a number of modified Epo proteins (Epo muteins). Fibi generally speculates about the alteration of amino acids 10-55, 70-85, and 130-166 of Epo. In particular, additions of positively charged basic amino acids in the carboxyl terminal region are purported to increase the biological activity of Epo.

U.S. Patent No. 4,835,260 by Shoemaker, C. B. discusses modified Epo proteins with amino acid substitutions of the methionine at position 54 and asparagine at position 38. Such Epo muteins are thought to have improved

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stability but are not proposed to exhibit any increase in biological activity relative to wild type Epo.

Beyond the few proposed modifications that have been speculated to enhance the biological activity of Epo, the art provides no further guidance regarding how Epo can be modified to increase its biological activity. This lack of guidance indicates that, based upon the present state of knowledge, other modifications of Epo which may increase biological activity cannot be predicted and may not even exist.

The present invention represents the inventors' achievement in overcoming the lack of guidance and unpredictability regarding modifications of the Epo protein which increase its biological activity.

Summary Of The Invention

It is therefore an object of the present invention to provide Epo muteins with enhanced biological activity.

It is a further object of the present invention to provide recombinant DNA encoding Epo muteins and methods of producing biologically active Epo muteins in a host cell culture using such recombinant DNA.

It is a further object of the present invention to provide methods of treating blood disorders involving abnormally low red blood cell populations using Epo muteins at dosages lower than that required for unmodified Epo to achieve the same affect.

It is a further object of the present invention to provide a method of obtaining additional Epo muteins with enhanced biological activity.

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Brief Description Of The Drawings

Figure 1. **Oligonucleotide Primer Sequences.** Oligonucleotide primers corresponding to Epo DNA sequences are shown. The localization of the primer coincides with previously published nucleotide sequences for the

5   human (Lin, F.-K. *et al.*, *Proc. Natl. Acad. Sci. USA* 82:7580-7584 (1985)) and murine (McDonald, J.D. *et al.*, *Mol. & Cell Biol.* 6:842-848 (1986)) Epo genes. EX2R and EX5 are not completely conserved between human and mouse (respectively 93% and 95% identity). An equal amount of each possible nucleotide was incorporated during the corresponding cycles of those

10   primer syntheses. EX2R and NCO1 are reverse primers and their sequences represent the antisense DNA strand.

Figure 2. **PCR Strategy used for the cloning of mammalian cDNAs containing the complete coding sequence of the mature Erythropoietin Protein.** Genomic amplification and sequencing of exonic

15   fragments, localized upstream and downstream from the nucleotide portion coding for the mature protein, allowed the design of species specific primers (SP). Utilization of those SP primers and/or of, sequences that are 100% conserved between man and mouse 5' ATG and 3' NCO1 primers (Figure 1) on cDNA templates prepared from kidney of uninduced or hypoxia-induced

20   animals, allows the amplification of a large variety of mammalian Epo clones. Sense (→) and antisense (←) primers are represented by the arrows. Dashed boxes correspond to the coding part (propeptide and mature protein) of the five Epo exons. Gray boxes represent the 5' and 3' untranslated regions.

Figure 3. **Comparison of IV1/EX2R sequences from various**

25   **mammals.** The numbering corresponds to the published human genomic sequence (Lin, F.-K. *et al.*, *Proc. Natl. Acad. Sci. USA* 82:7580-7584 (1985)). The reported human sequence was obtained from the amplification of purified genomic DNA from Hep3B cells and agreed with the sequence previously reported. The mouse sequence is from McDonald, J.D. *et al.*,

30   *Mol. & Cell Biol.* 6:842-848 (1986). The horse sequence was obtained from

kidney-extracted genomic DNA. All the other sequences were established from PCR amplification of genomic DNAs purified from several mammalian renal-derived cell lines. The consensus sequence indicates the positions where a unique nucleotide was found in all the reported species. The boundary

5   between intron 1 and exon 2 is represented by the ascending arrows. The locations of the two PCR primers, IVI and EX2R are shown by the dashed arrows.

    Figure 4.   Alignment of the nucleotide sequences of mammalian Epo cDNAs. The mouse sequence corresponds to the one previously reported

10   (McDonald, J.D. *et al., Mol. & Cell Biol.* 6:842-848 (1986)). PCR-produced human sequence was obtained from hypoxia-induced Hep3B cell line and is in total agreement with the previously published sequence (Jacobs, K. *et al., Nature* 313:806-810 (1985); Lin, F.-K. *et al., Proc. Natl. Acad. Sci. USA* 82:7580-7584 (1985)). Monkey (*Macaca mulatta*), rat, sheep, pig and cat

15   were amplified from kidney-purified cDNAs. Amplifications of the human, monkey, rat and sheep were realized using the ATG (residues 1 to 20) and NCO1 (residues 723-742) primers. The line under residues 41 to 56 represents the specific SP1 primer used for the cloning of the pig and cat sequences. For these two mammals, 5' sequences for SP1 are derived from

20   the data presented in Figure 3. Arrows indicate the start and the end of the coding sequence (propeptide and mature protein).

    Figure 5.   Predicted Amino Acid Sequences of Epo Propeptide. The amino acid sequences are derived from data obtained from both genomic IV1/EX2R and cDNA amplifications. The numbering corresponds to the

25   human sequence. Ala 1 is the first amino acid of the human mature protein. The ascending arrows show the site of the cleavage by the signal peptidase, as determined for the human and cynomolgus monkey proteins.

    Figure 6.   Alignment of the Primary Structures of mature mammalian Epo Proteins. The human, Macaca fascicularis and mouse

30   amino acid sequences were previously reported (Lin, F.-K. *et al., Proc. Natl. Acad. Sci. USA* 82:7580-7584 (1985); Lin, F.-K. *et al., Gene* 44:201-209

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(1986); McDonald, J.D. *et al.*, *Mol. & Cell Biol.* 6:842-848 (1986)). The
residue numbers correspond to the 166 aa of the mature human protein. Plain
boxes indicate the positions of the predicted four α-helices in the human
sequence (See Example II). Dashed boxes show the N- and O-glycosylation
5   sites. Limits between exons are indicated by the vertical lines.

Figure 6A.   Schematic   representation   of   the   mammal
erythropoietin. The invariant amino acids among the eight sequences shown
in Figure 6 are represented by the black boxes. The localization of each of
the four a-helices is underlined. The three glycosylation sites are shown as
10   diamonds. The main disulfide bridge is indicated by the heavy line connecting
noncontiguous sequences. The small arrows under the sequence indicate the
short SH-bridge, missing in the rodents.

Figures 7-7B.   Phylogenetic lineages derived from analyses of
mammalian Epo cDNA sequences encoding
15   the full length mature proteins from seven
species.

Figure 7.   Strength of groups in the maximum parsimony tree
found on examining all 945 unrooted trees formed by seven terminal taxa.
This tree of lowest length required 374 base substitutions. Each link between
20   ancestral nodes has a circled number; this strength of grouping number is the
minimum number of substitutions that must be added to the length of the
maximum parsimony tree to find a tree that breaks down the barrier (moves
one or more sequences) between the two groups separated by the interior link.

Figure 7A.   The phylogenetic tree derived from the maximum
25   parsimony reconstruction. On the basis of other molecular evidence
involving comparative amino acid sequence data from monotremes,
marsupials, and many eutherian species (Czelusniak, J. et al., *Meth. Enzymol.*
183:601-615 (1990); Czelusniak, J. *et al.*, In "Current Mammology",
Volume 2, 3rd ed., H. H. Ganoways, pp. 545-572, Plenum Publ. Corp.
30   (1990)) the root of this Epo phylogenetic tree is placed on the interior link
separating the artiodactyl group from the primate, rodent, cat group. The
numbers on the internodal links represent the numbers of base pairs by which

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the nodal ancestral and descendant sequences differ. MYA = millions of years ago, as inferred from paleontological views of eutherian phylogeny.

Figure 7B.   **Parsimony reconstruction on that portion of the cDNA sequences that are codons for amino acids.** The numbers shown as

5   a fraction on each link are, in the numerator, the number of amino acid changing base replacements and, in the denominator, the number of silent base replacements. The computer algorithm that carried out this calculation is · described in Czelusniak, J. *et al.*, *Nature* 298:297-300 (1982) (see the legend for Figure 2).

10   *Figures 8-8A.*   **Phylogenetic lineages derived from analyses of the mammalian Epo intron 1-exon 2 sequences.**

Figure 8.   **Strength of groups in the maximum parsimony tree found on examining all 135,135 trees formed by nine terminal taxa.** As

15   the two feloid sequences (those of cat and lion) are nearly identical, they were treated as a single taxon in this strength of grouping analysis. The maximum parsimony tree for the segment (about 285 bp) of intron 1 and exon 2 from ten species required 361 base substitutions. The circled numbers are the strength of grouping numbers (as defined in the Figure 7 legend).

20   *Figure 8A.*   **The near most parsimonious tree that groups dog with feloids.** This tree required 365 base substitutions. The numbers on links represent numbers of base pairs by which the nodal ancestral and descendant sequences differ.

Figures 9-9B.   *Model of the Three-Dimensional Structure of*
25   *Erythropoietin.*

Figure 9.   *Ribbon diagram of Epo tertiary structure.* The four α helices are labeled A to D; loops between helices are appropriately named. Disulfide bridges are shown and N- and O-glycosylation sites are indicated respectively by dark and dotted segments.

30   *Figure 9A.*   Schematic representation of Epo's primary structure depicting predicted up-up-down-down orientation of the four antiparallel α

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helices (boxes with arrowhead). This folding pattern is strongly suggested by the large size of the two interconnecting loops AB and CD. The limits of each helix were drawn according to Table II of Example I. A predicted short region of β-sheet is delineated by the dashed rectangle. The N-glycosylation

5   sites are represented by the dotted diamonds, the O-glycosylation site by the dashed oval. The locations of the two disulfide bridges are shown as solid lines.

Figure 9B.   Cross-section of the Epo molecule at the level of the four α helices. The helical wheel projections are viewed from the NH_2-end

10   of each helix. The hydrophobic residues, localized inside the globular structure, are indicated by filled circles. The charged and neutral residues (open and gray circles respectively) are exposed at the surface of the molecule.

Figure 10.   Immunoprecipitations of wild type Epo and the Δ140-144 mutein. Cos7 cells were transfected with pSG5, pSG5-Epo/wt or

15   pSG5-Epo/Δ140-144. After three days, the cells were metabolically labeled with $[^{35}S]$-methionine and $[^{35}S]$-cysteine. Immunoprecipitations of cellular extracts and supernatants were performed with our polyclonal antibody, raised in rabbit against the native human Epo. The immunoprecipitates were analyzed by SDS-polyacrylamide gel electrophoresis. Lanes 2 to 4 correspond

20   to cellular extracts, and lanes 5 to 7 correspond to culture supernatants, from Cos7 transformed with the following: plasmid without insert (lanes 2 and 5), wild type Epo (lanes 3 and 6), and Δ140-144 (lanes 4 and 7). Lane 1 represents the protein molecular weight standard. The two arrows show the normal secretion of the wild type Epo (35-37 kD) and the cytoplasmic

25   retention of the mutein Δ140=144 (~28 kD).

Figures 11-11B.   Interconnecting Loop AB.

Figure 11.   Schematic representation of the loop AB showing the localization of muteins with various deletions and amino acid replacements. The dashed arrows point to the positions of the serine substitutions (in Δ48-52). The two N-glycosylation sites are represented by the gray diamonds. The small Cys29=Cys33 disulfide bridge is indicated.

Figure 11A.   Amount and biological activities of secreted muteins. The upper bar graphs show the relative secretion of wild type and loop AB muteins as determined by radioimmunoassay. The lowest bar graphs display the calculated specific activity (ratio bioassay/RIA) for each mutein, in comparison with the value obtained for the wild type Epo (ratio = 100%).

Figure 11B.   HCD57 cell proliferation as a function of increasing concentration of wild type and serine-substituted Epo muteins. HCD57 cells (10^4 per ml) were cultured for three days in a 96 well microtiter plate with media containing increasing concentrations of secreted proteins (mU=milli-units of Epo, a relative mass measurement). The line graphs show the cellular growth as measured by ^{3}H-thymidine uptake for cells cultured with wild type Epo (O), Epo mutein F48S (◇), Epo mutein Y49S (X), Epo mutein A50S (◆), Epo mutein W51S (□), and Epo mutein K52S (▲). The number of viable cells was also measured with the MTT colorimetric assay and gave similar curves. Proliferation experiments using the human UT-7/Epo cell line (Komatsu, N., *et al.*, *Cancer Res.* *51*:341-348 (1991)) and the Krystal assay (Krystal, G., *Exp. Hematol.* *11*:649-60 (1983)) produced identical results.

Figures 12-12A.   Interconnecting Loop CD.

Figure 12.   Schematic representation of the loop CD showing the location of three deletion muteins: Δ105-109, Δ111-119, Δ122-126, and the insertion of seven residues after Lys116 (myc epitope). The O-glycosylation site is indicated by the dashed oval.

Figure 12A.   Secretion and biological activities of the muteins located in loop CD. The two bar graphs were created as described in

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Figure 11A. The two mutants Δ111-119 and 116/myc were normally secreted and had full biological activities.

Figures 13-13A.   In Vitro Translation of the Epo Wild Type.

Figure 13.   Analysis of the ³⁵S-labeled translation products by

5   SDS-PAGE. One-step transcription/translation reactions were performed in the SP6-TnT rabbit reticulocyte lysate system. 1/30 of each reaction was resolved on a 15% polyacrylamide gel. Lane 1-low Mr standard from Amersham; lane 2-*in vitro* reaction without added plasmid; lanes 3 and 4 are translation products obtained after incubation of 1 µg of circular p64T-Epo,

10   in the presence or absence of canine pancreatic microsomal membranes, respectively.

Figure 13A. Binding of the in vitro translated Epo wild type onto Epo Receptor-GTS-agarose beads. 6x10³ cpm (counts per minute) of purified ³⁵S-labeled erythropoietin products, processed with microsomes (+)

15   or not (-) were incubated in the presence of the extra-cytoplasmic domain of the Epo receptor (ERE$_x$), following the protocol described by Harris, K.W., *et al., J. Biol. Chem.* 267:15205-15209 (1992). Identical binding demonstrated that the conservation of the propeptide did not impair the hormone/receptor interaction.

20   *Figures 14-14A.*   COOH-end of Epo.

Figure 14.   Schematic representation of the analyzed muteins, corresponding to the deletion of the four last amino acids Δ163-166 and the replacements of the residues 162 to 166 by a KDEL or poly (His) sequences.

Figure 14A. Relative secretion of these muteins. The bioactivities

25   in the supernatants (Krystal, G., *Exp. Hematol.* 11:649-60 (1983)) and the cell extracts (Komatsu, N., *et al., Cancer Res.* 51:341-348 (1991)) of transformed Cos7 cells were measured by *in vitro* proliferation assay using HCD57. More KDEL mutant remained in the cytosol of the Cos7, when compared with the wild type Epo and Δ163-166 or poly (His) muteins. However, all the

30   analyzed muteins had the same specific activity as that of the wild type.

Figure 15.   Bacterial Expression of Wild Type Epo.

Figure 15 Panel A. Diagram of the fusion protein. An NH_2-terminal 22 amino acid long peptide, containing a 10 histidine stretch, was fused to the mature erythropoietin sequence. Factor Xa cleavage allowed the

5   recovery of the mature Epo with only two extra residues at its amino terminus.

Figure 15 Panel B. IPTG induction of the fusion protein. Transformed *E. coli* BL21 (DE3) cultures (OD_{600}=0.6) were grown in presence of 1 mM IPTG. Aliquots were collected at 0 (lane 2), 1 (lane 3), 2 (lane 4) and 3 hours (lane 5) and analyzed on a 15% SDS-polyacrylamide gel,

10   stained with Coomassie Brilliant Blue. High level production of the fusion protein was rapidly obtained. Lane 6 corresponds to an aliquot from transformed bacteria grown for 3 hours in a medium without IPTG. Lane 1 is a low molecular weight standard.

Figure 15 Panel C. Purification of the fusion protein. After 3

15   hours of IPTG induction, the produced $(His)_{10}$-Epo was solubilized in 6 M guanidine-HCl and purified on a nickel affinity resin by increasing imidazole concentrations following the pET-His system protocol (Novagen). Samples of the column eluants were analyzed by SDS-PAGE and stained with Coomassie Brilliant Blue. Lane 1-elution by 20 mM imidazole; lane 2-elution by 100

20   mM imidazole, releasing the fusion protein; lane 3-chelation of the nickel by a 100 mM EDTA wash; lane 4-molecular weight standard.

Figure 15 Panel D. Detection of the **E. coli recombinant Epo on a Western blot.** Solubilized proteins were separated on a 15% SDS-polyacrylamide gel, transferred to a nitrocellulose membrane and probed with

25   a 1/2000 dilution of our native wild type polyclonal antibody, as described under Materials and Methods. Lane 1-analysis after oxidative reduction; lane 2-after dialysis against the factor Xa buffer; and lane 3-after factor Xa cleavage.

Figure 16.   Relationship Between Production of Muteins and

30   **Proposed Secondary Structure.** This bar graph shows the amount of secreted proteins in the supernatants of transiently expressed Epo mutants, as

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detected by radioimmunoassay. The muteins were aligned over a schematic representation of the native Epo molecule. Each deletion is shown as a stippled bar, the width of which is proportional to the number of residues deleted. The four α helices are represented by the black rectangles. The two

5   disulfide bridges are indicated. These mutagenesis results are in good agreement with our proposed four α-helical model of Epo.

Figure 17. Biological Activity of Helix A Muteins. The top panel shows a helical wheel projection of Epo helix A with arrows indicating the positions where modifications were made to create muteins. The lower panel

10 is a dose response curve representing the biological activity of wild type Epo (□) and helix A muteins E13A (♦), R14A (■), E18A (◇), K20A (-*-), and E21A (□) over a range of dosages (X-axis; mU=milli-units of Epo, a relative mass measurement) as determined by the relative incorporation of [^{3}H]-thymidine measured in counts per minute (cpm) (Y-axis) into Epo dependent

15 HCD57 cells.

Figure 18. Biological Activity of Helix D Muteins. The top panel shows a helical wheel projection of Epo helix D with arrows indicating the positions where modifications were made to create muteins. The lower panel is a dose response curve representing the biological activity over a range of

20 dosages (X-axis) of wild type Epo (□) and helix D muteins D136A (♦), R139A (■), K140A (◇) and 143A (x) as determined by the relative incorporation of [^{3}H]-thymidine (Y-axis) into Epo dependent HCD57 cells.

Figure 19. Biological Activity of Muteins Adjacent to Helix D. The top panel shows the region adjacent to the C-terminal boundary of Epo

25 helix D with arrows indicating the positions where modifications were made to create muteins. The lower panel is a dose response curve representing the biological activity over a range of dosages (X-axis) of wild type Epo (□) and muteins adjacent to helix D K152A (♦), K154A (■), and Y156A (◇) as determined by the relative incorporation of [^{3}H]-thymidine (Y-axis) into Epo

30 dependent HCD57 cells.

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Figure 20. **Comparison of Muteins With Increased Biological Activity.** A dose response curve representing the biological activity of wild type Epo (-*-), and muteins R143A (□) and K154A (♦) with increased biological activity relative to wild type Epo is shown over a range of dosages

5   (X-axis) as determined by the relative incorporation of [^{3}H]-thymidine (Y-axis) into Epo dependent HCD57 cells. n.

Figure 21. **Comparison of Muteins With Increased Biological Activity in Non-malignant Cells.** A dose response curve representing the biological activity of wild type Epo (-*-), and muteins R143A (□) and K154A

10   (♦) with increased biological activity relative to wild type Epo, is shown over a range of dosages (X-axis) as determined by the relative incorporation of [^{3}H]-thymidine (Y-axis) into murine spleen cells.

Figure 22. **Comparison of Muteins With Increased Biological Activity in a Human Cell Line.** A dose response curve representing the

15   biological activity of wild type Epo (x), and muteins R143A (□), and K154A (♦) with increased biological activity (relative to wild type Epo) is shown over a range of dosages (X-axis) as determined by the relative incorporation of [^{3}H]-thymidine (Y-axis) into human Epo-dependent UT-7/Epo cell line (Komatsu, N. *et al.*, *Cancer Res.* *51*: 341-348 (1991).

20   *Figure 23.* **Comparison of Muteins with Increased Biological Activity in a Human Cell Line.** A dose response curve representing the biological activity of wild-type Epo (□) and mutein N147A (♦) with increased biological activity (relative to wild-type Epo) is shown over a range of dosages (mU) (X-axis) as determined by the relative incorporation of [^{3}H]-thymidine

25   (Y-axis) into human Epo-dependent UT-7/Epo cell line.

Figure 24. **Comparison of Muteins with Increased Biological Activity in a Human Cell Line.** A dose response curve representing the biological activity of wild-type Epo (□) and mutein N147A (♦) with increased biological activity (relative to wild-type Epo) is shown over a range of dosages

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(mU) (X-axis) as determined by the relative incorporation of [³H]-thymidine (Y-axis) into human Epo-dependent UT-7/Epo cell line.

Figure 25. **Comparison of Muteins with Increased Biological Activity in a Human Cell Line.** A dose response curve representing the

5   biological activity of wild-type Epo (▣) and mutein N147A (♦,◪) with increased biological activity (relative to wild-type Epo) is shown over a range of dosages (mU) (X-axis) as determined by the relative incorporation of [³H]- · thymidine (Y-axis) into human Epo-dependent UT-7/Epo cell line.

Biological Activities of Helix A Muteins.

10   *Figure 26.* **Comparison of Muteins with Increased Biological Activity in a Human Cell Line.** A dose response curve representing the biological activity of wild-type Epo (▣) and mutein K20A with increased biological activity relative to the wild type Epo, is shown over a range of dosages (X-axis) as determined by the relative incorporation of [³H]-thymidine

15   (Y-axis) into UT-7 Epo cells.

Figure 27. **Comparison of Muteins with Increased Biological Activity in a Human Cell Line.** A dose response curve representing the biological activity of wild-type Epo (▣) and mutein Y49S with increased biological activity relative to the wild type Epo, is shown over a range of

20   dosages (X-axis) as determined by the relative incorporation of [³H]-thymidine (Y-axis) into UT-7 Epo cells.

Biological Activities of Helix B Muteins.

Figure 28. **Comparison of Muteins with Increased Biological Activity in a Human Cell Line.** A dose response curve representing the

25   biological activity of wild-type Epo (▣) and mutein A73G with increased biological activity relative to the wild type Epo, is shown over a range of dosages (X-axis) as determined by the relative incorporation of [³H]-thymidine (Y-axis) into UT-7 Epo cells.

Biological Activities of Helix D Muteins.

30   *Figure 29.* **Comparison of Muteins with Increased Biological Activity in a Human Cell Line.** A dose response curve representing the

biological activity of wild-type Epo (□) and mutein K140A with increased biological activity relative to the wild type Epo, is shown over a range of dosages (X-axis) as determined by the relative incorporation of [³H]-thymidine (Y-axis) into UT-7 Epo cells.

5   ***Figure 30a.*** **Comparison of Muteins with Increased Biological Activity in a Human Cell Line.** A dose response curve representing the biological activity of wild-type Epo (□) and mutein R143A with increased biological activity relative to the wild type Epo, is shown over a range of dosages (X-axis) as determined by the relative incorporation of [³H]-thymidine
10   (Y-axis) into UT-7 Epo cells.

        Figure 30b. **Comparison of Muteins with Increased Biological Activity in a Mouse Cell Line.** A dose response curve representing the biological activity of wild-type Epo (□) and mutein R143A with increased biological activity relative to the wild type Epo, is shown over a range of
15   dosages (X-axis) as determined by the relative incorporation of [³H]-thymidine (Y-axis) into HCD 57 cells.

        Figure 31. **Comparison of Muteins with Increased Biological Activity in a Human Cell Line.** A dose response curve representing the biological activity of wild-type Epo (□) and mutein S146A with increased
20   biological activity relative to the wild type Epo, is shown over a range of dosages (X-axis) as determined by the relative incorporation of [³H]-thymidine (Y-axis) into UT-7 Epo cells.

        Figure 32. **Comparison of Muteins with Increased Biological Activity in a Human Cell Line.** A dose response curve representing the
25   biological activity of wild-type Epo (□) and mutein N147A with increased biological activity relative to the wild type Epo, is shown over a range of dosages (X-axis) as determined by the relative incorporation of [³H]-thymidine (Y-axis) into UT-7 Epo cells.

        Figure 33a. **Comparison of Muteins with Increased Biological**
30   **Activity in a Human Cell Line.** A dose response curve representing the biological activity of wild-type Epo (□) and mutein K154A with increased

biological activity relative to the wild type Epo, is shown over a range of dosages (X-axis) as determined by the relative incorporation of [³H]-thymidine (Y-axis) into UT-7 Epo cells.

Figure 33b. **Comparison of Muteins with Increased Biological**

5   **Activity in a Mouse Cell Line.** A dose response curve representing the biological activity of wild-type Epo (□) and mutein K154A with increased biological activity relative to the wild type Epo, is shown over a range of · dosages (X-axis) as determined by the relative incorporation of [³H]-thymidine (Y-axis) into HCD 57 cells.

10   *Figure 33c.* **Comparison of Muteins with Increased Biological Activity in Non-Malignant Mouse Cells.** A dose response curve representing the biological activity of wild-type Epo (□) and mutein K154A with increased biological activity relative to the wild type Epo, is shown over a range of dosages (X-axis) as determined by the relative incorporation of [³H]-thymidine

15   (Y-axis) into primary murine spleen cells.

Figure 34. **Bioactivity of Epo Replacement Muteins.** The letters in the column on the left designate predicted helices (A, B. C, D) or interhelical loops (A-B, C-D). The 3'D designation (3' of D) in the table represents amino acids 153-166 which are at the carboxy-end of the D-helix. The amino

20   acid of wild type human Epo, its position from the N-terminus, and its replacement according to the single amino acid letter code, are listed under the mutein column, e.g. S9A, represents a mutation from the wild-type human Epo at the amino acid serine of position number 9 to alanine. The three bioassay columns (human UT7 cell line, murine HCD57 cell line, and primary

25   mouse spleen erythroid cells) show specific bioactivity of each mutein, expressed as a percentage of wild type human Epo bioactivity, with the background COS supernatant alone subtracted from the value. The mean and standard deviation of the bioassay values are listed for n ≥ 3 determinations. The numbers within the brackets indicate the number of separate COS cell

30   transfections over (/) the number of separate bioassays that were performed. NS: not secreted from COS 7 cells.

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Definitions

In order to provide a clearer and more consistent understanding of the specification and claims, including the scope to be given such terms, the following definitions are provided.

5   *Administration.*   The term "administration" is meant to include introduction of the Epo muteins of the invention into an animal or human by any appropriate means known to the medical or veterinary art, including, but not limited to, injection, oral, enteral, and parenteral (e.g., intravenous) administration.

10   *Amino Acid Codes.* The most common amino acids and their codes are described in the following table:

Table 1		
Amino acid names and codes		
Amino acid	Single letter code	Three letter code
Alanine	A	Ala
Arginine	R	Arg
Aspartic acid	D	Asp
Asparagine	N	Asn
Cysteine	C	Cys
Glutamic acid	E	Glu
Glutamine	Q	Gln
Glycine	G	Gly
Histidine	H	His
Isoleucine	I	Ile
Leucine	L	Leu
Lysine	K	Lys
Methionine	M	Met
Phenylalanine	F	Phe
Proline	P	Pro
Serine	S	Ser
Threonine	T	Thr
Tryptophane	W	Trp
Tyrosine	Y	Tyr
Valine	V	Val

Animal. The term "animal" is meant to include all animals whose
production of red blood cells (erythrocytes) is dependent upon, or stimulated
by, erythropoietin (Epo).   Foremost among such animals are humans;
however, the invention is not intended to be so limiting, it being within the
contemplation of the present invention to treat any and all animals which may
experience the beneficial effect of the Epo muteins of the invention.

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Efficacious Amount. An "efficacious amount" of an Epo mutein of the invention is an amount of such Epo mutein that is sufficient to bring about a desired result, particularly the stimulation of red blood cell production, especially upon administration to an animal or human.

5   *Erythrocyte.* The term "erythrocyte" is intended to refer to a red blood cell.

Erythroid Precursor Cells. By "erythroid precursor cells" is intended cells with a capability to proliferate and differentiate into erythrocytes that is dependent upon exposure or contact with Epo.

10   *Erythropoietin (Epo).* The term "erythropoietin", or "Epo" is primarily intended to refer to the mature form of this hormone. The terms "wild type Epo" and "native Epo" are used interchangeably to refer to Epo in its naturally occurring, unmodified form. Unless otherwise indicated, amino acid positions on the Epo protein referred to herein are made with reference

15   to the mature, 166 amino acid human Epo sequence shown in Figure 6 and corresponding sequences from other species.

Host Cell. By "host" or "host cell" is intended the cell in which a gene encoding an Epo mutein of the invention is incorporated and expressed. An Epo mutein gene of the invention may be introduced into a host cell as part

20   of a vector by transformation.

Mutein. By "mutein" is meant a mutant or modified protein with one or more modifications to its native amino acid sequence in the form of amino acid additions, deletions, or substitutions. An erythropoietin mutein refers to a modified erythropoietin protein.

25   *Pharmaceutically Acceptable Vehicle.* The term "pharmaceutically acceptable vehicle" is intended to include solvents, carriers, diluents, and the like, which are utilized as additives to preparations of the Epo muteins of the invention so as to provide a carrier or adjuvant for the administration of such Epo muteins.

30   *Transformation.* By "transformation" is intended the act of causing a host cell to contain a desired nucleic acid molecule, including either a native

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Epo gene or a gene encoding an Epo mutein of the invention, not originally part of that cell using methods known in the art.

Transfection. By "transfection" is intended the introduction of a DNA or RNA vector carrying a desired nucleic acid molecule, including either a
5   native Epo gene or a gene encoding an Epo mutein of the invention, into a host cell.

Vector. By "vector" is intended a DNA element used as a vehicle for cloning or expressing a desired gene, such as an Epo mutein gene of the invention, in a host.

10   ## Detailed Description of the Preferred Embodiments

This invention is drawn to modifications of Epo which enhance its biological activity. Due to their enhanced activity, the Epo muteins of the invention provide a preferable alternative to native Epo where it is used to stimulate red blood cell production (e.g. treatment of blood disorders, cell
15   culture, etc.).

The present invention teaches a structural model of the Epo protein useful for identifying functional regions which may be modified to enhance biological activity. According to the invention, Epo is predicted to have a four anti-parallel amphipathic α-helical bundle structure. Based upon this
20   predicted structure, functionally important regions predicted to reside on the external surfaces of the helices (designated A-D, see Figures 9A-9B) are identified. In particular, the external surfaces of helices A and D, predicted to be involved in Epo receptor binding, are identified. According to the invention, these functionally important regions serve as targets for
25   modifications which may enhance biological activity. Increased activity relative to the wild-type Epo can be found with amino acid substitutions in the A,B,C or D helix.

According to one aspect of the invention, Epo muteins are provided in which one or more of the amino acids within the predicted external surfaces

of helices A, B, C, D and 3' of D, or within the region immediately adjacent to the C-terminal end of helix D, have been replaced. In particular, amino acid substitutions at positions 20, 49, 73, 140, 143, 146, 147 and 154 of wild type Epo have been replaced. The inventors have discovered that substitution

5   of the amino acids normally occurring at these positions results in Epo muteins with significantly higher biological activity than wild type Epo proteins.

According to the invention, the amino acids at position 20 (typically alanine), 49 (typically serine), 73 (typically glycine), 140 (typically lysine), position 143 (typically arginine), position 146 (typically serine), position 147

10   (typically asparagine), and 154 (typically either lysine or threonine) of wild type Epo are preferably replaced with an alanine residue. In addition to alanine other amino acids with a chemical structure and properties similar to alanine, such as serine and threonine, are contemplated by the invention as suitable substitutes for achieving Epo muteins of the invention with enhanced

15   biological activity.

In another aspect of the invention, a portion of the predicted interloop region between helices A and B extending from amino acid 48-52 is identified as important to Epo function. According to the invention, this functionally important region serves as another target for modifications which may enhance

20   biological activity. The inventors have discovered that substitution of the amino acid at position 49 results in Epo muteins with significantly higher biological activity than wild type Epo proteins.

According to the invention, the amino acid at position 49 (typically tyrosine) of wild type Epo is preferably replaced with a serine residue. Other

25   amino acids with a chemical structure and properties similar to serine, such as alanine and threonine, are contemplated as suitable substitutes for achieving Epo muteins of the invention with enhanced biological activity.

The modifications taught by the present invention occur in regions that are highly conserved among Epo proteins from evolutionarily divergent species

30   (see Example I and Figure 6 in particular). These modifications are thus expected to be broadly applicable to Epo proteins which are substantially similar to the human Epo protein in the regions where the modifications occur,

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including, but not limited to, Epo proteins from monkey, mouse, rat, sheep, pig, cat, and dog.

Biological activity of Epo muteins can be determined by assaying the ability of these proteins to stimulate the proliferation of Epo responsive cells

5   such as murine spleen cells (Krystal, G., *Exp. Hematol. 11*:649-60 (1983); Goldberg, M.A., *et al., Proc. Natl. Acad. Sci. USA 84*:7972-7976 (1987)), murine Epo responsive murine erythroleukemia cells (Hankins, W.D., *et al.,* *Blood 70*:173a (1987)), and human Epo-dependent UT-7/Epo cells (Komatsu, N., *et al., Cancer Res. 51*:341-348 (1991)).

10   When assaying for biological activity, it is important to recognize that, as taught by the present invention, high levels of biologically active Epo can cause terminal maturation and cessation of division of Epo responsive cells. Because of this possibly confounding phenomenon, it is important to determine biological activity based on a full dose response curve over a broad range of

15   dosages which includes non-saturating levels of the particular Epo mutein being assayed.

Although the mechanism by which the modifications taught by the invention increase biological activity is not completely understood, one possibility is that these modifications enhance receptor binding affinity. This

20   possibility is thought to apply particularly to the modifications at positions 20, 49, 73, 140, 143, 146, 147, and 154, which lie within a region predicted to be involved in Epo receptor binding according to the teachings of the present invention.

Genes Encoding Epo muteins (Epo mutein genes)

25   In addition to the Epo muteins themselves, genes encoding these muteins are also contemplated by the present invention. Genes encoding the Epo muteins of the invention may be made by modification of the native Epo gene using standard methods well known to those of skill in the art.

The native Epo gene may be obtained using a variety of standard

30   techniques. For example, a DNA molecule corresponding to the known

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sequence of the Epo gene from a number of species may be artificially synthesized (See Example I and Figure 4). The Epo gene may also be isolated from a cDNA or genomic library using nucleic acid probes based on available Epo DNA sequence information and standard hybridization techniques as

5   taught in U.S. Pat. No. 4,703,008, issued Oct. 27, 1987, which is herein incorporated by reference (See also Jacobs *et al., Nature 313:* 806-810 (1985)). Alternatively, amplification of Epo gene sequences by polymerase chain reaction (PCR) may be accomplished using primers based on available sequence data as taught in Example I.

10   Once obtained, the wild type Epo coding sequence can be altered to encode an Epo mutein of the invention using standard oligonucleotide-directed *in vitro* mutagenesis techniques (See Zoller, M. and Smith, M., *Methods in Enzymology 100:* 468-500 (1983); Dente, L. *et al., Nucl. Acids Res. 11:* 1645 (1983); Kunkel, T.A., *et al., Meth. Enzymol. 154:*367-382 (1987); Vandeyar,

15   M. *et al., Gene 65:* 129 (1988)). These techniques generally involve hybridization of an oligonucleotide carrying the desired alteration to a single stranded target DNA. This is followed by complementary strand synthesis of the target DNA which incorporates the oligonucleotide carrying the desired alteration. The complementary strand containing the desired alteration is then

20   propagated in a bacterial host cell. Specific application of this type of technique to modify the Epo gene is described in Examples II and III and in Australian Patent Publication No. AU-A-59145/90 by Fibi *et al.* and in U.S. Pat. No. 4,835,260 by Shoemaker, both of which are herein incorporated by reference.

25   *Expression of Epo mutein Genes*

Genes encoding Epo muteins of the invention can be introduced and expressed in a selected host cell system using conventional materials and techniques. DNA elements such as promoters, enhancers, polyadenylation sites, transcription termination signals, and the like should be associated with

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the Epo mutein coding sequence so as to promote and control expression of the Epo mutein. The specific regulatory elements used will depend upon the host cell system selected for expression, whether secretion of the protein is desired, and other considerations readily apparent to one of skill in the art.

5   Various vectors may be employed as vehicles for the introduction and expression of Epo mutein genes in a host cell. Such vectors useful in the different host cell types are well known and include, for example, the mammalian expression vectors pSG5 (Stratagene), p-RK1 (Genetics Institute), p-SVK3 (Pharmacia), p-EUK-C1 (Clontech), pCDM (Invitrogen), pc DNAI

10   (Invitrogen), and the bacterial expression vectors pFLAG-1 (IBI), all pET system plasmids (Novagen), pTrcHis (Invitrogen), the pGEX series (Pharmacia), and pKK 233-2 (Clontech). These vectors may be maintained as episomes in the host cell or they may facilitate integration of the Epo mutein gene into the host cell genome, or both. Vectors may also include

15   other useful features, such as genes which allow for the selection or detection of cells in which they have been successfully introduced.

Host cells suitable for expression of the Epo muteins of the invention include, but are not necessarily limited to, *E. coli*, yeast, insect cells, plant cells, and a variety of mammalian cell types, including in particular Chinese

20   Hamster Ovary (CHO) cells, Cos7 cells, Cos1 cells, baby hamster kidney cells, and CV1 cells. Epo mutein genes can be introduced into a host cell using standard transformation or transfection techniques.

Host cells which provide for glycosylation are preferred for production of Epo muteins to be used *in vivo* since the carbohydrate structure of Epo is

25   important for optimal biological activity *in vivo* (*See* Dordal, M.S. *et al.*, *Endocrinology 116(6)*: 2293-2299 (1985)).

The Epo muteins of the invention may be recovered and purified from host cells in which they are expressed using known methods such as immunoaffinity chromatography with antibodies to human Epo.

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Therapeutic Use of Epo muteins
of the Invention

The Epo muteins of the invention are suitable for therapeutic use in animals, particularly humans, and may be used in the same manner as wild

5   type Epo, except that lower dosages will be required to achieve the same level of biological activity.

Administration of the Epo muteins of the invention may be accomplished by any of the methods known to the skilled artisan, provided that the method effectively places the Epo mutein in the appropriate

10   environment to exhibit its activity (i.e. in contact with erythroid precursor cells). A preferred method is by parenteral routes, including intravenous and subcutaneous administration.

Administration will ordinarily include an efficacious amount of the Epo mutein supplied in a pharmaceutically acceptable vehicle. The amount of Epo

15   mutein which is efficacious will be determined. by a trained professional depending upon a number of considerations, including the condition being treated and its severity, the sex and body weight of the subject being treated, the method of administration, and the presence of compositions in the pharmaceutically acceptable vehicle which affect Epo activity.

20   In any event, the efficacious amount of a Epo mutein as taught by the invention will be significantly less than the corresponding amount of unmodified Epo needed to achieve the same degree of efficacy. The difference between the efficacious amount of the Epo mutein and the analogous amount of unmodified Epo will correspond with the increased

25   biological activity exhibited by the Epo mutein. It is contemplated that an efficacious amount of an Epo mutein of the invention will be as low as 10-fold less than native Epo, or as low as about 5-10 units/kg body weight for treating chronic renal failure patients or about 10-30 units/kg body weight for treating HIV-infected patients with serum Epo levels less than or equal to 500 mU/ml

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(milliunits/milliliter) who are receiving a dose of AZT equal to, or less than, 4200 mg/week.

Since the Epo muteins of the invention can be used effectively at lower dosages relative to wild type Epo, their use may reduce potential adverse
5   effects associated with administration of Epo such as exacerbation of hypertension, seizures, headaches, tachycardia, nausea, clotted vascular access, shortness of breath, hyperkalemia, and diarrhea (see PDR, 1993 · edition, at pp. 603-604).

Further description of methods and materials useful in the recombinant
10   production of the Epo muteins of the invention and their use is provided in the teachings of U.S. Patent No. 4,835,260 by Shoemaker and U.S. Patent No. 4,703,008 by Lin, both of which are herein incorporated by reference in their entirety.

The invention may be better understood and appreciated by reference
15   to the following examples. These examples are provided merely for illustrative purposes and should not be considered in any way as limiting the scope of the claimed invention.

EXAMPLE I

Erythropoietin Structure-Function Relationships:
20   *High Degree of Sequence Homology Among Mammals*

Summary

In order to investigate structure-function relationships of erythropoietin (Epo), we have obtained cDNA sequences that encode the mature Epo protein of a variety of mammals. A first set of primers, corresponding to conserved
25   nucleotide sequences between mouse and human DNAs, allowed us to amplify by PCR intron 1-exon 2 fragments from genomic DNA of hamster, cat, lion, dog, horse, sheep, dolphin and pig. Sequencing of these fragments permitted the design of a second generation of species-specific primers.   RNA was

prepared from anemic kidneys and reverse-transcribed. Using our battery of species-specific 5' primers, we were able to successfully PCR amplify Epo cDNA from Rhesus monkey, rat, sheep, cat and pig. Deduced amino acid sequences of mature Epo proteins from these animals, in combination with
5   known sequences for human, Cynomolgus monkey and mouse, showed a high degree of homology, which explains the biological and immunological cross reactivity that has been observed in a number- of species. Human Epo is 91 % · identical to monkey Epo, 85 % to cat Epo and 80-82 % to pig, sheep, mouse and rat Epos. There was full conservation of a) the disulfide bridge linking
10 the NH_2 and COOH termini; b) N-glycosylation sites; and c) predicted amphipathic a-helices. In contrast, the short disulfide bridge (C29/C33 in human) is not invariant. Cys33 was replaced by a Pro in rodents. Most of the amino acid replacements were conservative. The C-terminal part of the loop between the C and D helices showed the most variation, with several
15 amino acid substitutions, deletions and/or insertions. Calculations of maximum parsimony for intron 1/exon 2 sequences as well as coding sequences enabled the construction of cladograms that are in good agreement with known phylogenetic relationships.

INTRODUCTION

20   A large number of early physiologic studies have established extensive, though not complete, biological cross-reactivity between Epos of man and a number of other mammals including mouse, rat, sheep and rabbit (Jelkmann, W., *Physiol. Rev.* 72:449 (1992); Garcia, J.F. *et al.*, *Proc. Soc. Exp. Biol. Med.* 112:712-714 (1962); Zanjani, E.D. *et al.*, *Proc. Soc. Exp. Biol.*
25 *Med.* 131:1095-1098 (1969); Biljanovic-Paunovic, L. *et al.*, *Period. Biol.* 90:421-427 (1988)). In contrast, non-mammalian vertebrates (amphibians (Rosse, W.F., *et al.*, *Blood* 22:66-72 (1963); Pinder, A. *et al.*, *J. Exp. Biol.* 105:205-213 (1983)), birds (Rosse, W. *et al.*, *Blood* 27:654-661 (1966); Black, C.P. *et al.*, *Respirat. Physiology* 39:217-239 (1980)), fish (Zanjani,

E.D., *et al., Science 33*:573 (1969)) have erythropoietic hormones that fail to cross-react with mammalian erythroid cells, and vice-versa (Rosse, W.F., *et al., Blood 22*:66-72 (1963); Pinder, A. *et al., J. Exp. Biol. 105*:205-213 (1983); Rosse, W. *et al., Blood 27*:654-661 (1966)).

5   Thus far, the Epo genes of man (Jacobs, K. *et al., Nature 313*:806-10 (1985); Lin, F.-K. *et al., Proc. Natl. Acad. Sci. USA 82*:7580-7584 (1985)), a monkey (*Macaca fascicularis*) (Lin, F.-K. *et al., Gene 44*:201-209 (1986)) · and a rodent, the mouse (McDonald, J.D. *et al., Mol. & Cell Biol. 6*:842-848 (1986); Shoemaker, C.B. *et al., Mol. & Cell Biol. 6*:849-858 (1986)) have

10   been cloned, sequenced, and expressed. In view of the marked cross-reactivity between mammalian Epos, it is not surprising that there is a high degree of sequence homology in the coding region of the mature secreted proteins. In keeping with their close phylogenetic relationship, human and monkey Epos are 94% and 91% identical in nucleotide and amino acid

15   sequence respectively. In contrast, human and mouse Epos are 76% identical in nucleotide sequence and 80% identical in amino acid sequence.

       Direct information on the three-dimensional structure of Epo is not yet available. Insights into structure-function relationships of Epo can be gained from the analyses of a more complete set of animal sequences. Such

20   information could be useful for sequence-based computer modeling of three-dimensional structure. Moreover, a larger data base would permit the identification of highly conserved domains that are likely to be crucial to · folding and/or biological function. Finally, comparative amino acid and nucleotide sequence information on Epo provides additional data for

25   investigating phylogenetic relationships.

       In this Example, the use of PCR based techniques for obtaining the full coding sequences of Epos of Rhesus monkey, rat, sheep, pig and cat, as well as partial sequences from four other species is demonstrated.

Materials and Methods

Animal Samples. Human hepatoma Hep3B cell line and multiple kidney-derived cell lines from hamster (BHK), sheep (MDOK), pig (LLC-PK1), dog (MDCK), cat (CRF-K), lion (PAL1-K) and spotted dolphin (SP1-K) were obtained through the American Type Culture Collection (American Type Culture Collection, 12301 Parklawn Drive, Rockville, MD 20852). Monolayer cells were grown in 100 x 20 mm tissue culture dishes using recommended media and maintained in a humidified 5% CO_2/95% air incubator at 37°C. In some experiments, cells were made hypoxic by overnight incubation in 1% O_2, 5% CO_2, 94% N_2 at 37°C.

Poly A+ RNA prepared from Rhesus monkey kidney was purchased from Clontech (Palo Alto, CA). Kidneys from anemic cat and horse were obtained from veterinarians at Tufts School of Veterinary Medicine and the University of Nebraska, respectively. Anemia was induced by three consecutive daily intraperitoneal injections of phenylhydrazine (60 mg/kg body weight) into male Sprague-Dawley rats (SD-strain) and by repeated bleeding of the sheep and the pig. Kidneys were aseptically removed after induction and stored immediately in liquid nitrogen.

A 4 kb genomic human Epo clone (g Epo4) was provided by Genetics Institute (Boston, MA).

DNA and RNA Preparations. DNAs from cell lines or homogenized kidneys were extracted using pancreatic RNAase/SDS/proteinase K following a procedure modified from Blin and Stafford (Blin, N. *et al.*, *Nucleic Acids Res.* 3:2303-2308 (1976)).

Kidneys were homogenized in 4 M guanidine isothiocyanate (10 ml/g of frozen organ), containing 0.1 M beta-mercaptoethanol and 10% N-lauryl-sarcosine. Total RNAs were isolated by centrifugation over 5.7 M CsCl (Chirgwin, J.M. *et al.*, *Biochemistry* 18:5294 (1979)). After ethanol precipitation, the samples were resuspended in diethyl pyrocarbonate-treated water and stored at -70°C. Confluent monolayer cells were washed twice with

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sterile phosphate buffered saline and directly lysed in the 4 M guanidine isothiocyanate solution. Total RNAs were isolated as described above for the kidneys.

RNA samples were first converted into single strand cDNA. 2 to 4 μg

5   of total RNA from kidney or 500 ng to 1 μg of total RNA from cultured cells were denatured at 68°C in the presence of 2 μg of oligo dT$_{(15)}$. Reverse transcription was carried out in a 20 μl final volume, containing 50 mM Tris-HCl pH 8.3, 6 mM MgCl$_2$, 40 mM KCl, 10 mM DTT, 500 μM dNTPs, 20 u RNAsin (Promega. Madison, WI) and 20 u of avian myeloblastosis virus

10 reverse transcriptase (AMV-SuperRT, Molecular Genetic Resources. Tampa, FL). The reaction was allowed to proceed at 37°C for 20 minutes, then at 42°C for one hour. Inactivation of the enzyme was performed at 100°C for 10 minutes. cDNA samples were stored at -70°C.

Polymerase Chain Reaction. 20 to 100 ng of genomic-DNA or 0.5

15 to 1 μl of the RT-reaction were used as templates for amplification.

Standard PCR reactions were performed in a 100 μl volume containing 50 mM Tris-HCl pH 8.3, 50 mM KCl, 1.5 mM MgCl$_2$, 0.01% w/v gelatin, 200 μM dNTPs, 30 pM of each sense (5') and antisense (3') primers and 0.5 units of Taq DNA polymerase (Perkin Elmer Cetus, Emeryville, CA).

20 After an initial denaturation step for 5 minutes at 95°C, routinely 35 cycles of PCR were performed on the DNA Thermal Cycler (Perkin Elmer Cetus). The denaturation step of each cycle was carried out at 94°C for 1 min. For each sample and/or the primer pair used, annealing temperatures were optimized (from 45°C to 60°C) for a 2-minute reaction. A 3-minute

25 elongation step at 72°C ended each cycle.

Amplification products were analyzed on 1 to 3% agarose gel-TAE. Nu Sieve or Seaplaque agaroses (FMC., Rockland, ME) were used for preparative purposes. Specific PCR products were recovered from the gel, by the use of the Gene Clean II kit (Bio 101, La Jolla, CA), by Spin X

30 centrifugation (Costar, Cambridge, MA) or by standard phenol/chloroform extractions of melted gel slices.

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Subcloning and Sequencing. Small (250-330 bp) amplified genomic fragments were directly subjected to automated sequencing, using the PCR primers as DNA sequencing primers.

PCR-generated cDNA products were blunt-ended using Klenow
5   fragment and 5' phosphorylated by T4 DNA kinase (Boehringer Mannheim, Indianapolis, IN). They were then cloned into the SmaI site of the phagemid pBluescript II SK (Stratagene, La Jolla, CA). The double-stranded plasmid was sequenced using the SK and KS sequencing primers.

In all cases, Sequencing reactions were carried out on an Applied
10   Biosystems 373A Automated DNA Sequencer utilizing ABI's DyeDeoxy Terminator Sequencer kit (Foster City, CA) and thermal cycling with Taq DNA polymerase (Promega, Madison, WI) as previously described (Tracy, T.E. *et al.*, *Biotechniques 11*:68-74 (1991)). To avoid errors, for each species, samples derived from different amplification/cloning experiments were
15   prepared and both strands were sequenced.

Mammalian Expression; Bioactivity. Vectors containing full-length mammalian Epo coding sequences were subcloned into pSG5 plasmid (Pharmacia, Piscataway, NJ) and were transiently expressed in Cos7 cells. 72-hour supernatants were tested for their ability to sustain cellular
20   proliferation of the Epo-dependent HCD57 cell line (Sawyer, S.T. *et al.*, *Blood 72* (suppl. 1): 132a (1988)).

Results and Discussion

Because of the known biological cross-reactivity between various mammalian Epos and the presumption of strong homology in the coding
25   sequences, a logical cloning strategy would be to screen cDNA libraries with moderate to low stringency. However, Epo mRNA is expressed at barely detectable levels in all tissues except in hypoxic kidney and liver (Goldberg, M.A. *et al.*, *Proc. Natl. Acad. Sci. USA 84:* 7972-7976 (1987); Fandrey, J. *et al.*, *Blood 81:* 617-623 (1993)). Therefore it would be necessary to

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generate libraries for each of the species of interest. Our primary research
interest is in structure-function relationships of Epo and therefore we require
full coding sequences of the mature Epo protein, rather than full cDNA
sequences. Accordingly, we elected to employ a PCR cloning strategy
5   predicated on known strong homologies in Epo cDNA sequences that flank the
region encoding the mature protein. There was sufficient conservation of
sequence around the NCO1 site in the 3' untranslated region (UTR) that a
single primer could be used to amplify full length mature Epo coding sequence
in rat, sheep, pig and cat as well as dog and rabbit (data not shown).
10   However, we were unsuccessful in finding a universal 5' primer and therefore
had to generate species specific sequences from amplifications of genomic
DNA. This two stage PCR strategy proved to be satisfactory in generating
highly accurate sequence information with a minimum expenditure of time and
resources. In all instances, there was full agreement between sequences of
15   complementary strands.

Preparation of Mammalian Epo cDNAs

Primer Design for PCR Amplification of Epo. The sequences of Epo
genes from three species have been already published: two primates, human
(Jacobs, K. *et al.*, *Nature 313*:806-810 (1985); Lin, F.-K. *et al.*, *Proc. Natl.*
20   *Acad. Sci. USA 82*:7580-7584 (1985)) and Cynomolgus monkey (*Macaca*
fascicularis) (Lin, F.-K. *et al.*, *Gene 44*:201-209 (1986)) and a rodent, the
mouse (*Mus musculus*) (McDonald, J.D. *et al.*, *Mol. & Cell Biol. 6*:842-848
(1986); Shoemaker, C.B. *et al.*, *Mol. & Cell Biol. 6*:849-858 (1986)). There
is substantial homology at the nucleotide level not only in the coding
25   sequences, but also in portions of introns and in 5' and 3' untranslated
sequences of exons 1 and 5, respectively. A number of primers,
corresponding to conserved nucleotide sequences between mouse and-human,
were synthesized and tested for their ability to produce genomic-PCR
fragments from a wide variety of mammalian cell lines. A 4-kb human

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genomic clone and genomic DNA extracted from the human hepatoma cell line Hep3B were used as control templates for the PCR amplifications.

In particular, two pairs of primers, IV1/EX2R and EX5/NCO1 (Figure 1), directed the amplifications of fragments of 330 and 250 bp
5   (respectively) from genomic DNA of all human and mammalian cell lines that we investigated. IV1 is a 25-mer oligonucleotide localized in intron 1, 216/217 bases upstream from the start of exon 2. Human and mouse are identical except that the human sequence contains an extra G nucleotide at position 996. IV1 primer corresponding to the mouse sequence was
10 synthesized. The 23-mer EX2R ends 28 nucleotides upstream from the 3' end of exon 2. EX5 is a 22-mer, beginning 34 bases downstream from the 5' end of exon 5. NCO1 represents a 20 bp, 100% conserved DNA fragment, starting 112/113 bases downstream from the TGA stop codon and contains the unique NCO1 site present in the human and mouse gene.

15   An ATG primer was also synthesized, corresponding to a 20 oligonucleotide stretch, extending both 5' and 3' from the initiator methionine codon. This sequence is also totally conserved between primates and mouse. While combinations of exonic primers (ATG1EX2R, EX5/NCO1 and ATG/NCO1) were able to direct amplification from cDNA prepared from
20 RNA of the human Epo-producing cell line Hep3B, no amplification was obtained on cDNA prepared from uninduced or hypoxia-induced BHK, MDOK, LLC-PK1, MDCK, CRF-K, PAL1-K and SP1-K cells (results not shown). Therefore these renal cell lines apparently do not express Epo mRNA, either constitutively or after hypoxic stress, at a level detectable even
25 by RT-PCR. Furthermore, no Epo protein was detectable by radioimmunoassay in the supernatants of cells maintained overnight in 1% O_2, 5% CO_2, 94% N_2 at 37°C (Goldberg, M.A. et al., Proc. Natl. Acad. Sci. USA 84:7972-7976 (1987)).

The amplification of species specific IV1/EX2R and EX5/NCO1
30 genomic fragments allowed us to design a strategy shown in Figure 2 for obtaining cDNA clones containing the complete coding sequence of mature

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Epo protein from various mammals. The IVl/EX2R primer pair resulted in a genomic fragment (~330 bp) corresponding to the 3' third of the first intron and 80% of exon 2, encoding the COOH-end of the propeptide and the NH$_2$-terminal amino acids of the mature protein. EX5/NCO1 primers amplified a
5   segment of exon 5, containing the COOH-end of the Epo protein and a 3' untranslated sequence of about 140 bp downstream of the stop codon: DNA sequencing of these 5' and 3' fragments permitted, if necessary, the design of · a second generation of species-specific primers (SP), localized outside the coding part of the mature protein (i.e., in the sequences encoding the
10   propeptide and the 3' untranslated region).

Amplification of Partial Intron 1/ Exon 2 Genomic Clones. We first explored the efficacy of the IV1 and EX2R primers for amplification of genomic fragments from different purified DNA templates. In all the reactions, fragments of predicted size were the main (and, when using the
15   most stringent annealing temperature, often the only) products detected by agarose gel electrophoresis. The (direct) sequences of these PCR-generated fragments are presented in Figure 3. The nucleotide alignment includes 6 different orders of mammals: one primate, human (*Homo sapiens*); two artiodactyls, sheep (*Ovis aries*) and pig (*Sins scrofa*); one perissodactyl, horse
20   (*Equus caballus*); one cetacean, spotted dolphin (*Stenella plagiodon*); three carnivores, dog (*Canis familiaris*), cat (*Felis catus*) and lion (*Panthera leo*); two rodents, mouse (*Mus musculus*) and hamster (*Cricetus cricetus*). Cat and lion exhibited an almost complete sequence identity, with only one T to G nucleotide substitution near the 5' end of the amplified portion of the first
25   intron.

The ability of the intronic IV1 to anneal to genomic DNA from various species and, in combination with EX2R, to amplify PCR fragments of identical size, demonstrated a high degree of conservation of sequence and position between mammals. This suggests that IV1 sequence may be involved to some
30   extent in the regulation of the Epo gene expression. The comparison of IV1/EX2R sequences also revealed two remarkably conserved intronic

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sequences: AT(T/A)GAATGAA(G/C)GC [SEQ. ID NO: 1] (nucleotides 1046 to 1058 in the human gene) and A(A/T)GGTN(G/C)GGG [SEQ. ID NO: 2] (nucleotides 1085 to 1094).

Amplification of Partial Exon 5 Fragments from Genomic DNAs.

5   PCR reactions were also performed applying the EX5/NCO1 primer pair to various purified genomic DNAs. As previously observed for the amplification of IV1/EX2R, in all the tested samples a unique main band of about 250 bp · (as predicted for human and mouse genes) was detected on analytical agarose gel electrophoresis. Direct DNA sequencing of these fragments demonstrated

10   that the bulk of the purified PCR product corresponded to the expected Epo exon 5 sequence. However, for some DNA samples, purified from horse kidney and several cell lines (BHK and LLC-PK1 in particular), unknown sequences were present to various extents. These minor contaminating PCR products generated extraneous peaks in the sequence data, resulting in

15   ambiguities at several positions. Nevertheless, computer-edited analyses of generated 3' non-coding sequences were sufficient to design, if necessary, specific primers with greater than 95% accuracy (data not shown).

Cloning of Partial cDNAS Encoding the Complete Mature Epo Protein. As we previously mentioned, we were unable to obtain any

20   amplification with cDNAs prepared from renal-derived mammalian cell lines. Therefore, we tested our battery of primers on reverse-transcribed RNAs prepared from kidney of several mammals. We have successfully amplified Epo cDNA from: one primate, Rhesus monkey (*Macaca mullata*), one carnivore, cat (*Felis catus*), one rodent, rat (*Rattus norvegicus*) and two

25   artiodactyls, pig (*Sus scrofa*) and sheep (*Ovis aries*). The aligned nucleotide sequences are presented in Figure 3. They have been submitted to GenBank and have been assigned accession numbers.

Rhesus monkey, rat and sheep cDNAs were amplified using the ATG/NCO1 primer-pair combination. Pig and cat sequences were obtained

30   by the use of a 5' 24-mer specific primer (SP1-5' TGCTTCTGCTATCTTTGCTGCTGC 3') [SEQ. ID NO: 3]. IV1/EX2R

sequencing demonstrated that this exon 2 sequence was shared by the two species. In all PCR amplifications, NC01 was used as the reverse primer. Conservation of the NCO1 sequence among mammals suggests a potential biological role of this nucleotide sequence (perhaps in modulating the stability

5 of Epo mRNA) (Rondon, I. J. *et al.*, *J. Biol. Chem.* 266:16594-16598 (1991)). Considerable homology is also found in the sequenced 3' untranslated segment of exon 5 (Figure 4).

When compared with human Epo, the overall percentages of nucleotide identity are, respectively: 93.5 for the Rhesus monkey, 86.7 for the cat, 85.7

10 for the pig, 83 for the sheep and 75.5 for the rat. Rhesus monkey and Cynomolgus monkey (Lin, F.-K. *et al.*, *Gene* 44:201-209 (1986)) were 99.6% identical. Mouse (McDonald, J.D. *et al.*, *Mol. & Cell Biol.* 6:842-848 (1986); Shoemaker, C.B. *et al.*, *Mol. & Cell Biol.* 6:849-858 (1986)) and rat nucleotide sequences showed greater than 93% identity. The two sequenced

15 artiodactyls, pig and sheep, showed 88% identity.[1]

Comparison of Mammalian Epo Primary Sequences

Propeptides. The predicted amino acid propeptide sequences of several mammals are presented in Figure 5. In the primates, there was strong conservation of the sequences of deduced propeptides, with only two amino

20 acid (aa) substitutions at positions -11 (Leu in humans vs. Val in both monkeys) and -2 (Leu in humans vs. Pro in both monkeys). Expression of Cynomolgus monkey Epo gene in cultured mammalian cells resulted in the production of mature monkey Epo that was elongated at the N-terminus by three additional residues: Val-Pro-Gly (Lin, F.-K. *et al.*, *Gene* 44:201-209

25 (1986)). As the Rhesus monkey has the same substitutions, an identical site

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[1] Several PCR attempts on total RNA purified from the horse's kidney were unsuccessful, even when using 5' and 3' specific equine primers (SP), presumably because analysis on 1% formaldehyde agarose gel demonstrated the degradation of the RNA.

of cleavage is likely.   The Leu to Pro amino acid replacement at -2 is probably responsible for the differential activity of the signal peptidase observed between man and monkeys.   The three rodents, mouse, rat, and hamster, were found to have identical propeptide amino acid sequences.

5   *Mature protein*.   A comparison of the three previously published and our five deduced amino acid sequences of mature Epo proteins is shown in Figure 6.   Erythropoietin is highly conserved among mammals.   The amino acid alignment showed that more than 63% of the molecule is composed of invariant amino acids (106 residues) (Figure 6A).   Most of the observed

10   substitutions are conservative, involving residues with similar physical and chemical properties.   The calculated percentages of sequence identity are shown in Table II below.

TABLE II		
Degree of conservation among mammals of the mature Epo proteins		
The percentages of identity among the various sequences were determined from the amino acid alignment reported in Figure 6.		
		% identity
Primates	Man/Rhesus Monkey	90.5
	Rhesus/Cynomolgus	98.8
Rodents	man/mouse	79.8
	man/rat	82.1
	mouse/rat	93.5
Artiodactyls	man/sheep	81.0
	man/pig	81.6
	sheep/pig	88.7
Carnivores	man/cat	84.5

There is 100% conservation of Cys7 at the N-terminal portion of the polypeptide and Cys161 near the C-terminus.   This disulfide bridge is essential

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to the formation of a stable and functional cytokine (Wang, F.F. *et al.*, *Endocrinology* 116:2286-2292 (1985)). In contrast, while Cys29 is invariant in all the species we examined, Cys33 is present only in primates, sheep, pig and cat, but not in the two rodents where there is a proline. The lack of
5   functional importance of this short disulfide loop is underscored by muteins in which tyrosine substitutions at each or both of these sites have no effect on Epo's biological activity (See Example II).

There is also 100% conservation of all three asparagine residues responsible for N-linked glycosylation. Even though Epo, deprived of its
10   carbohydrate either by enzymatic cleavage (Takeuuchi, M. *et al.*, *J. Biol. Chem.* 265:12127-12130 (1990)) or by production in bacteria (Nahri, L.O. *et al.*, *J. Biol. Chem.* 266:23022-23026 (1991)) has full *in vitro* biological activity, survival of the hormone in the circulation depends upon N-linked glycosylation (Spivack, J.L. *et al.*, *Blood* 73:90-99 (1986)). In contrast, the
15   O-linked glycosylation site (Ser126 in human Epo) is not essential for biological function since it is missing in mouse and rat Epos.

As shown in Figure 6, there is very high conservation of sequence in regions which, by algorithms based on primary structure, are predicted to be alpha helices (See Example II). The few differences in sequence within these
20   helical regions are conservative replacements. Indeed, some sites within these predicted alpha helices are conserved in corresponding helices of other cytokines (Manavalan, P. *et al.*, *J. Prot. Chem.* 11:321-331 (1992)). In contrast, regions predicted to be inter-helical loops are less well conserved, particularly the 14 residue stretch between residues 116 and 130 of human
25   Epo. In Example II a mutein with a deletion of residues 111 through 119 is shown to have normal stability and biological activity, whereas a deletion of residues 122 through 126 fails to produce a detectable protein, probably owing to markedly impaired stability.

Similar overall 4 α-helical bundle structure exists or is predicted for
30   several other cytokines/hormones, such as growth hormone (GH), granulocyte-macrophage colony-stimulating factor (GM-CSF), IL-3, IL-4 and IL-5 (Bazan,

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J.F. *et al.*, *Immunol. Today 11:* 350-354 (1990)). Like Epo, GH exhibits a high degree of primary sequence conservation and biological crossreactivity between mammals. The mouse, rat, pig and sheep proteins show about 80% amino acid identity with human GH. In other cytokines, however, there is

5   more sequence diversity among species. For example, the human and murine forms of IL-5, GM-CSF and IL-3 show respectively only 69, 67 and 26% amino acid homology. Furthermore, unlike Epo or GH, those proteins lack · inter-species biological cross-reactivity.

Expression of the Mammalian Epo Hormones. Mammalian Epo

10   cDNAs were subcloned into the pSG5 plasmid and transiently expressed in the monkey Cos7 cell line. Supernatants of the transfected cells were able to sustain the cellular proliferation of the murine Epo-dependent HCD57 cell line, demonstrating the biological cross-reactivity between species. As the human Epo antisera used in our radioimmunoassay bind to Epos from other species

15   with variable affinity, the amount of produced protein was difficult to determine accurately. Experiments are in progress to further characterize the relative affinities of the various mammalian erythropoietins toward murine and human Epo receptors.

Phylogenetic Analyses of Epo Sequences. Nucleotide sequences

20   encoding the full length mature Epo protein were analyzed by the maximum parsimony method (Czelusniak, J. *et al.*, *Meth. Enzymol. 183:*601-615 (1990); Stanhope, M.J. *et al.*, *Mol. Phylog. Evol. 1:*148-160 (1992)). An "all trees" set of computer programs determined the parsimony length of each of the 945 unrooted trees formed by the seven cDNA sequences (the terminal taxa)

25   (Figure 4) and, on ordering those 945 trees according to increasing length, identified the minimum number of extra nucleotide substitutions needed to break up each group in the maximum parsimony (lowest length) tree. As shown in Figure 7 and 7A, the mouse and rat are strongly grouped, as are the human and monkey. The pig and sheep are more weakly grouped, in accord

30   with accepted phylogenetic views, which place the divergence of these animals about 55-60 million years ago. As expected with the parsimony reconstruction

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on only the codon sequences, silent base substitutions occurred much more readily than amino acid replacements (Figure 7B).

The Epo intron 1-exon 2 sequences shown in Figure 3 were also analyzed by the maximum parsimony method. Figure 8 shows strength of
5   grouping results based on all 135,135 unrooted trees formed by nine terminal taxa (in this case, cat and lion sequences, which are nearly identical, were treated as a single taxon), and Figure 8A shows a phylogenetic tree that · combines maximum parsimony with established phylogenetic evidence. As the most parsimonious tree (Figure 7) for these relatively short sequences failed
10 to join dog to the feloid (cat and lion) branch (reflecting the fact that the ancestral split between canoids and feloids traces back to the early carnivores at about 60 million years ago), the phylogenetic tree shown in Figure 7A is a near most parsimonious tree in which dog joins the feloids. The rodents (mouse and hamster) are strongly grouped, as are the feloids (cat and lion),
15 and at more moderate strength the cetacean (dolphin) is grouped with the artiodactyls (sheep and pig). There are other molecular data as well as some paleontological data that depict cetaceans originating from early artiodactyls (Czelusniak, J. et al. Meth. Enzymol. 183:601-615 (1990); Czelusniak, J. et al., In "Current Mammology", Volume 2, 3rd ed., H. H. Ganoways,
20 pp. 545-572, Plenum Publ. Corp. (1990); Irwin, D.M. et al., J. Mol. Evol. 32:128-144 (1991); Gingreich, P.D. et al., Science 249:154-157 (1990); Novacek, M.J., Nature 356:121-125 (1992)).

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EXAMPLE II

Structure Function Relationships of Erythropoietin: Muteins that Test a Model of Tertiary Structure

Summary

5   On the basis of its primary sequence and the location of its disulfide·
bonds, we propose a structural model of the erythropoietic hormone
erythropoietin (Epo) which predicts a 4 α-helical bundle motif, in common
with other cytokines. In order to test this model, site directed mutants were
prepared by high level transient expression in Cos7 cells and analyzed by a

10   radio-immune assay and by bioassays utilizing mouse and human Epo
dependent cell lines. Deletions of 5 to 8 residues within predicted α helices
resulted in the failure of export of the mutant protein from the cell. In
contrast, deletions at the N-terminus (Δ1-6), the C-terminus (Δ163-166), or in
predicted interhelical loops (AB: Δ32-36, Δ53-57; BC: Δ78-82; CD:

15   Δ111-119) resulted in the export of immunologically detectable Epo muteins
that were biologically active.· The mutein Δ48-52 could be readily detected
. by RIA but had markedly decreased biological activity.   However,
replacement of each of these deleted residues by serine resulted in Epo
muteins with full biological activity. Replacement of Cys29 and Cys33 by

20   tyrosine residues also resulted in the export of fully active Epo. Therefore this
small disulfide loop is not critical to Epo's stability or function.   The
properties of the muteins that we tested are consistent with our proposed
model of tertiary structure.

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Introduction

Humoral regulation of red blood cell production was first proposed at the beginning of this century (Carnot, P., *et al.*, *C.R. Seances Acad. Sci. 143*:432-35 (1906)). Convincing physiologic experiments documenting

5 the existence of erythropoietin (Epo) (Krumdieck, N., *Proc. Soc. Exp. Biol. Med 54*:14-17 (1943); Reissmann, K.R., *Blood 5*:372-380 (1950); Erslev, A., *Blood 8*:349-357 (1953); Jacobson, L.O., *et al.*, *Nature 179*:633-634 (1957)) were followed by its purification (Miyake, T., *et al.*, *J. Biol. Chem. 252*:5558-5564 (1977)) and partial structural characterization (Lai, P.-

10 H., *et al.*, *J. Biol. Chem. 261*:3116-3121 (1986)). The molecular cloning of this biologically and clinically important cytokine (Jacobs, K., *et al.*, *Nature 313*:806-810 (1985); Lin, F.-K., *et al.*, *Proc. Nat. Acad. Sci. USA 82*:7580-7585 (1985)) has led to further understanding of its properties (Sasaki, H., *et al.*, *J. Biol. Chem. 262*:12059-12076 (1987); Davis, J.M.,

15 *et al.*, *Biochemistry 26*:2633-2638 (1987)).

The binding of Epo to its cognate receptor (D'Andrea, A.D., *et al.*, *Cell 57*:277-285 (1989)) on erythroid progenitors in the bone marrow results in salvaging these cells from apoptosis (Koury, M.J., *et al.*, *Science 248*:378-381 (1990)), allowing them to proliferate and differentiate

20 into circulating erythrocytes. The Epo receptor is a member of an ever enlarging family of cytokine receptors (Bazan, F., *Biochem. Biophys. Res. Commun. 164*:788-796 (1989)). In like manner, Epo shares weak sequence homology with other members of a family of cytokines which also include growth hormone, prolactin, IL-2, IL-3, IL-4, IL-5, IL-6, IL-7, GCSF, GM-

25 CSF, M-CSF, oncostatin M, leukemia inhibitory factor and ciliary neurotrophic factor (Parry, D.A.D., *et al.*, *J. Mol. Recognition 1*:107-110 (1988); Bazan, F., *Immunology Today 11*:350-354 (1990); Manavalan, P., *et al.*, *J. Protein Chem. 11*:321-331 (1992)). The genes encoding these proteins have similar numbers of exons as well as a clear relationship between

30 intron-exon boundaries and predicted α-helical structure. These similarities

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have led to the prediction that this family of cytokines share a common pattern of folding into a compact globular structure consisting of four amphipathic α-helical bundles. Such theoretical models of the structures of human growth hormone (Cohen, F.E., *et al.*, *Proteins: Struct. Func. Genet.* 2:162-166

5 (1987)) and IL-4 (Curtis, B.M., *et al.*, *Proteins: Struct. Func. Genet. 11*:111-119 (1991)) have been in remarkably good agreement with subsequent structures established by X-ray diffraction (HGH) (Abdel-Meguid, · S.S., *et al.*, *Proc. Natl. Acad. Sci. USA 84*:6434-6437 (1987); deVos, A.M., *et al.*, *Science* 255:306-312 (1992)) or by multidimensional NMR (IL-4)

10 (Redfield, C., *et al.*, *Biochemistry 30*:11029-11035 (1991); Powers, R., *et al.*, *Science 256*:1673-1677 (1992)). Moreover, the crystal structures of GM-CSF (Diederichs, K., *et al.*, *Science 254*:1779-1782 (1991)) and monomeric M-CSF (Pandit, J., *et al.*, *Science 258*:1358-1362 (1992)) are also in reasonable agreement with their predicted structures.

15   Thus far, the structure of Epo has not been analyzed by either X-ray diffraction or by NMR. In order to begin to gain an understanding of structure-function relationships, we have taken a three-pronged approach:

         a)   Sequence determination of Epo from mammals of different orders in order to establish regions of homology. This work is described in

20 Example I.

         b)   Construction of a model of the three-dimensional structure of Epo, followed by the design and preparation of muteins that test this model. These experiments are presented in this Example.

         c)   Design and testing of muteins that provide information on

25 receptor binding domain(s). This work is presented in Example III.

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Materials and Methods

Computer-based Modeling of Structure

    Prediction of Secondary Structure. Epo sequences from human, monkey, mouse, rat, sheep, pig, and cat were aligned (See Example I), and
5   examined using a hierarchical approach to secondary structure prediction that assumes that these proteins are members of the α/α folding class (Levitt, M. *et al., Nature 261*:552-558 (1976)). First, the pattern-based method of Cohen, F.E. *et al., Biochemistry 25*:266-275 (1986) for turn prediction was used to delimit sequence blocks likely to contain secondary structure. Predictions using
10 the methods of Garnier, J. *et al., J. Mol. Biol. 120*:97-120 (1978) and Chou, P.Y. *et al., Ann. Rev. Biochem. 47*:251-276 (1978) suggested α-helical regions within these blocks. Finally, helical wheel projections were used to examine and then limit helix length based on preserving amphipathic character as codified in the work of Presnell, S.R. *et al., Biochemistry 31*:983-993
15 (1992). The locations of glycosylation sites were also used to suggest helix boundaries.

    Tertiary Structure Prediction. Earlier investigations have revealed the general principles of helix-to-helix packing in globular proteins (Richmond, T.J., *et al., J. Mol. Biol. 119*:537-55 (1978)). Exploring these principles,
20 Cohen, F.E. *et al., J. Mol. Biol. 132*:275-288 (1979) developed a method for the generation of three-dimensional protein structures from the secondary structure assignment. These methods have been applied to myoglobin, tobacco mosaic virus coat protein, growth hormone, α- and β-interferon, IL-2,and IL-4 (Cohen, F.E., *et al., J. Mol. Biol. 132*:275-288 (1979); Cohen, F.E., *et al.,*
25 *Science 234*:349-352 (1986); Cohen, F.E., *et al., Proteins: Struc. Func. Gen. 2*:162-166 (1987); Sternberg, M.J.E., *et al., Int. J. Biological Macromolecules 4*:137-144 (1982)).

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The algorithm for tertiary structure generation is divided into four computations. The program **aapatch** identifies clusters of hydrophobic residues within the putative helices that could mediate helix-helix interactions (Richmond, T.J., et al., J. Mol. Biol. 119:537-55 (1978)). **Aafold** generates

5 all possible helix pairings according to the location and geometric preferences of the interaction sites. **Aabuild** generates the three-dimensional models of all possible structures from the list of helix pairings (from **aafold**) and subject to stearic restrictions and geometric constraints on chain folding. In the final step, **aavector** applies the user defined distance constraints (e.g., disulfide

10 bridges) to the structures generated. At this stage, coordinates have been specified only for residues in the core α-helices. For residues in sequentially distinct loops, lower bounds on the inter-residue distances can be inferred from the relevant helix terminus.

Preparation of Epo Muteins

15 *Construction of the Mutagenic/Mammalian Expression Plasmid.* A M13 plasmid, containing a 1.4 Kb EcoRI-EcoRI human Epo cDNA insert (λHEpo FL12) was a gift from Genetics Institute (Cambridge, MA) (Jacobs, K., et al., Nature 313:806-810 (1985)). A 943 bp EcoRI-BglII fragment, corresponding to the complete coding sequence of the wild type human

20 erythropoietin, including untranslated regions 216 bp upstream and 183 bp downstream, was inserted into the mammalian expression plasmid pSG5 (Stratagene) (Sambrook, J., et al., In "Molecular Cloning: A Laboratory Manual", 2nd ed., Cold Spring Harbor Laboratory, Cold Spring Harbor, NY, pp. 1.38-1.41 (1989)) and named pSG5-Epo/WT.

25 *Site-Directed Mutagenesis* was carried out according to the protocol described by Kunkel, T.A., et al., Meth. Enzymol. 154:367-382 (1987). Single-stranded DNA was rescued from the pSG5-Epo/WT phagemid grown overnight in *E. coli* CJ236, in 2XYT media containing M13KO7 helper phage

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(In Vitrogen) and 70 µg/ml kanamacyn (Sigma). The resulting uracil-containing ssDNA was used as a template for mutagenesis. Oligonucleotides (24 to 46 mer) were synthesized with their 5' and 3' ends complementary to the target wild type Epo sequence. A large variety of mutations (base

5   substitutions, deletions and insertions) were created at the centers of the mutagenic primer sequences. Annealing of the phosphorylated primers (10:1 oligonucleotide/DNA template molecular ratio) was performed in 10 µl of a 20 mM Tris-HCl pH 7.4, 2 mM $MgCl_2$, 50 mM NaCl solution. The reactions were incubated at 80°C for 5 minutes, then allowed to cool slowly to room

10   temperature over a one hour period. The DNA polymerization was initiated by the addition as a mix of 1 µl of 10X synthesis buffer (100 mM Tris-HCL pH 7.4, 50 mM $MgCl_2$, 10 mM ATP, 5 mM each dNTPs, 20 mM DTT), 0.5 µl (8 units) of T4 DNA ligase and 1 µl (1 unit) of T4 DNA polymerase (Boehringer Mannheim). After 2 hours at 37°C, 80 µl of 1X TE was added.

15   5 µl of the diluted reaction mix was used to transform competent *E. coli* NM522 (ung^+, dut^+).

Since a 40-80% mutation yield is normally obtained, 4 to 5 double-stranded plasmid clones from each reaction were sequenced with 7-deaza-dGTP and Sequenase (U.S. Biochemical) (Sanger, F., *et al.*, *Proc. Natl.*

20   *Acad. Sci. USA 74*:5463-5467 (1977)). As a rule, the entire coding sequences of the Epo mutants were examined for the presence of unwanted mutation by sequencing or restriction enzyme mapping.

Production of Wild Type Epo and Epo Muteins in Mammalian Cells.
Cos7 cells grown to approx. 70% confluency were transfected with 10 µg

25   recombinant plasmid DNA per 10 cm dish using the calcium phosphate precipitation protocol (Kingston, R.E., *et al.*, In "Current Protocols in Molecular Biology", Green Publishing Associates & Wiley Interscience, NY, pp. 9.1.1-9.1.9 (1991)). As a control of transfection efficiency, in several experiments 2 µg of pCH110 plasmid (Pharmacia) was co-transfected and β-

30   galactosidase activity measured in the cytoplasmic extracts.

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RNA Blot-Hybridization Analysis. Total RNAs were prepared from cultured Cos7 cells (Chirgwin, J.M., *et al., Biochemistry 18*:5294-5299 (1979)) and 2 μg samples electrophoresed on a 1.1% agarose gel containing 2.2 M formaldehyde. Transfer to GeneScreen Plus filters (New England
5   Nuclear) and hybridization with a ^{32}P-labeled wt Epo probe were carried out as previously described (Faquin, W.C., *et al., Blood 79*:1987-1994 (1992)).

Quantitation of Transiently-Expressed Recombinant Epos. The amount of secreted protein in the supernatants of transfected Cos7 was determined by a radioimmune assay (RIA). The RIA was performed using a
10   high titer rabbit polyclonal antiserum raised against the human wild type Epo and produced in our laboratory. ^{125}I-labeled recombinant Epo was obtained from Amersham. Details of the protocol have been published elsewhere (Faquin, W.C., *et al., Exp. Hematol. 21:* 420-426 (1993)).

Immunoprecipitation of ^{35}S-labeled Epo Proteins. Three days after
15   transfection, the Cos7 monolayers were washed extensively with 1X PBS and the cells incubated for 20 minutes at 37°C in 2 ml of Met/Cys⁻ Minimum Essential Media Eagle modified. In each culture dish, 100 μl of TRAN35S-LABEL ([^{35}S]-cysteine and [^{35}5]-methionine, ~ 10 mCi/ml, ICN Biochemical) was then added. After two hours, the conditioned media were harvested and
20   cellular extracts were prepared by lysis in radioimmune precipitation buffer (50 mM Tris-HCl pH 8.0, 150 mM NaCl, 0.02% (w/v) sodium azide, 0.1% (w/v) SDS, 0.5% (w/v) sodium deoxycholate, 1% (w/v) Triton X-100, 1 mM phenylmethanesulfonyl fluoride and 1 μg/ml aprotinin). Samples were precleared with rabbit preimmune serum/protein A-Sepharose CL-4B
25   (Pharmacia) for two hours. Immunoprecipitations were performed overnight with our polyclonal antibody specific for human recombinant wild type Epo and immunoadsorbed with protein A-Sepharose CL-4B. Immunoprecipitates were run on 15% SDS-polyacrylamide gels (Laemmli, U.K., *Nature 227*:680-685 (1970)) and analyzed by autoradiography after treatment with
30   Enhance (New England Nuclear).

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      Bioassays. The dose-dependent proliferation activities of wild type Epo
and Epo muteins were assayed *in vitro* using three different target cells:
murine spleen cells, following a modification of the method of Krystal
(Krystal, G., *Exp. Hematol. 11*:649-60 (1983); Goldberg, M.A., *et al., Proc.*

5   *Natl. Acad. Sci. USA 84*:7972-7976 (1987)); Epo responsive murine
erythroleukemia cell line, developed by Hankins (Hankins, W.D., *et al.,*
Blood 70:173a (1987)); and human Epo-dependent UT-7/Epo cell line, derived
from the bone marrow of a patient with acute megakaryoblastic leukemia
(Komatsu, N., *et al., Cancer Res. 51*:341-348 (1991)). After 22 to 72 hours

10   of incubation with increasing amounts of recombinant proteins, cellular growth
was determined by [^{3}H]-thymidine (New England Nuclear) uptake or by the
colorimetric MTT assay (Sigma) (Yoshimura, A., *et al., Proc. Natl. Acad.*
Sci. USA 87:4139-4143 (1990)).

      Bacterial Expression. The wild type Epo target, corresponding to the

15   nucleotide sequence coding for the mature protein, was PCR-amplified using
appropriate primers. In the sense primer an NdeI site (CATATG) was placed
immediately 5' to the Ala1 codon of the mature protein. In the antisense
primer a BglII site was placed 3' to the TGA stop codon. After enzymatic
digestion, the 516 bp PCR fragment was inserted in an NdeI/BamHI-cut

20   pET16b plasmid (Novagen), which has a T7 promoter followed immediately
by the lac operator. IPTG induction of transformed *E. coli* BL21 (DE3) (T7
RNA polymerase$^+$, lon$^-$, ompT$^-$) resulted in high levels of expression of a
fusion protein with a ten histidine stretch at the amino terminus. The oligo-
His tag allowed the binding of the produced (His$_{10}$)-Epo on a nickel affinity

25   resin and its elution by increasing imidazole concentrations in presence of
phenylmethylsulfonyl fluoride (Sigma). Most of the produced protein formed
insoluble aggregates and was solubilized and affinity-purified under denaturing
conditions in 6 M guanidine-HCl. Oxidative refolding was performed by
overnight dialysis at 4°C against 50 mM Tris-HCl pH 8.0, 40 μM CuSO$_4$ and

30   2% (wt/vol) Sarkosyl. Soluble protein was further dialyzed against 20 mM
Tris-HCl pH 8.0, 100 mM NaCl, 2 mM CaCl$_2$ and subjected to factor Xa

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(New England Biolabs) cleavage to remove the NH_2-polyHis sequence. Monitoring of the fusion protein following induction and during the various steps of purification was done by electrophoresis on a 15% polyacrylamide-SDS gel, stained with Coomassie Brilliant Blue. Alternatively, the His-Epo
5, fusion protein was detected on Western Blot (Gershoni, J.M., et al., Anal. Biochem. 131:1-15 (1983)), using a 1/2000 dilution of our wt native Epo polyclonal antibody and a second biotinylated rabbit-specific antibody which · is detected with a streptavidin-alkaline phosphatase conjugate (Amersham).

In Vitro Transcription/Translation. Sense and antisense primers,
10 creating new BglII sites respectively 5' and 3' of the initiator and stop codons, were used in a polymerase chain reaction on pSG5-Epo/WT template. After BglII cleavage, the 594 bp PCR-fragment was subcloned into p SP64T (Krieg, P.A., et al., Nucl. Acid. Res. 12:7057-7070 (1984)). This SP6 containing · vector provides 5' and 3' flanking regions from Xenopus β-globin mRNA,
15 which allow efficient *in vitro* transcription/translation. Previous experiments showed poor yields of *in vitro* translated protein, when using the GC-rich natural 5' Epo untranslated region (UTR). One step *in vitro* transcription/ translation was carried out by incubation of 1 µg of circular p64T-Epo in a 50 µl reaction volume of SP6-TnT coupled rabbit reticulocyte lysate system
20 (Promega), in presence of [^{35}S]-cysteine (1200 Ci/mM, New England Nuclear). In some cases, canine pancreatic microsomal membranes were added to the reaction mix. A purified GST-human Epo receptor extracellular domain fusion protein (EREx) was a gift from W. Harris and J. Winkelman, and the binding of the ^{35}S-labeled translation products onto EREx-glutathione
25 agarose beads were performed as described (Harris, K.W., et al., J. Biol. Chem. 267:15205-15209 (1992)).

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Results

Construction of a Model of the Three-Dimensional Structure of Erythropoietin

From an analysis of the putative Epo helix sequences, **aapatch**
5   identified eight possible helix-helix interaction sites. In principle, these sites
could be used to generate 1.6×10^4 structures. Of these, only 706 maintained
the connectivity of the chain and were sterically sensible. These structures
resembled four helix bundles, an increasingly common motif in protein
structure (Presnell, S.R., *et al., Proc. Natl. Acad. Sci.* 86:6592-6596 (1989)).
10 The structures that were not compatible with the native disulfide bridge
between Cys_7 and Cys_{161} were eliminated. This reduced the total number of
structures from 706 to 184 (total computer time approximately 1 hr. on a
Silicon Graphics IRIS 4D/35G). The remaining structures were then rank
ordered by solvent-accessible surface contact area, a measure of the validity
15 of model structures. The most compact structures were right handed, all anti-
parallel four-helix bundles with no overhand connections, but this may be an
artifact of a failure to add the polypeptide chain that forms the loops to the
helical core constructed by **aabuild**. The other less compact structures were
left-handed four-helix bundles with two overhand loops, a topology previously
20 seen in the structures of IL-4 and growth hormone. We suspect that this is the
likely structure for Epo. The consensus for assignments of putative α-helices
in human Epo are summarized in Table III. First, analysis of the topological
distribution of known four-helix bundle structures indicate that nearly all
examples have an antiparallel orientation (Presnell, S.R., *et al., Proc. Natl.*
25 *Acad. Sci.* 86:6592-6596 (1989)). Second, the left-handed four-helix bundles
with two overhand connections arranges the four amphipathic helices to form
a compact hydrophobic core. Finally, a path for the loop regions of Epo can
be imagined by analogy to IL-4 and growth hormone that preserves the
compact globular nature of the Epo model structure. Figure 9 shows

schematic representations of the possible topological interactions between the four-anti-parallel α-helical bundles.

Table III		
Predicted α-helical regions of the mature erythropoietin protein			
Helix	N-terminus	C-terminus	Potential Helix-Helix Interaction Sites
A	9	22	19
B	59	76	63, 67, 70, 71
C	90	107	95, 102
D	132	152	141
Data were obtained using the various algorithms for secondary and tertiary structure generations described under Materials and Methods.			

Several authors have suggested that the helical cytokines form a structural superfamily (Cohen, F.E., *et al.*, *Science* 234:349-352 (1986); Parry, D.A.D., *et al.*, *J. Mol. Recognition* 1:107-110 (1988); Bazan, J.F., *Biochem. Biophys. Res. Comm.* 164:788-795 (1989); Cosman, D., *et al.*, *Trends Biochem. Sci.* 15:265-270 (1990); Bazan, J.F., *Proc. Natl. Acad. Sci. U.S.A.* 87:6934-6938 (1990)). On the basis of both the mature protein and the individual α-helices, Epo seems to be more closely related to growth hormone, prolactin, IL-6 and GM-CSF rather than the other members of the helical cytokine superfamily. Nevertheless, recent improvements in algorithms for the identification of distant evolutionary relationships between proteins from structural fingerprints suggested that it might be possible to align the IL-4 structure to the Epo sequences. The Eisenberg (Bowie, J.U., *et al.*, *Science* 253:164-170 (1991)) structural environment and 3D-1D profile methods are a powerful tool for recognizing that a sequence is compatible with a known structure, e.g., a four-helix bundle. The NMR structure of IL-4 from Smith, L.J., *et al.*, *J. Mol. Biol.* 224:899-904 (1992) was used to construct a 3D-1D profile. A mixture of sequences including four helix

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bundles, globins, and non-helical structures were aligned against the IL-4 profile. Not surprisingly, the IL-4 structures from human and mouse gave the highest scores (Z^2 = 22.8 and 8.1). However, the other known four-helix bundle cytokines known to share a similar fold with IL-4, e.g., human growth

5   hormone (Abdel-Meguid, S.S., *et al., Proc. Natl. Acad. Sci.* 84:6434-6437 (1987)) (Z = 2.3) and GM-CSF (Diederichs, K., *et al., Science* 254:1779-1782 (1991)) (Z = 2.3) fared no better than some globin sequences (Kuroda's and slug sea hare globin, Z = 5.0 and 4.8) that adopt a distinct tertiary structure. The results for the human and sheep Epo sequences were

10   also ambiguous (Z = 1.6 and 0.8). These results suggest that while profile methods are a powerful tool for recognizing structural similarity, their failure to identify homology does not exclude the possibility that two proteins share a common fold. For distantly related or unrelated structures, current profile methods cannot replace *de novo* methods for tertiary structure prediction.

15   *Design and Expression of Epo Muteins that Test the Proposed Structure*

    To test the proposed four α-helical bundle structure of erythropoietin and at the same time to attempt to locate functional domains, we created by site-directed mutagenesis a series of deletion, insertion and replacement mutants. These muteins were designed to analyze the principal predicted

20   structural features of the molecule: α-helices, interconnecting loops, as well as the NH_2 and COOH termini. Structural and functional implications of the disulfide bridges and the glycosylation sites were also investigated.

    α Helices. Short amino acid deletions were prepared in, or close to, the predicted A, B, C and D α helices. Human wild type and muteins were

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25   [2]Z-scores are used to describe the normalized weight associated with a profile score. A distribution is built from a collection of sequences with a mean 2 score of 0.0 and a standard deviation of 1.0. Z-scores greater than 6.0 are associated with significant alignments. Z-scores between 3.0 and 6.0 may or may not be structurally relevant.

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transiently expressed in Cos7 cells. Northern blot analyses demonstrated that all the mutant plasmids produced about the same amount of mRNA as that of the wild type (data not shown). Yet, no detectable amount of Epo protein could be found in the Cos7 supernatants, either by radioimmunoassay or by
5   bioassay using various Epo-dependent cell lines. Table IV summarizes these findings.

TABLE IV

Short deletions in, or close to, α helices

mutants.		
Δ 12-16	9 ↓ 22	A HELIX
Δ 65-69	59 ↓ 76	B HELIX
Δ 96-100 Δ 105-109	90 ↓ 107	C HELIX
Δ 122-126		
Δ 131-135 Δ 140-144 Δ 142-150 Δ 152-155	132 ↓ 152	D HELIX
Δ 156-160		

- RNA levels comparable to WT.

- no detectable Epo in the Cos7 supernatant, both by RIA and bioassay.

Predicted N and C termini of each α helix are indicated in the vertical boxes.

An example of SDS-PAGE of immunoprecipitants from *in vivo* ^{35}S-labeling is presented in Figure 10. As expected, when Cos7 cells were transfected with pSG5-Epo/WT, a 35-37 KD band was detected in the supernatant. In contrast, the deletion mutants (Table IV) could be detected in
5   cellular extracts but were not exported from the cells. Figure 10 shows the

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cytoplasmic retention of the mutein Δ140-144, lacking four residues in the
middle of the predicted D helix. The apparent molecular weight (around 28
kD) is less than expected for a five-amino acid deletion. Therefore not only
the secretion, but also the glycosylation, seem to be impaired. None of these

5   muteins had deletion of glycosylation sites. It is likely that full glycosylation
of Epo requires conservation of its molecular architecture. Similar results
(reported in Table IV) were obtained for all the muteins having partial deletion·
of an α helical peptide segment.

Because contaminants in crude Cos7 cellular extracts severely interfere

10 with the radioimmunoassay, no direct Epo quantitation was possible. ·
However, aliquots of hypotonic extracts of Cos7 transfected with wild type
Epo were able to sustain HDC57 proliferation. No similar biological activity
was found for muteins with limited deletion of a helices.

Interconnecting Loops. The peptide segment joining A and B helices

15 presents several interesting features (Figure 11). AB loop consists of 36
amino acids. Two N-glycosylation sites and a small disulfide bridge are
located in the first half and their biological implications will be discussed later.
The COOH end of the AB loop contains a stretch of amino acids that is
strongly conserved among mammals (See Example I). Alignments of human,

20 monkeys, cat, mouse, rat, pig, and sheep Epos showed a consensus sequence:
DTKVNFYAWKR(M/I)(E/D)VG (residues 43 to 57) [SEQ. ID NO: 4].
Three deletions were constructed: Δ43-47, Δ48-52, and Δ53-57, and
transiently expressed in Cos7. The amount of muteins detected by RIA in the
supernatants of transfected cells was 10 to 40% lower than observed with wild

25 type Epo (Figure 11A). Nevertheless, the three secreted muteins were
biologically active. However, because Δ48-52 exhibited a marked decrease
of the specific bioactivity, this site was studied in more detail by means of
serine replacements. Krystal *ex vivo* bioassay as well as HCD57 and
UT-7/Epo *in vitro* bioassays showed that these Ser mutants had biological

30 activities similar to that of wild type (Figure 11B). Therefore, the observed
decreases in both RIA and bioassay for the three deletion mutants are likely

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to be the result of changes of structural conformation. The long length of loop AB may be critical for the up-up-down-down topography. A shorter AB segment may impose a strain on the interhelical connection. Chou and Fasman algorithms (Chou, P.Y., *et al., Ann. Rev. Biochem.* 47:251-276 (1978)) predicted a short β-sheet structure from residues 44 to 51 (<Pa>; <Pb>, 1.005<1.196). The presence of a short region of β-sheet in the connection between helices 1 and 2 (A and B) have been documented in the analyses of the three-dimensional structures of IL-4 (Redfield, C., *et al., Biochemistry* 30:11029-11045 (1991); Powers, R., *et al., Science* 256:1673-1677 (1992)), GM-CSF (Diederichs, K., *et al., Science* 254:1779-1782 (1991)), and monomeric M-CSF (Pandit, J., *et al., Science* 258:1358-1362 (1992)). In contrast, in human GH a short segment of a helix is found at the same location (Abdel-Meguid, S.S., *et al., Proc. Natl. Acad. Sci. USA* 84:6434-6437 (1987)). The structure/function implications of these short features are not yet understood.

Helix B is linked to helix C by a much shorter segment (residues 77 to 89) and contains in its center the third N-glycosylation site (Asn83). When the Δ78-82 mutein was expressed, a secreted protein was detected in the conditioned medium and conferred proliferative bioactivity on Epo-dependent cell lines (see Figure 16).

A similar long crossover connection (23 amino acids) is found between helix C and helix D. In contrast to what we previously observed for loop AB, a large deletion of nine residues at position 111-119 or a seven amino acid insertion of a myc epitope after residue 116 did not affect the secretion of these muteins (Figure 12). Furthermore, these two proteins had normal specific activity, as seen by the ratio of bioassay to RIA. Our rabbit polyclonal antibody raised against the native form of the human wild type fully recognized the two mutants, demonstrating that the overall spatial conformation of Epo was well preserved. According to the algorithm of Emini, E.A., *et al., J. Virol.* 55:836-839 (1985), the residues 111-119 are predicted to be at the surface of the molecule. Primary amino acid alignments

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of mammalian Epo showed a large variation in the sequence of residues 116 to 130, including amino acid deletion, insertion, and substitution (See Example I). Surprisingly, when the deletion Δ122-126 mutein, which removed the O-glycosylation site (Ser 126), was transiently expressed in

5   monkey cells, protein secretion was inhibited. Both rodents, rat and mouse, lack the O-glycosylation site because of a Ser126 to Pro replacement. Furthermore, when a Ser126 replacement mutein was expressed in normal · CHO cells, (DeLorme, E., et al., Biochemistry 331:9871-9876 (1992)) or when wild type Epo was expressed in cells having a defect in O-linked

10   glycosylation (Wasley, L.C., et al., Blood 77:2624-2632 (1991)), neither secretion nor biological activity were impaired. Therefore, failure of secretion of the Δ122-126 mutein may be the result of some other structural alteration. In particular, the proline residue at position 122 is invariant among mammals.

N and C Termini. Deletion of residues 2 to 6 only slightly affected

15   the processing of a biologically active protein (see Figure 16). This deletion may impair cleavage of the propeptide, therefore explaining the lower yield of secreted Epo mutein in comparison to that of wild type. The fact that the mature monkey protein has an elongated (Val-Pro-Gly) N-terminus strongly suggests that the N-terminal part is not involved in the bioactivity of the

20   molecule. Further evidence comes from the results, reported below, on the N-poly-His-Epo fusion protein expressed in E. coli, and also from the identical binding of in vitro translated ^{35}S-labeled wild type Epo onto EREX-glutathione agarose beads (Figure 13), with or without addition of canine pancreatic microsomal membranes which permit cleavage of the propeptide.[3]

25   The C-terminal sequence following helix D can clearly be divided into two distinct domains, separated by Cys161. The residues 151 to 161 were of special interest because they are highly conserved among mammals (See Example I). There are only two substitutions: Lys164 replaced by a Thr in

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[3]All the mutants described in this example were subcloned into pSPG4T

30   plasmid.

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artiodactyls and cat, and Ala160 is replaced by a Val in mouse Epo. Both the Δ152-155 and the Δ156-160 muteins remained in the cytosol of the transfected Cos7 (Table IV). One possible explanation is that the residues 152 to 160 may, in fact, participate in the D helix. We predict that Gly151 is the break

5   point of the structure. However, it is possible that this residue causes only a bend in the a helical structure and helix D may extend to Gly158.

The C-terminal part of the protein (residues 162 to 166) is clearly not involved in any structural or functional feature. Thus, the deletion of the four last amino acids or the replacement of residues 162-166 by either a KDEL

10   sequence or a poly-histidine sequence[4] did not modify the specific activity of the erythropoietin (Figure 14). Radioimmunoassay revealed that the secretion of the KDEL-tail mutein in the media of transfected cells was 45% less than normally obtained with the wild type Epo. However, when compared to the wild type, this mutein had more biological activity in the hypotonic Cos7 cell

15   extracts. The KDEL COOH-terminal sequence has been shown to be essential for the retention of several proteins in the lumen of the endoplasmic reticulum (Andres, D.A., et al., J. Biol. Chem. 266:14288-14282 (1991)). Nevertheless, because of overproduction in transiently expressed cells, a large percentage of recombinant protein escaped into the media.

20   _Disulfide Bridges._ Wang et al. demonstrated that the biological activity of Epo was lost irreversibly if the sulfhydryl groups were alkylated (Wang, F.F., et al., Endocrinology 116:2286-2292 (1985)). The mature human Epo has two internal disulfide bonds: Cys7=Cys161, linking the NH_2 and COOH termini of the protein and a small bridge between Cys29 and

25   Cys33. Cys33 was previously changed to Pro by site-directed mutagenesis, and the resulting protein was reported to have greatly reduced _in vitro_ biological activity (Lin, F.-K., _Molecular and Cellular Aspects of_

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[4]The poly-His tail wild type mutant was purified by means of nickel affinity chromatography which will enable quantitation of cytosolic-retained
30   mutants. The (His)$_6$ COOH-terminal sequence has been appended to all the muteins described in this example.

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Erythropoietin and Erythropoiesis H8:23-36, NATO AS1 Series, Springer-Verlag, Berlin Heidelberg (1987)). However, rat and mouse Epos have the same substitution and yet exhibit full cross-species bioactivity. To resolve the role of the small disulfide bridge in human Epo function, we created a C29Y/C33Y double mutation. The resulting mutein was normally processed and showed the same *in vitro* bioactivity as the wild type (Figure 11A).[5] Furthermore, the deletion of five amino acid residues (Δ32-36) did not impair the secretion of a biologically active mutein. These data demonstrated that only the native and fully conserved disulfide bridge Cys7=Cys161 is crucial for the preservation of the molecular structure of erythropoietin.

Functional Role of the Glycosylation. Natural or recombinant human Epo is a heavily glycosylated protein; 40% of its molecular weight is sugars (Davis, J.M., *et al.*, *Biochemistry* 26:2633-2638 (1987)). The protein has three N-linked oligosaccharide chains, located at amino acid positions 24 and 38 (in predicted loop AB) and position 83 (in loop BC). It has one O-linked carbohydrate chain at position 126 (in loop CD), which is missing in rodents. The role of these sugar chains in the biological activity of the human hormone has been extensively studied. Site-directed mutagenesis at the N-glycosylation sites demonstrated that even though the sugars were important for proper biosynthesis and secretion, their removal did not affect *in vitro* activity. This finding was corroborated by several investigators (Yamaguchi, K., *et al.*, *J. Biol. Chem.* 266:20434-20439 (1991); Dubé, S., *et al.*, *J. Biol. Chem.* 263:17516-17526 (1988)). However, Takeuchi, M., *et al.*, *J. Biol. Chem.* 265:12127-12130 (1990) found that N-glycanase digestion results in almost complete loss of biological activity. In contrast, there is general consensus that glycosylation plays a key role in the biological activity of the hormone *in vivo*. Various reports have demonstrated that the N-linked sugar chains enhance the stability and survival of Epo in the blood stream (Fukuda,

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[5]Two single replacement muteins (C29Y and C33Y) were also stable and had full biological activity (results not shown).

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M.N., *et al., Blood* 73:84-89 (1989); Tsuda, E., *et al., Eur. J. Biochem.* 188:405-411 (1990)) and protect the hormone against clearance by the liver (Sasaki, H., *et al., J. Biol. Chem.* 262:12059-12076 (1987)), thereby enabling the transit of the hormone from its site of production in the kidney to its target

5   cells in the bone marrow (Spivak, J.L., *et al., Blood* 73:90-99 (1989)).

We expressed the wild type Epo in *E. coli.* Accordingly, the produced protein completely lacks sugar. The pET expression system was used and is detailed in the Methods section. IPTG induction of transformed 8L21 (DE3). bacterial strain rapidly results in a high level of expression of the poly-His Epo

10   fusion protein (Figure 15A and 15B). After three hours of induction, we obtained a typical yield of ~1 mg of total protein per ml of culture. However, the vast majority of the expressed protein was present in the inclusion bodies and therefore its solubilization and purification on the nickel beads was performed in 6 M guanidine-HCl. Oxidative refolding and factor

15   Xa cleavage resulted in soluble forms (Figure 15C) and the *in vitro* biological activity was tested on HDC57 cells. The cleaved *E. coli* recombinant Epo showed a notable decrease of the specific activity (10% less than the fully glycosylated mammalian expressed protein), but was still able to maintain HCD57 proliferation. The observed reduction of *in vitro* activity is likely to

20   be due to improper refolding of the insoluble protein and impaired physical stability of the *E. coli* Epo as previously reported (Narhi, L.O., *et al., J. Biol. Chem.* 266:23022-23026 (1991)). However, the fact that the *E. coli* Epo was still able to trigger HCD57 growth indicated an overall preservation of the molecular structure. The uncleaved fusion protein, with 10 His residues at the

25   N-terminus, exhibited a 67% loss in biological activity when compared to the cleaved protein. Thus, the addition of a 20-residue sequence to the N-terminus partially inhibited the biological activity.

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Discussion

Currently the accrual rate of new protein sequences through gene cloning far outstrips the rate of determination of three dimensional structure. Epo is among a large number of biologically important proteins which have
5 not yet been analyzed by X-ray diffraction or NMR. The problem is simplified by cumulative evidence that the structures of most proteins are likely to be variations on existing themes (Levitt, M., *et al., Nature 261*:552-558 (1976)). Indeed, as mentioned above, Epo appears to share common structural features with a large group of cytokines (Parry, D.A.D., *et al., J. Mol.*
10 *Recognition 1*:107-110 (1988); Bazan, F., *Immunology Today 11*:350-354 (1990); Manavalan, P., *et al., J. Protein Chem. 11*:321-331 (1992)).

Computer-based prediction of structure can be reduced to a three stage process: secondary structure is predicted from the primary amino acid sequence and, when available, optical measurements. Analysis of Epo by
15 circular dichroism reveals about 50% α helix and no detectable β sheet (Lai, P.-H., *et al., J. Biol. Chem. 261*:3116-3121 (1986); Davis, J.M., *et al., Biochemistry 26*:2633-2638 (1987)). With the knowledge of disulfide bonds, secondary structural elements are then packed into a set of alternative tertiary structures. The number of plausible arrangements can be reduced by
20 empirical knowledge of preferred helix-helix packing geometries and the need for globular structure to form a hydrophobic core. The putative tertiary structure is then refined by standard force field calculations. Since there are a large number of alternate tertiary structures, the availability of experimentally determined structure of a homologous protein is critically
25 important. Thus the predicted model of Epo structure gains considerable validity by knowledge of the structures of GH (Abdel-Meguid, S.S., *et al., Proc. Natl. Acad. Sci. USA 84*:6434-6437 (1987); deVos, A.M., *et al., Science 255*:306-312 (1992)) and IL4 (Redfield, C., *et al., Biochemistry 30*:11029-11045 (1991); Powers, R., *et al.,*
30 *Science 256*:1673-1677 (1992)).

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We have tested the predicted four anti-parallel α-helical bundle structure by means of site-directed mutagenesis. Deletions within predicted α helices would be expected to destabilize tertiary structure, whereas deletions or insertions in non-helical segments should be permitted unless they impose

5   undue strain on the structure. For example, a deletion in an overhand inter-helical loop may result in insufficient length to connect the two helices. Results that we have obtained on muteins produced in mammalian (Cos7) cells· are summarized in Figure 16. Our measurements of the quantities of processed mutein by RIA may underestimate the true amount of secreted Epo.

10   Even a small deletion or insertion can result in a conformational change that may lead to impaired binding by our polyclonal antibody, raised against native human Epo. Thus the values for specific activity (biologic activity/RIA) that we report must be regarded as approximations. This caveat notwithstanding, our mutagenesis results are in good agreement with our proposed four

15   α-helical model of erythropoietin. The proper folding of Epo into its native tertiary structure is necessary for stability and biological function. Muteins with short deletions inside predicted a-helices were not processed and exhibited no biological activity. In contrast, when deletions were created in predicted interconnecting loops, secreted proteins were detected, to varying degrees,

20   both by radioimmunoassay and bioassay. Furthermore, additions or deletions at the N- or C-termini did not markedly impair the secretion and the biological activity of the Epo protein. Moreover, mutations at Cys29 and Cys33 showed that the small disulfide loop is not critical for biological activity. In order to delineate Epo's functionally important residues involved in the direct binding

25   onto the Epo receptor, we have prepared and tested a series of amino acid replacements on the surfaces of the predicted α helices. These experiments are described in a Example III.

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EXAMPLE III

Erythropoietin Structure-Function Relationships:
Muteins That Test Functionally Important Domains

Introduction

5   The Epo receptor is a member of an ever enlarging family of cytokine ·
receptors (Bazan, F., *Biochem. Biophys. Res. Commun. 164*:788-796 (1989)).
In like manner, Epo shares weak sequence homology with other members of
a family of cytokines which also include growth hormone, prolactin, IL-2,
IL-3, IL-4, IL-5, IL-6, IL-7, G-CSF, GM-CSF, M-CSF, oncostatin M,

10   leukemia inhibitory factor and ciliary neurotrophic factor (Parry, D.A.D.
et al., J. Mol. Recognition 1:107-110 (1988); Bazan F., *Immunology
Today 11*:350-354 (1990); Manavalan, P. *et al., J. Protein Chem. 11*:321-331
(1992)). The genes encoding these proteins have similar numbers of exons as
well as a clear relationship between intron-exon boundaries and predicted α-

15   helical bundles. Such theoretical models of the structures of human growth
hormone (Cohen, F.E. *et al., Proteins: Struct. Func. Genet. 2*:162-166
(1987)) and IL-4 (Curtis, B.M. *et al., Proteins: Struct. Func. Genet.
11*:111-119 (1991)) have been in remarkably good agreement with subsequent
structures established by X-ray diffraction (HGH) (Abdel-Meguid, S.S. *et al.,

20   Proc. Natl. Acad. Sci. USA 84*:6434-6437 (1987); de Vos, A.M. *et al.,
Science 255*:306-312 (1992)) or by multidimensional NMR (IL-4) (Redfield,
C. *et al., Biochemistry 30*:11029-11035 (1991); Powers, R. *et al.,
Science 256*:1673-1677 (1992)). Moreover, the crystal structures of GM-CSF
(Diederichs, K. *et al., Science 254*:1779-1782 (1991)) and monomeric M-CSF

25   (Pandit, J. *et al., Science 258*:1358-1362 (1992)) are also in reasonable
agreement with their predicted structures.

        The structure of Epo has not yet been analyzed by either X-ray
diffraction or by NMR. In order to begin to gain an understanding of
structure-function relationships, we have taken a three-pronged approach:

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    a)   Sequence determination of Epo from mammals of different orders in order to establish regions of homology. This work is described in Example I.

    b)   Construction of a model of the three-dimensional structure of Epo, followed by the design and preparation of muteins that test this model. These experiments are presented in Example II.

    c)   Design and testing of muteins that provide information on · receptor binding domain(s). This work is presented in this Example.

MATERIALS AND METHODS

Construction of the Mutagenic/Mammalian Expression Plasmid. A M13 plasmid, containing a 1.4 Kb *Eco*RI-*Eco*RI human Epo cDNA insert (λHEpo FL12) was a gift from Genetics Institute (Cambridge, MA) (Jacobs, K. *et al.*, *Nature 313*:806-810 (1985)). A 943 bp *Eco*RI-*Bgl*II fragment, corresponding to the complete coding sequence of the wild-type human erythropoietin, including untranslated regions 216 bp upstream and 183 bp downstream, was inserted into the mammalian expression plasmid pSG5 (Stratagene) (Sambrook, J. *et al.*, In "Molecular Cloning: A Laboratory manual", 2nd ed. Cold Spring Harbor, Laboratory, Cold Spring Harbor, N.Y., vol. 1, pp.1.38-1.41 (1989)) and named pSG5-Epo/WT.

Site-Directed Mutagenesis was carried out according to the protocol described by Kunkel, T.A. *et al.*, *Meth. Enzymol. 154*:367-382 (1987). Single-stranded DNA was rescued from the pSG5-Epo/WT phagemid grown overnight in *E. coli* CJ236, in 2XYT media containing M13K07 helper phage (In Vitrogen) and 70 µg/ml kanamacyn (Sigma, St. Louis, Mo). The resulting uracil-containing ssDNA was used as a template for mutagenesis. Oligonucleotides (24 to 46 mer) were synthesized with their 5' and 3' ends complementary to the target wild-type Epo sequence. A large variety of mutations (base substitutions, deletions and insertions) were created at the

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centers of the mutagenic primer sequences.   Annealing of the phosphorylated
primers (10:1 oligonucleotide/DNA template molecular ratio) was performed
in 10 μl of a 20 mM Tris-HCL pH 7.4, 2 mM MgCl₂, 50 mM NaCl solution.
The reactions were incubated at 80°C for 5 minutes, then allowed to cool

5   slowly to room temperature over a one hour period. The DNA polymerization
was initiated by the addition as a mix of 1 μl of 10X synthesis buffer (100 mM
Tris-HCl pH 7.4 50, mM MgCl₂, 10 mM ATP, 5 mM each dNTPs, 20 mM·
DTT), 0.5 μl (8 units) of T4 DNA ligase and 1 μl (1 unit) of T4 DNA
polymerase (Boehringer Mannheim). After 2 hours at 37°C, 80 μl of 1X TE

10   was added. 5 μl of the diluted reaction mix was used to transform competent
E. coli NM522 (ung⁺, dut⁺).

Since a 40-80% mutation yield is normally obtained, 4 to 5 double-
stranded plasmid clones from each reaction were sequenced with 7-deaza-
dGTP and Sequenase (U.S. Biochemical) (Sanger, F. *et al.*, *Proc. Natl. Acad.*

15   *Sci. USA 74:5463-5467* (1977)). As a rule, the entire coding sequences of the
Epo mutants were examined for the presence of unwanted mutation by
sequencing or restriction enzyme mapping.

Production of Wild-Type and Epo Muteins in Mammalian Cells.
Cos7 cells grown to approx. 70% confluency were transfected with 10 μg

20   recombinant plasmid DNA per 10 cm dish using the calcium phosphate
precipitation protocol (Kingston, R.E. *et al.*, In "Current Protocols in
Molecular Biology", Green Publ. Assoc. & Wiley Interscience, N.Y., vol. 1,
pp. 9.1.1-9.1.9 (1991)). As a control of transfection efficiency, in several
experiments 2 μg of pCH110 plasmid (Pharmacia) was co-transfected and β-

25   galactosidase activity measured in the cytoplasmic extracts.

RNA Blot-Hybridization Analysis. Total RNAs were prepared from
cultured Cos7 cells (Chirgwin, J.M. et al., *Biochemistry 18:5294-5299* (1979))
and 2 μg samples electrophoresed on a 1.1% agarose gel containing 2.2 M
formaldehyde. Transfer to GeneScreen Plus filters (New England Nuclear)

30   and hybridization with a ³²P-labeled wt Epo probe were carried out as
previously described (Faquin, W.C. *et al.*, *Blood 79:1987-1994* (1992)).

Quantitation of Transiently-Expressed Recombinant Epos. The amount of secreted protein in the supernatants of transfected Cos7 was determined by a radioimmune assay (RIA). The RIA was performed using a high titer rabbit polyclonal antiserum raised against the human wild-type Epo and produced in our laboratory. ^{125}I-labeled recombinant Epo was obtained from Amersham. Details of the protocol have been published elsewhere (Faquin, W.C. *et al., Exp. Hematol. 21:* 420-426 (1993)).

Bioassays. The dose-dependent proliferation activities of wt and Epo muteins were assayed *in vitro* using three different target cells: murine spleen cells, following a modification of the method of Krystal (Krystal, G., *Exp. Hematol. 11:*649-660 (1983); Goldberg, M.A. *et al., Proc. Natl. Acad. Sci. USA 84:*7972-7976 (1987)); murine Epo responsive murine cell line, developed by Hankins (Hankins, W.D. *et al., Blood 70:*173a (1987)); and human Epo-dependent UT-7/Epo cell line, derived from the bone marrow of a patient with acute megakaryoblastic leukemia (Komatsu, N *et al., Cancer Res. 51:*341-348 (1991)). After 22 to 72 hours of incubation with increasing amounts of recombinant proteins, cellular growth was determined by [^{3}H]-thymidine (New England Nuclear) uptake or by the colorimetric MTT assay (Sigma) (Yoshimura, A. *et al., Proc. natl. Acad. Sci. USA 87:*4139-4143 (1990)).

RESULTS AND DISCUSSION

Our objective is to identify the functionally important domains on the Epo molecule by preparing muteins that have altered specific activity, i.e. significantly high or low biological activity per unit mass of protein. Such muteins must satisfy the following criteria: efficient secretion by Cos7 cells; full recognition (near normal binding affinity) by the polyclonal anti-Epo antiserum that we use in our radio-immune assay; preservation of the overall folding and three dimensional structure. In order to meet these criteria, the muteins that we employ in this study have only single amino acid

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replacements. A comprehensive amino acid replacement scan of Epo would of necessity require the preparation and testing of a minimum of 166 muteins. Such an undertaking would be prohibitively labor intensive and costly.

5   In order to devise a rational strategy to reduce the number of required replacements, we have taken advantage of information we have obtained employing scanning deletion muteins (See Example II). On the basis of its primary amino acid sequence and disulfide bonds Epo, in common with other · members of the cytokine family is predicted to have a four antiparallel amphipathic α-helical bundle structure. We have shown that deletions in non-
10   helical regions at the N-terminus, the C-terminus and in loops between helices result in the formation of protein that is readily secreted from the cell and is biologically active. These regions can be ruled out as functionally important domains such as the sites involved in the binding of Epo to its receptor. These results suggest that the functionally important contact sites are likely to reside
15   on the predicted α helices. Furthermore, since the amphipathic helices are predicted to bind to one another along their hydrophobic surfaces, the biologically relevant contact sites are likely to be residues predicted to be on the external surfaces of the helices. These considerations greatly restrict the number of mutations needed to provide a comprehensive and informative body
20   of data.

           Because structure-function studies on growth hormone and IL-4 have indicated that Helices A and D are involved in receptor binding, most of our muteins have been directed to these regions.

           Results on Helix A muteins are shown in Figure 17. The top panel
25   shows a helical wheel projection. Replacement by alanine of the predicted external residues 13, 18, 20 and 21 have no significant impact on biological activity as determined by the relative incorporation of [^{3}H]-thymidine into Epo dependent HCD57 cells. However, the replacement of Arg14 by Ala showed markedly impaired biological activity.

30   Figure 18, top panel, shows a helical wheel projection for Helix D. Nine of the nine predicted external residues were replaced by alanine.

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Mutations at positions 136, 139 and 140 gave rise to proteins that were efficiently secreted and had full biological activity (Figure 18, bottom). In contrast Ala replacement of either Lys140, Arg143, Ser146, Asn147 or Lys154 resulted in muteins with significantly (3-fold) increased biological

5   activity. Replacement of Asn147 with Ala resulted in the greatest increase in biological activity. (Figures 23-25).

Because the C-terminal boundary of Helix D is uncertain, we tested alanine replacements at positions 152, 154 and 156 which are predicted to be in the adjacent non-helical segment at the C-terminus. As shown in Figure 19,

10   the Ala replacement at Lys154 had slightly increased biological activity while the Ala replacement at Tyr156 had slightly decreased biological activity.

The experiments reported above all involve testing the function of human Epo muteins on the mouse Epo responsive HCD57 cell line. Figure 20 shows a comparative display of those muteins that appear to have higher

15   biological activity than wild-type Epo.

In order to assess the significance of these results we have also tested these muteins in a more physiologic system, mouse erythroid progenitors prepared from the spleens of animals challenged with phenylhydrazine. This bioassay obviates the possible confounding effects of a malignant clonal cell

20   line such as HCD57 which was derived from mouse erythroleukemia virus.

As shown in Figure 21, results with erythroid cells from mouse spleen were very similar to those obtained with HCD57 cells.

In addition we have tested these muteins in an Epo responsive human cell line UT-7/Epo, derived from a patient with acute leukemia. This cell line

25   provides the opportunity of examining the interaction of human muteins with the human Epo receptor. As shown of ordinary in Figure 22, these results closely parallel those with the two mouse cell bioassays.

It should be noted that in several of the dose response curves, at the highest concentrations of Epo tested, there is a significant drop-off in the

30   incorporation of [^{3}H]-thymidine. This is due to the fact that high levels of biologically active Epo causes terminal maturation and cessation of division.

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Because of this possibly confounding phenomenon, it is important to have, as in the experiments that we report here, a full dose response curve for each mutein with an adequate number of data points.

Taken together our results show that a restricted number of residues on Helix A and on Helix D are involved in Epo's biological activity. Further experiments are in progress to determine if the observed alterations in biological activity can be explained by parallel changes in the binding affinity to the Epo receptor. In addition, at the sites that we have identified as involved in Epo's biological activity, we plan to make a set of additional replacement muteins in order to further refine the ligand-receptor interaction. We are particularly interested in developing muteins with maximally increased biological activity, e.g. by preparing compound or multiplex replacements, each of which confers optimal enhancement of biological activity. Such a "superEpo" could have improved utility in the therapy of anemias.

Conclusions

We have produced a number of erythropoietin muteins comprising native erythropoietin with one or more modifications which improves the biological activity.

All references mentioned herein are incorporated by reference into this disclosure.

Having now fully described the invention by way of illustration and example for purposes of clarity and understanding, it will be apparent to those of ordinary skill in the art that certain changes and modifications may be practiced within the scope of the invention, as limited only by the following claims.

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Claims:

1   1.   An erythropoietin mutein comprising native erythropoietin with
2 one or more of the following modifications:

3   (a)   replacement of the amino acid at position 20 with a first
4   substitute amino acid;

5   (b)   replacement of the amino acid at position 49 with a
6   second substitute amino acid;

7   (c)   replacement of the amino acid at position 73 with a
8   third substitute amino acid;

9   (d)   replacement of the amino acid at position 140 with a
10   fourth substitute amino acid;

11   (e)   replacement of the amino acid at position 143 with a
12   fifth substitute amino acid; and

13   (f)   replacement of the amino acid at position 146 with a
14   sixth substitute amino acid;

15   (g)   replacement of the amino acid at position 147 with a
16   seventh substitute amino acid;

17   (h)   replacement of the amino acid at position 154 with a
18   eighth substitute amino acid;

19 wherein said erythropoietin mutein exhibits enhanced biological activity
20 compared to said native erythropoietin.

1   2.   The erythropoietin mutein of claim 1 comprising native
2 erythropoietin with one of said modifications.

1   3.   The erythropoietin mutein of claim 1 wherein said first, second,
2 third, fourth, fifth, sixth, seventh or eighth substitute amino acids are selected
3 from the group consisting of alanine, serine, threonine, and glycine.

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1   4.   The erythropoietin mutein of claim 3 wherein said first

2   substitute amino acid is serine, and/or said second, third, fourth, fifth, sixth,

3   seventh and/or eighth substitute amino acids are alanine.

      5.   The erythropoietin mutein of claim 2 wherein the amino acid at

position 20 is replaced with the amino acid alanine.

1   6.   The erythropoietin mutein of claim 2 wherein the amino acid at

2   position 49 is replaced with the amino acid serine.

3   7.   The erythropoietin mutein of claim 2 wherein the amino acid at

4   position 73 is replaced with the amino acid glycine.

5   8.   The erythropoietin mutein of claim 2 wherein the amino acid at

6   position 140 is replaced with the amino acid alanine.

7   9.   The erythropoietin mutein of claim 2 wherein the amino acid at

8   position 143 is replaced with the amino acid alanine.

9   10.   The erythropoietin mutein of claim 2 wherein the amino acid at

10   position 146 is replaced with the amino acid alanine.

      11.   The erythropoietin mutein of claim 2 wherein the amino acid at

position 147 is replaced with the amino acid alanine.

1   12.   The erythropoietin mutein of claim 2 wherein the amino acid at

2   position 154 is replaced with the amino acid alanine.

1   13.   A DNA molecule encoding the erythropoietin mutein of

2   claim 1.

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1   14.   A recombinant DNA construct comprising the DNA molecule
2   of claim 13.

1   15.   A vector comprising the DNA construct of claim 14 which is
2   capable of expressing said erythropoietin mutein in a host cell.

1   16.   A host cell comprising the DNA molecule of claim 13 capable
2   of expressing the erythropoietin mutein encoded by said DNA molecule.

1   17.   The host cell of claim 16 wherein said host cell is capable of
2   glycosylating said erythropoietin mutein.

1   18.   The host cell of claim 17 wherein said host cell is a mammalian
2   cell.

1   19.   The host cell of claim 18 wherein said host cell is selected from
2   the group consisting of Chinese Hamster Ovary cells, Cos7 cells,Cos1 cells,
3   baby hamster kidney cells, and CV1 cells.

1   20.   A method of stimulating erythrocyte production in a subject,
2   wherein said method comprises administering to said subject an efficacious
3   amount of the erythropoietin mutein of claim 1.

1   21.   A method of stimulating erythrocyte production in a subject,
2   said method comprising administering to said subject an efficacious amount of
3   an erythropoietin mutein comprising native erythropoietin with the amino acid
4   residue at position 143 replaced by an alanine residue, wherein said efficacious
5   amount is less than the corresponding amount of native erythropoietin.

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1   22.   A method of inducing the proliferation of a cell culture
2   responsive to erythropoietin, wherein said method comprises contacting said
3   cell culture with the erythropoietin mutein of claim 1.

1   23.   A method of inducing the proliferation of a cell culture
2   responsive to erythropoietin, wherein said method comprises contacting said
3   cell culture with an efficacious amount of an erythropoietin mutein comprising·
4   native erythropoietin with the amino acid residue at position 143 replaced by
5   an alanine residue, wherein said efficacious amount is less than the
6   corresponding amount of native erythropoietin.

1   24.   The method of claim 18 or 19 wherein said cell culture
2   comprises cells selected from the group consisting of murine spleen cells,
3   murine erythroleukemia cells, and human UT-7/Epo cells.

1   25.   A method for obtaining erythropoietin muteins with enhanced
2   biological activity relative to native erythropoietin, said method comprising the
3   steps:
4   (a) preparing erythropoietin muteins comprising native erythropoietin
5   with a single amino acid substitution, said substitution occurring at a position
6   selected from the group consisting of positions 48-52, 151-160, and positions
7   predicted to reside on the external surface of helices A and D;
8   (b) assaying the biological activity of the erythropoietin muteins from
9   step (a) over a range of dosages; and
10   (c) identifying those erythropoietin muteins with enhanced biological
11   activity relative to native erythropoietin.

FIG.1

			localization (bp)	
			human (1)	mouse(2)
IV1	5'	TGAAGTTTGGCCGAGAAGTGGATGC 3'	984–1009	994–1018
EX2R	5'	AAGA(T/G)GTACCTCTCCAG(A/G)ACTCG 3'	1296–1318	1302–1324
EX5	5'	CTGCTCCACTCCGAACA(C/A)TCAC 3'	2651–2672	3183–3204
NCOI	5'	CTGGAGTGTCCATGGGACAG 3'	2885–2904	3415–3434
ATG	5'	AGGCGCGGAGATGGGGTGC 3'	615–634	633–652

FIG.5

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        -27                              1
Human   M G V H E C P A W L W L L L L S L L S L P L G L P V L G↑A P P
Monkey  M G V H E C P A W L W L L L L S L L V S L P L G L P↑V P G A P P
Rodents M G V P E R P T - L L L L L L L S L I P L G L P V L C C A P P
Sheep   M G V R D C T P L L L L L L L S L L F P L G L P V L G A P P
Pig         E C P A R - L L L L L S L L S L P L G L P V L G A P P
Horse       E C P A - L L L L L L L S L L P P L G L P A L G A P P
Cat         E C P A - L L L L L L L S L L P L G L P V L G A P P
Dog         E C P A - L F L L L L L S L L P L D L P V L G A P P
Dolphin     E C - L L L L L L P S L L L P L G L P V L G A P P
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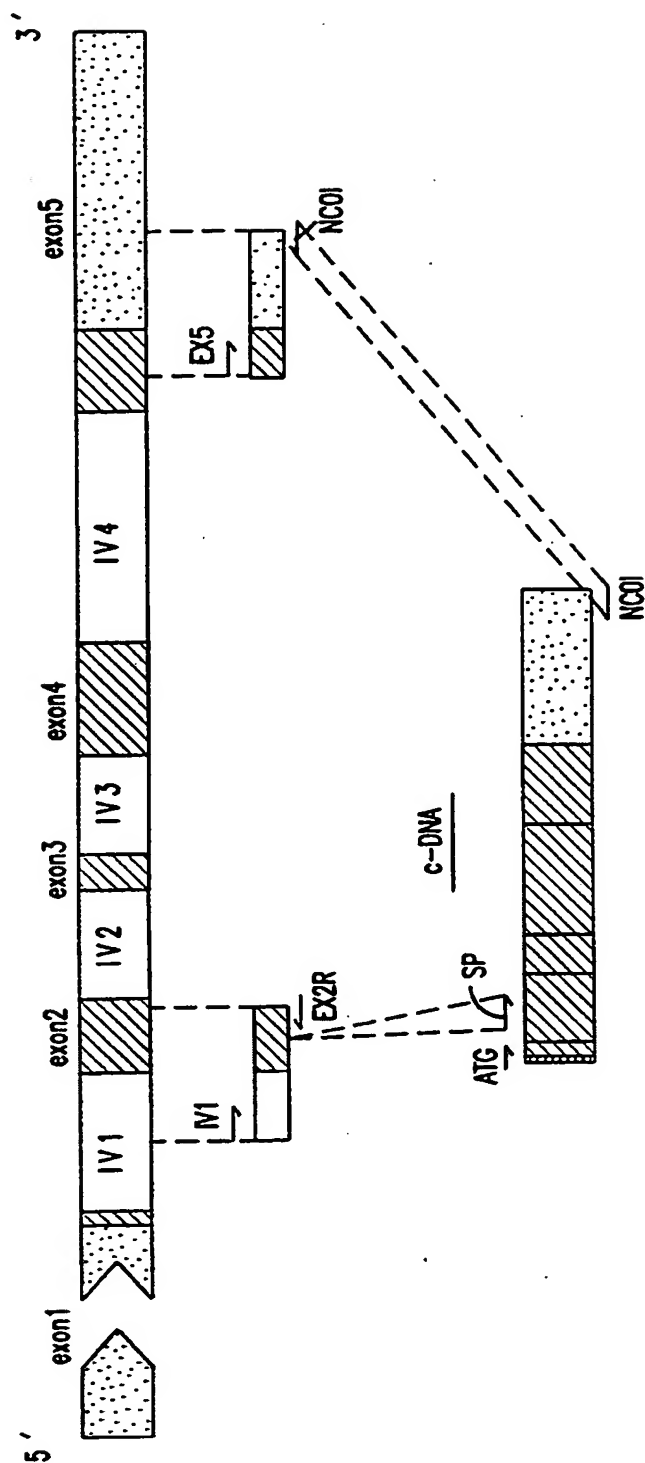
FIG.2

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            1010      1020        1030      1040      1050      1060      1070
 IV1        :         :           :         :         :         :         :
Human ----> TGGTAGCT  GGGGTGGGGTGTG   CAC ACGG   CAGCAGGATTGAATGAAGGCCAGGAGGCAGCAC
Pig         CTCCTAGTT GTT GGTGCGCGTGTG  CAC GCGG  TCAGTGTATTGAATGAATGAAGGCAAAGGAGGCAGAGC
Dolphin     GTAGCTC  GGGGGTGGGGTGTG   CAC GCGG   CCCGGGATTGAATGAATGAAGGCAAAGGAGGCAGAGC
Sheep       CCGTAGCT GTTGGGCGTGGGGTGCG CAC GAAG   CCCGGGGATTGAATGAATGAACGC  GGAGGCAGAGC
Horse                 TGGGGTGGGGTGTG   CAG GCGGCAG  CAGGATTGAATGAATGAATGAAGGCAGAGAGACAGACC
Dog         CAGGTCAGTTTGATTGGGTGG ATGTGTGCCCGCCAAGCACGCGG CCGGATTGAATGAATGAAGGCAGGGGAGGCAGAAC
Cat         TGGTAGTTT GGCGGGTGGTGTGTGTGTGTG  GGGGATTGAATGAATGAAGGCCAAGGAGGCAGAAC
Lion        GGTAGTTT GGCGGGTGGGGTGTGCTCACCCCGCGCGGGG  GGGGATTGAATGAATGAAGGCCAAGGAGGCAGAAC
Mouse       CGGTCGCTT GGGGGTGGGGTGTG   CAGCGCGG   AGGGATTGAATGAAGGCCACTCAGGCAGAGC
Hamster     ATGGTGGCTT GGGGGTCGTGTGTG  CAGCGCCCG   GGAGATAGAATGAAGGCCACTCAGGCAGAGC
Consensus   -------------GGGTGG---TG-G---C------G----------AT-GAATGAA-GC-----AG-CAG---C


            1080      1090      1100      1110      1120      1130      1140
            :         :         :         :         :         :         :
Human       CTGAGTGCTTGCATGGTTGGGGACAGGAAGGACGAGCTGGGG CAGAGACG  TGGGATGAAGGAAGCTGTCCTTCCAC
Pig         CTGCGGGCTCGGCAAGGTTGGGGTCGGAAACCGCTGGCTGGGGCCAA GACGGCCCGATGGGTGAACCTGTCCGTCTAA
Dolphin     CTGAGCGGCTCGCAAGGTTCGGGTCGGGGAGGAC TCGC GGGGCCAGCAG  CGGGATGGGTGAACGTGCAGGTCCAA
Sheep       TGAGCGGCTCCCAAGGTCCGGGTGGGGAGGGC TCACTGGGGGCTGCCCAG  CGGGATGGGTGAACCTCCCGGTCCAA
Horse       CTGAGCGGCTGGGAAGGTTGGGGGCAGGAGCCACTAGCTGGGGCAGGAG  CGGGATGGGTGAACCTGCCCCTCCAA
Dog         CTGAACGCTGGGAAGGTGGGGTCGGGCGCCCGGCTGGGGCACTGGGGGCAGAGGAG CGGGATGTGTGAACCTGCCCCTCCAA
Cat         CCGAGCGCCTGGGAAGGTTCGGGTGGGAGCGGCACAAGCTGGGGCAGAGGAA  CGGGATGTGTGAACCTGCTCTGCTCCAA
Lion        CCGAGCGCCTGGGAAGGTTCGGGTGGGAGCGGCACAAGCTGGGGCAGAGGAA  CGGGATGTGTGAACCTGCTCTGCTCCAA
Mouse       CTAAGCAATTGCAAGGTCGGGTCAGCAGAGACTAT  AAGGGCAGAGGGGGTCTCGCTGAGCCAACCGCCCCTGCAG
Hamster     CTAAGCAGTTGCAAGGTCGGGTCAGCAGAGACTGG  AAGGGCAGAGGAGCCTCGCTGTGCAAACCGGTCTCTGGTC
Consensus   -------------T-A-GGT-A-GGG----G------GG-C------G------G-TG----AA--G------T-------
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FIG.3

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      1150      1160      1170      1180       1190      1200      1210

Human      AGGCCACCCTTCTCCCTCCC          CGCCTGACTCTCAGCCTGGCTATCTGTTCTAG  ↑  AATGTCCTGCTGGCTG
Pig      A CCAACCCTTCTCCTGCAATGCCCAGTCCGGCCTCACATTCAGCCTGCTCTCTTTCCTAG     ↑  AATGTCCTGCCGCGGCTG
Dolphin  A CCACCCCTTCTCCTGCAAGTCCGGCCTCACACTCAGCCTCTCTCTTTCCTAG            ↑  AATGTCTTCTGCTGCCTT
Sheep    A GCAGCCCTTCTCCTGCTGGAGAATGCACCTCATCCGGCAGCCCGTCTCTCTCTTTCCTAG   ↑  ACTGTACTCCGGCTGCTG
Horse    A CCAACCCTTCTCCCCTCCCCTTCCCCT GCCTCACACTCAGCCTCCGGATCTCCTTTCCAG   ↑  AATGTCCTGCCCTGCTG
Dog      A CCCA                     CACAGTCAGCCTGCGCCACTCTCTTTCCAG          ↑  AATGTCCTGCCCTGTTC
Cat      A                          CACACTCAGCCTGGCACTCTTTTCTAG            ↑  AATGTCCTGCCCTGCTG
Lion     A                          CACACTCAGCCTGGCACTCTTTTCTAG            ↑  AATGTCCTGCCCTGCTG
Mouse     TCCCACGGGCCCCC TCCCCTCTC GGCCTCACACTCAGCCTGCCTTTC  TTCCAG        ↑  AACGTCCACCCTGCTG
Hamster   GTCCCACGCGCCC TCCCCTCCC  AGCCTCACACCCAGCCTGCCTTTC  TTCTAG        ↑  AACGTCCAACCCTGCTG
Consensus ————————————————A————CAGCC--G——————TC——T-C-AG                     A——GT————————G-T—
                                               ——————INTRON 1——>     <—— ——EXON 2—>
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      1220      1230      1240      1250       1260      1270      1280      1290

Human     TGGCTTCTCCTGCTGTCCTGCTCCCTCTGGGCCTCCTGGGCCCTCCCAGTCCTGGGCGCCCCACCAGCCTCATCTGTGACAGC
Pig       CTTCTGCTATCTTTGCTGCTGCTGCTGGCTTCTCTGGGCCTCCTGGGCCCTCCCAGTCCTGGGCGCCCCAGCCCTCATCGTGACAGC
Dolphin   CTGCTGCCTGCTGTGCTGCTGCTGCCTGGGCCTCCTGGGCCCTCCCAGTCCTGGGCGCCCCCACACTCATCGTGACAGC
Sheep     CTGCTGCTGCTGCTCTGCGTTTCCTGTCTGGGCCTCCTGGGCCCTCCCAGTCCTGGGCGCTCTTGGGCCTCATCGTGACAGC
Horse     CTTCTGCTGCTACTGCCTGCTGCTCTGGGCCTCCTGGGCCCTCCCAGTCCTGGGCGCCCCAGCCTCATCGTGACACC
Dog       CTTTGTGCTGTTTGCTGCTGCTGTTCCTGGGCCTCCTGGGCCCTCCCAGTCCTGGGCGCCCCAGCCTCGCTCATTGTGACAGC
Cat       CTTCTGCTATCTTTGCTGCTGCTGCGCCTGGGCCTCCTGGGCCCTCCCAGTCCTGGGCGCCCCAGCCCTCGCTCATCGTGACAGC
Lion      CTTCTGCTATCTTTGCTGCTGCTGTGCCTGATTCCTGGGCCTCCTGGGCCCTCCCAGTCCTGGGCGCCCCAGCCCTCGTCATCGTGACAGC
Mouse     CTTTACTCCTGCTGCTGCTCTCCCTGGGCCTCCTGGGCCCTCCCAGTCCTGTGCTCGTGCGCTCTCCCCAGCCTCATCGTGCGACAGT
Hamster   CTTTGTCTTGCTTGCTGCTCCTGCTTCCCGTGCTTGGGCTCCTGGGCCCTCCCAGTCCTGGGCGCTCTCCCCAGCCTCATCGTGCGACAGC
Consensus ——————CT——T-C——TC——T-CT————C——————CC-CTGG-CCTCCCAG--C-T—G-GC——CC—C-C-CCTCAT-TG-GACAG—
                                                                                               EX2R
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FIG.3A

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         1
Human    AGGCGCCGGAG ATGGGGGTGC ACGAATGTCC TGCCTGGCTG
Monkey   ----------- ---------- ACGAATGTCC TGCCTGGCTG
Mouse    ----------- ---------- CCGAACGTCC CACC----CTG
Rat      ----------- ---------- CCGAACGTCC CACC----CTG
Sheep    ----------- ---------- GCGACTGTAC TCCGCTGCTG
Pig      ----------- ---------- GAATGTCC   TGCCCGG----
Cat      ----------- ---------- GAATGTCC   TGCC----CTG

                                    50
         TGGCTTCTCC TGTCCCTGCT GTCGCTCCCT CTGGGCCTCC CAGTCCTGGG
         TGGCTTCTCC TGTCTCTCGT GTCGCTCCCT CTGGGCCTCC CAGTCCCGGG
         CTGCTTTTAC TCTCCTTGCT ACTGATTCCT CTGGGCCTCC CAGTCCTCTG
         CTGCTTTTAC TATCCTTGCT ACTGATTCCT CTGGGCCTCC CAGTCCTCTG
         CTGCTGCTG  TGTCTCTTCT GCTGTTTCCT CTGGGCCTCC CAGTCCTGGG
         CTGCTTCTGC TATCTTTGCT GCTGCTTCCT CTGGGCCTCC CAGTCCTGGG
         CTGCTTCTGC TATCTTTGCT GCTGCTTCCC CTGGGCCTCC CAGTCCTGGG

         100
         CGCCCACCA  CGCCTCATCT GTGACAGCCG AGTCCTGGAG
         CGCCCACCA  CGCCTCGTCT GTGACAGCCG AGTCCTGGAG
         TGCTCCCCA  CGCCTCATCT GCGACAGTCG AGTTCTGGAG
         CGCTCCCCA  CGCCTCATTT CCGACAGTCG CGTTCTGGAG
         CGCCCCCCA  CGCCTCATCT GTGACAGCCG AGTCCTGGAG
         CGCCCCCCA  CGCCTCATCT GTGACAGCCG AGTCCTGGAG
         CGCCCCCCT  CGCCTCATCT GTGACAGCCG AGTCCTGGAG

                                    150
         AGGTACCTCT TGGAGGCCAA GGAGGCCCAG AATATCACGA CGGGCTGTGC
         AGGTACCTCT TGGAGGCCAA GGAGGCCGAG AATGTCACGA TGGGCTGTTC
         AGGTACATCT TAGAGGCCAA GGAGGCCGAA AATGTCACGA TGGGTTGTGC
         AGGTACATCT TGGAGGCCAA GGAGGCAGAA AATGTCACAA TGGGCTGTGC
         AGGTACATCC TGGAGGCCAG GGAGGCCGAA AATGTCACGA TGGGCTGTGC
         AGGTACATCT TGGAGGCCAA GGAGGGCGAA AATGCCACGA TGGGCTGTGC
         AGGTACATTC TGGAGGCCAG GGAGGCCGAA AATGTCACGA TGGGCTGTGC

         200
         TGAACACTGC AGCTTGAATG AGAATATCAC TGTCCCAGAC AGATATCAC
         CGAAAGCTGC AGCTTGAATG AGAATATCAC CGTCCCAGAC AGAATATCAC
         AGAGGTCCC  AGACTGAGTG AAATATTAC  AGTCCCAGAT AAATATTAC
         AGAAGGTCCC AGACTGAGTG AGAATATTAC CGTCCCAGAT AGAATATTAC
         AGAAGGTGC  AGCTTCAGTG AGAATATCAC TGTCCCAGAC AGAATATCAC
         CCAAAGCTGC AGCTTCAGTG AGAATATCAC TGTCCCAGAC AGAATATCAC
         TGAAGGCTGC AGCTTCAGTG AGAATATCAC TGTCCCAGAC AGAATATCAC

                                    250
         ACCAAAGTTA ATTTCTATGC CTGGAAGAGG ATGGAGGTCG GGCAGCAGGC
         ACCAAAGTTA ACTTCTATGC CTGGAAGAGG ATAGAGGTCG GGCAGCAGGC
         ACCAAAGTCA ACTTCTACGC TTGGAAAAGA ATGGAGGTGG AAGAACAGGC
         ACCAAAGTCA ACTTCTACGC TTGGAAAAGA ATGGAGGTGG AAGAACAGGC
         ACCAAGGTTA ACTTCTATGC CTGGAAGAGG ATGGAGGTCC AGCAGCAGGC
         ACCAAGGTTA ACTTCTATGC CTGGAAGAGG ATGGAGGTCC AGCAGCAGGC
         ACCAAGGTCA ACTTCTATAC CTGGAAGAGG ATGCACGTCG GGCAGCAGGC
```

FIG.4

```
                                          300                                              350
CGTAGAAGTC TGGCAGGGCC TGGCCCTGCT GTCGGAAGCT GTCCTGCGGG GCCAGGCCCT GTTGGTCAAC TCTTCCCAGC CGTGGGAGCC
TGTAGAAGTC TGGCAGGGCC TGGCCCTGCT CTCAGAAGCT GTCCTGCGGG GCCAGGCCCT GTTGGCCAAC TCTTCCCAGC CTTTCCAGCC
CATAGAAGTT TGGCAAGGCC TGTCCCTGCT CTCAGAAGCC ATCCTGCAGG CCCAGGCCCT GCTAGCCAAT TCCTCCCAGC CACCAGAGAC
TGTAGAAGTT TGGCAAGGCC TGTCTCTGCT CTCAGAAGCC ATCCTGCAGG CCCAGGCTCT GCAGGCCAAT TCCTCCCAGC CACCAGAGAG
TCTGGAGGCC TGGCAGGGCC TGGCTCTGCT CTCAGAAGCT ATCTTTCGGG GCCAGGCCCT ACCGGCCAAC GCATCCCAGC CATGCGGAGGC
CATGGAGGTC TGGCAGGGTC TGGCCCTGCT CTCAGAAGCC ATCCTGCAGG GCCAGGCCCT GTTGGCCAAC TCCTCCCAGC CATCTGAGGC
TGTGGAAGTC TCGCCCCTGC TGGCCCTGCT CTCAGAAGCC ATCCTGCGGG GCCAGGCCCT GCTGGCCAAC TCCTCCCAGC CATCTGAGAC

                                          400                                              450
CCTGCAGCTG CATGTGGATA AAGCCGGTCAG TGGCCTTCGC AGCCTCACCA CTCTGCCTTCG GGCTCTGGGA GCCCAGAAAG AAGCCATCTC
CCTGCAGCTG CACATGGATA AAGCCATCAG TGGCCTTCGC AGCATCACCA CTCTGCCTTCG GGGCTGGGA GCCCAG----G AAGCCATCTC
CCTTCAGCTT CATATAGACA AAGCCATCAG TGGTCTACGT AGCCTCACTT CACTGCCTTCG GGTACTGGGA GCTCAGAAGG AATTGATGTC
TCTTCAGCTT CATATAGACA AAGCCATCAG TGGGCTACGT AGCCTCACTT CACTGCCTTCG GGTGCTGGGA GCTCAGAAGG AATTGATGTC
CCTGCGGGTTG CACGTGGACA AAGCTGTCAG CGGCCTCCGC AGTCTCACCT CCCTGCCTTCG GGCGCTGGGA GCCCAGAAAG AAGCCATCCC
CCTGCAGCTG CATGTGGACA AAGCTGTCAG CGGCTGCGC AGCCTCACCT CCCTGCCTTCG GGCGCTGGGA GCCCAGAAGG AAGCCATCCC
CCTGCAGCTG CATGTGGATA AAGCCGGTCAG CAGCCTGCGC AGCCTCACCT CCCTGCCTTCG GGCACTGGGA GCCCAGAAGG AAGCCACCTC

                                          500
CCCTCCAGAT GCGGCC----T CAGCTG----C TCCACTCCGA ACAATCACTG CTGACACTTT CCGCAAACTC TTCCGAGTCT ACTCCAATTT
CCTCCCAGAT GCGGCC----T CGGCTG----C TCCACTCCGA ACCATCACTG CTGACACTTT CTGCAAACTC TTCCGAGTCT ACTCCAATTT
GCCTCCAGAT ACCACC----C CACCTG----C TCCACTCCGA ACACTCACAG TGGATACTTT CTGCAAGCTC TTCCGGGTCT ACGCCAACTT
GCCTCCAGAC GCCACC----C AAGCCG----C TCCACTCCGA ACACTCACAG CGGATACTTT CTGCAAGCTC TTCCGGGTCT ACTCCAACTT
CCTTCCAGAT GCAACCCCCT CCGCAG----C CCCACTCCGA ATATTCACTG TTGATGCTTT GTCCAAGCTC TTCCGAATCT ACTCCAATTT
CCTTCCAGAT GCATCCCCCT CCTCTGCCAC CCCACTCCGA ACATTGCTG TTGATACTTT TTGCAAACTT TTCCGCAACT ACTCCAATTT
CCTTCCAGAG GCAACC----T CTGCTG----C TCCACTCCGA ACATTCACTG TCGATACTTT GTGCAAACTT TTCCGAATCT ACTCCAACTT
```

FIG.4A

```
550
CCTCCGGGGA AAGCTGAAGC TGTACACAGG GGAGGCCTGC AGGACACGGG ACAGATGACC AGGTGTGTCC ACC-TGGGCA TATCCACCAC
CCTCCGGGGA AAGCTGAAGC TGTACACGGG GGAGGCCTGC AGGAGAGGGG ACAGATGACC AGGTGCGTCC AGC-TGGGCA CATCCACCAA
CCTCCGGGGG AAACTGAAGC TGTACACGGG AGAGGTCTGC AGGAGAGGGG ACAGGTGACA TGCTGCTGCC ACC-GTGGTG GACCGACGAA
CCTCCGGGGG AAACTGAAGC TGTACACGGG GGAGGCCTGC AGGAGAGGGG ACAGGTGACC TGC-------C ACT-GCCGTG TACCGCCAA
CCTGAGGGGA AAGCTGACGC TGTACACAGG GGAGGCCTGC AGGAGAGGGG ACAGGTGACC CAGTGCTATC ACCCGGGCA CGTCCATCAC
CCTGCGGGGA AAGCTGACGC TGTACACTGG GGAGGCCTGC AGGAGAAGGG ACAGGTGACT TGGTGTCCC ACCCGGGGCA TGTCCACCAC
CCTGCGGGGA AAGCTGACGC TGTACACAGG GGAGGCCTGC CGAAGAGGAG ACAGGTGACC AGGTGCTCCT ACCCGGGGCA TGTCCACCAC
                                                                              600

650
CTCCCTCACC AACATTGCTT GTGCCACACC CTCCCCCG--- GCCACTCCTG AACCCC--GT CGA--GGG- ----CTCTCAG CTCAGGGCCA
CTCCCTCACC AACACTGCCT GTGCCACACC CTCCCTC--- ACCACTCCCG AACCCC--AT CGA----GGG ----CTCTCAG CTAAGCGCCA
CTTGTCCCC GTCACTGTGT CATGCCAACC CTCC------ ACCACTCCC- AACCC-TCAT CAAAC--GGG ----TCATTAC CTTCTTACCA
CTCGTCACC GTCACTGTGT CAGCCCAACC CTCC------ ACCACTCCC- AACCC-TCAT CAAACGGGGT TGTTTGTTAC CTTCTTACCG
CTCGCTCACC ATCACTGCCT -AGGCCATGC CTTCCAGCGC GCCACTCCC- AACCC-TGT CGACGACGGG ----CCACCAG CTCAGT
TTCGCTCACC ACCACTGCCT GTGCCATGCC TTCT-GCACC TCCACTCCC- AACCCC-GC TGA----GGG ----CCATCAG CTCAGGGCCA
CTCACTTACC ACCAATGCCT GTGCCAGCGC CTCT-GCACC ACCACTCCTG A-CCC-TGT C----GGGG TG---ATCAG CTCAGCACCA
                                                          700

742
GCCTGTCCCA TGGACACTCC AG
GC---       |          |
GT---       |          |
GC---       |          |

GC---       |          |
GC---       |          |
```

FIG.4B

```
                          1              A      10              A      20                      30                      40
Human                     A P P R L I C D S R  V L E R Y L L E A K  E A E N I T T G C A  E H C S L N E N I T  V P D T K V N F Y
Macaca fascicularis       A P P R L I C D S R  V L E R Y L L E A K  E A E N V T M G C C  S E S C S L N E N I T  V P D T K V N F Y
Macaca mulatta            A P P R L V C D S R  V L E R Y L L E A K  E A E N V T M G C C  S E S C S L N E N I T  V P D T K V N F Y
Mouse                     A P P R L I C D S R  V L E R Y I L E A K  E A E N V T M G C A  E G C P R L S E N I T  V P D T K V N F Y
Rat                       A P P R L I C D S R  V L E R Y I L E A R  E A E N A T M G C A  E G C S F S E N I T  V P D T K V N F Y
Sheep                     A P P R L I C D S R  V L E R Y I L E A R  E A E N A T M G C C  A E S C C S F S E N I T  V P D T K V N F Y
Pig                       A P P R L I C D S R  V L E R Y I L E A K  E G E N A T M G C C  A E G C S F S E N I T  V P D T K V N F Y
Cat                       A P P R L I C D S R  V L E R Y I L E A R  E A E N V T M G C A  E G C S F S E N I T  V P D T K V N F Y

                          50              B      60              70              80                      C      90              100              110
Human                     A W K R M E V G Q Q  A V E V W Q G L A L  L S E A V L R G Q A  L L V N S S Q P W E  P L Q L H V D K A V  S G L R S L T T L L  R A L G A Q
Macaca fascicularis       A W K R M E V G Q Q  A V E V W Q G L A L  L S E A V L R G Q A  V L A N S S Q P F E  P L Q L H M D K A I  S G L R S L T T L L  R A L G A Q
Macaca mulatta            A W K R M E I E Q Q  A V E V W Q G L A L  L S E A V L R G Q A  V L A N S S Q P F E  P L Q L H M D K A I  S G L R S L T T L L  R A L G A Q
Mouse                     A W K R M E V E E Q  A V E V W Q G L A L  L S E A I L R G Q A  L L A N S S Q P P E  P L Q L H I D K A V  S G L R S L T T L L  R V L G A Q
Rat                       A W K R M K V E E Q  A L E V W Q G L A L  L S E A I L R G Q A  Q L A N A S Q P C E  A L R L H V D K A V  S G L R S L T S L L  R A L G A Q
Sheep                     A W K R M E V Q Q Q  A M E V W Q G L A L  L S E A I L Q G Q A  L P A N A S Q P S E  A L Q L H V D K A V  S G L R S L T S L L  R A L G A Q
Pig                       A W K R M E V Q Q Q  A V E V W Q G L A L  L S E A I L R G Q A  L L A N S S Q P S E  T L Q L H V D K A V  S S L R S L T S L L  R A L G A Q
Cat                       T W K R M D V G Q Q  A V E V W Q G L A L  L S E A I L R G Q A  L L A N S S Q P S E  T L Q L H V D K A V  S S L R S L T S L L  R A L G A Q

                          120              130              D      140              150                      160              166
Human                     K E A I S P P D A A  - S A A - P L R T I T T A D T F R K L F  R V Y S N F L R G K  L K L Y T G E A C R T G D R
Macaca fascicularis       - E A I S L P D A A  - S A A - P L R T I T T A D T F C K L F  R V Y S N F L R G K  L K L Y T G E A C R R G D R
Macaca mulatta            - E A I S L P D A A  - S A A - P L R T I T T A D T F C K L F  R V Y Y A N F L R G K  L K L Y T G E V C R R G D R
Mouse                     K E L M S P P D T T  P P A - - A A - P L R T L T V D T F C K L F  R V Y A N F L R G K  L K L Y T G E V C R R G D R
Rat                       K E L M S P P D A T  Q - A A - P L R T L T A D T F C K L F  R V Y S N F L R G K  L K L Y T G E A C R R G D R
Sheep                     K E A I P L P D A T  P S A T P L R I F T V D A L S K L F  R I Y S N F L R G K  L T L Y T G E A C R R G D R
Pig                       K E A I P L P D A S  P S S A T P L R I F T F A V D T L C K L F  R N Y S N F L R G K  L T L Y T G E A C R R R D R
Cat                       K E A T S L P E A T  - S A A - P L R I F T V D T L C K L F  R I Y S N F L C K L F R G K  L T L Y T G E A C R R G D R
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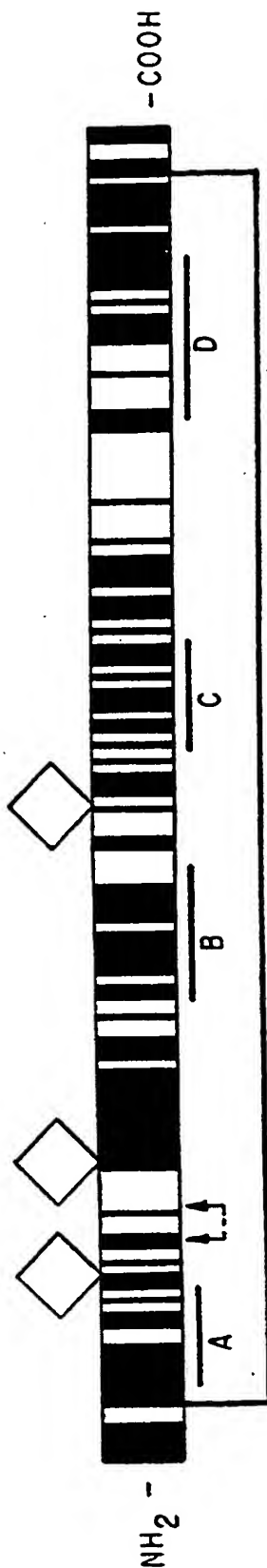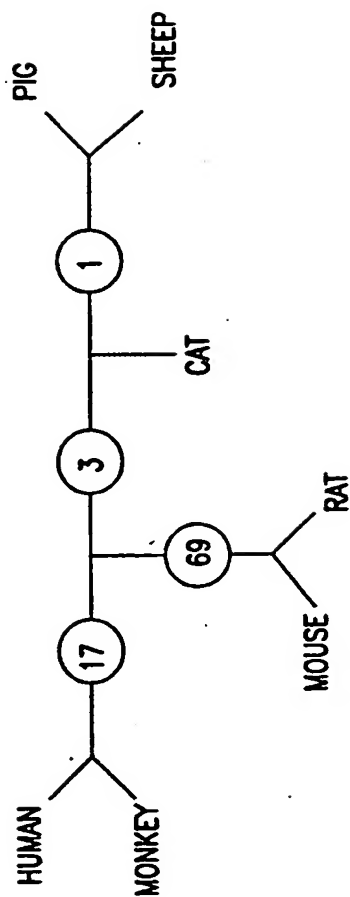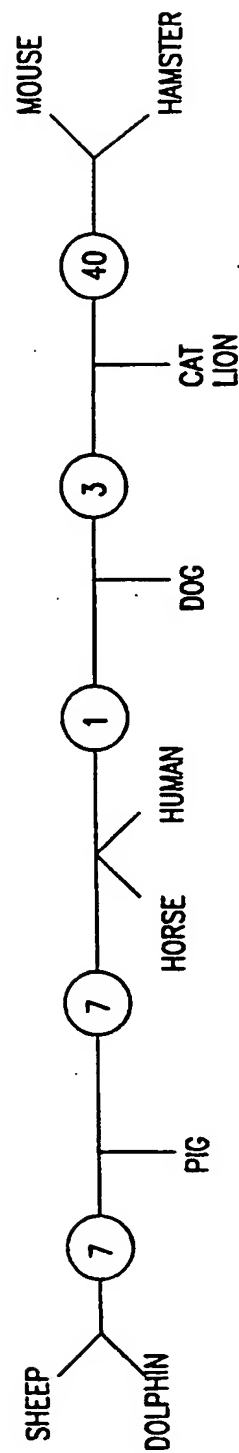
FIG.6

FIG.6A

FIG.7

FIG.8

FIG.7A

FIG.7B

FIG.8A

LOOP BC

LOOP AB

HELIX B

HELIX C

HELIX A

LOOP CD

HELIX D

NH₂

COOH
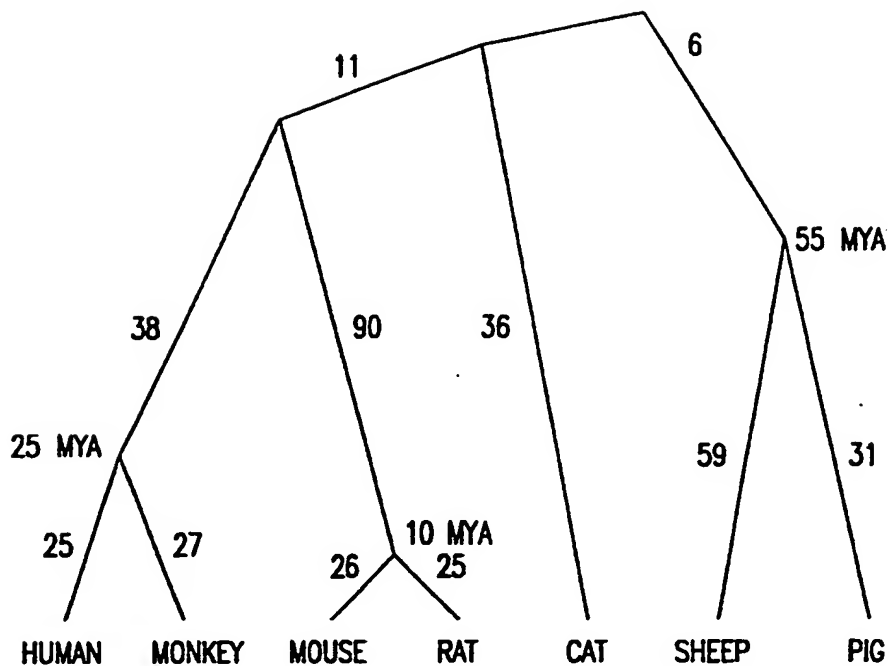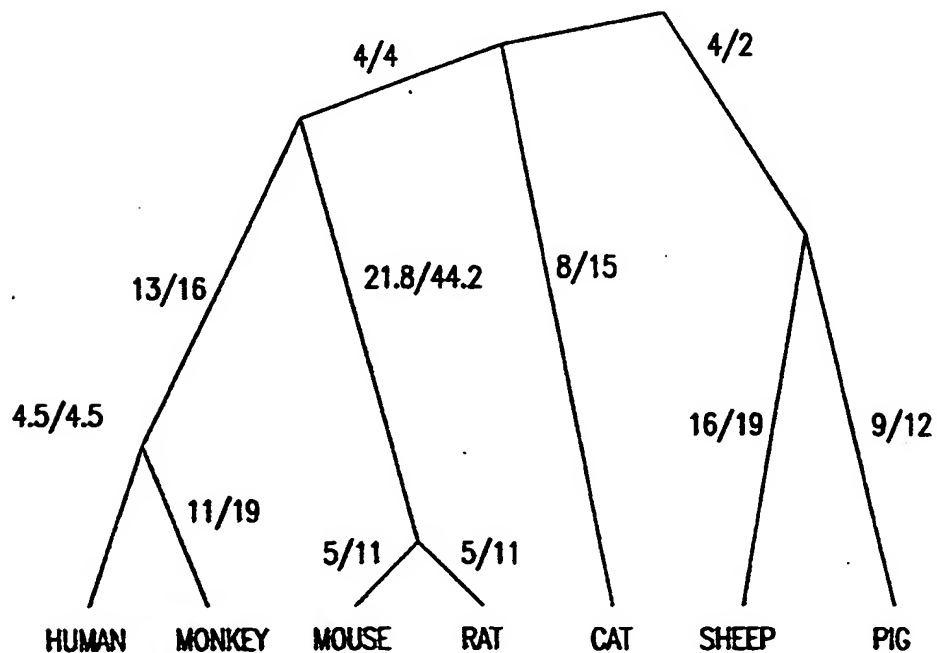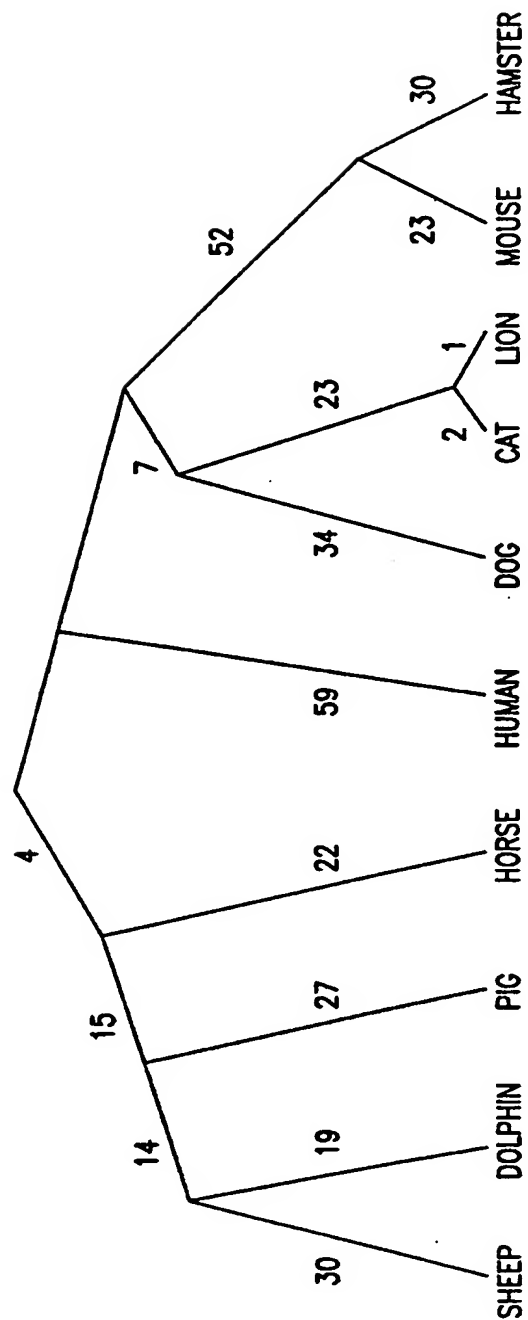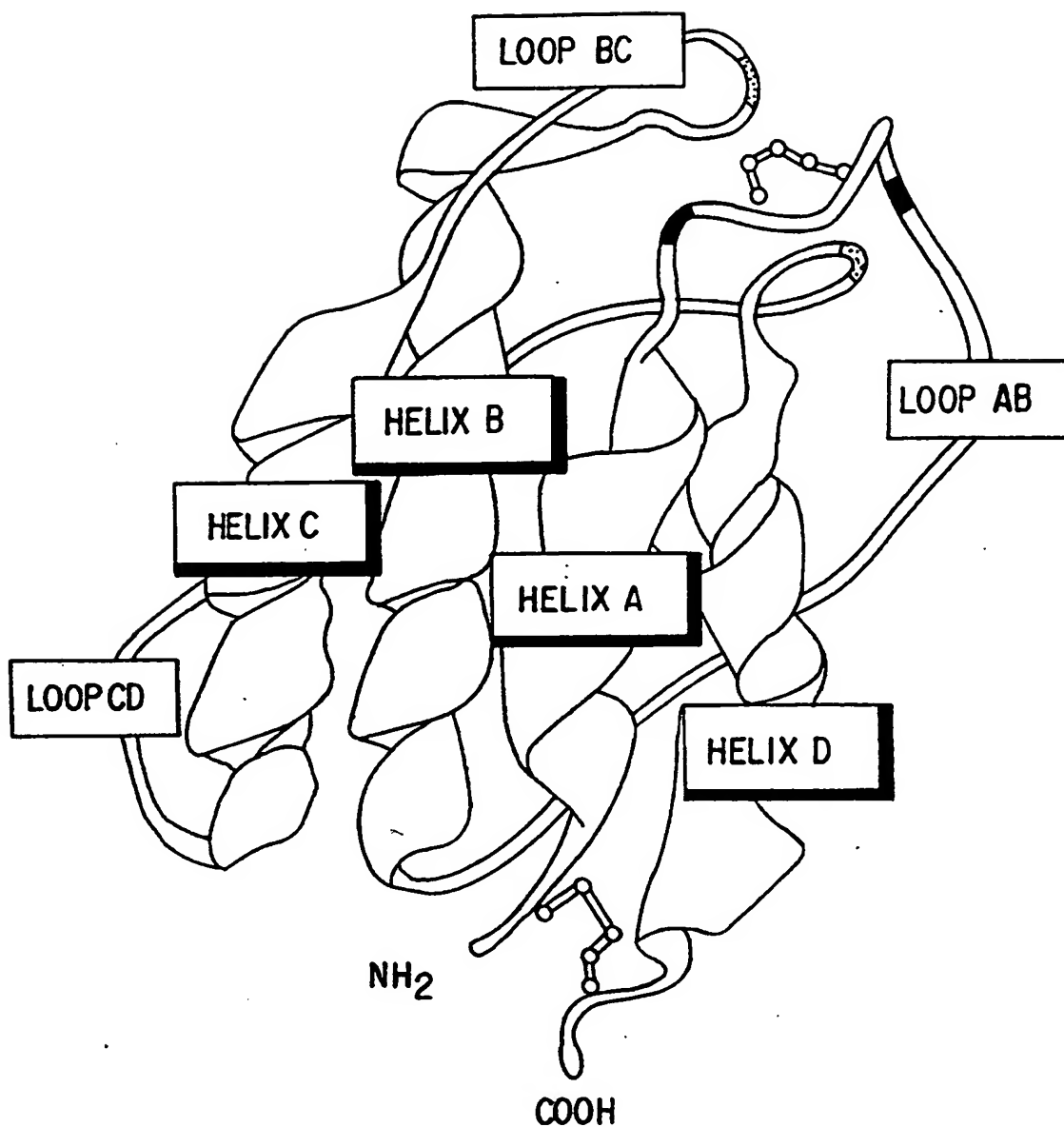
■■■ N-GLYCOSYLATION SITES
▦ O-GLYCOSYLATION SITE

FIG.9
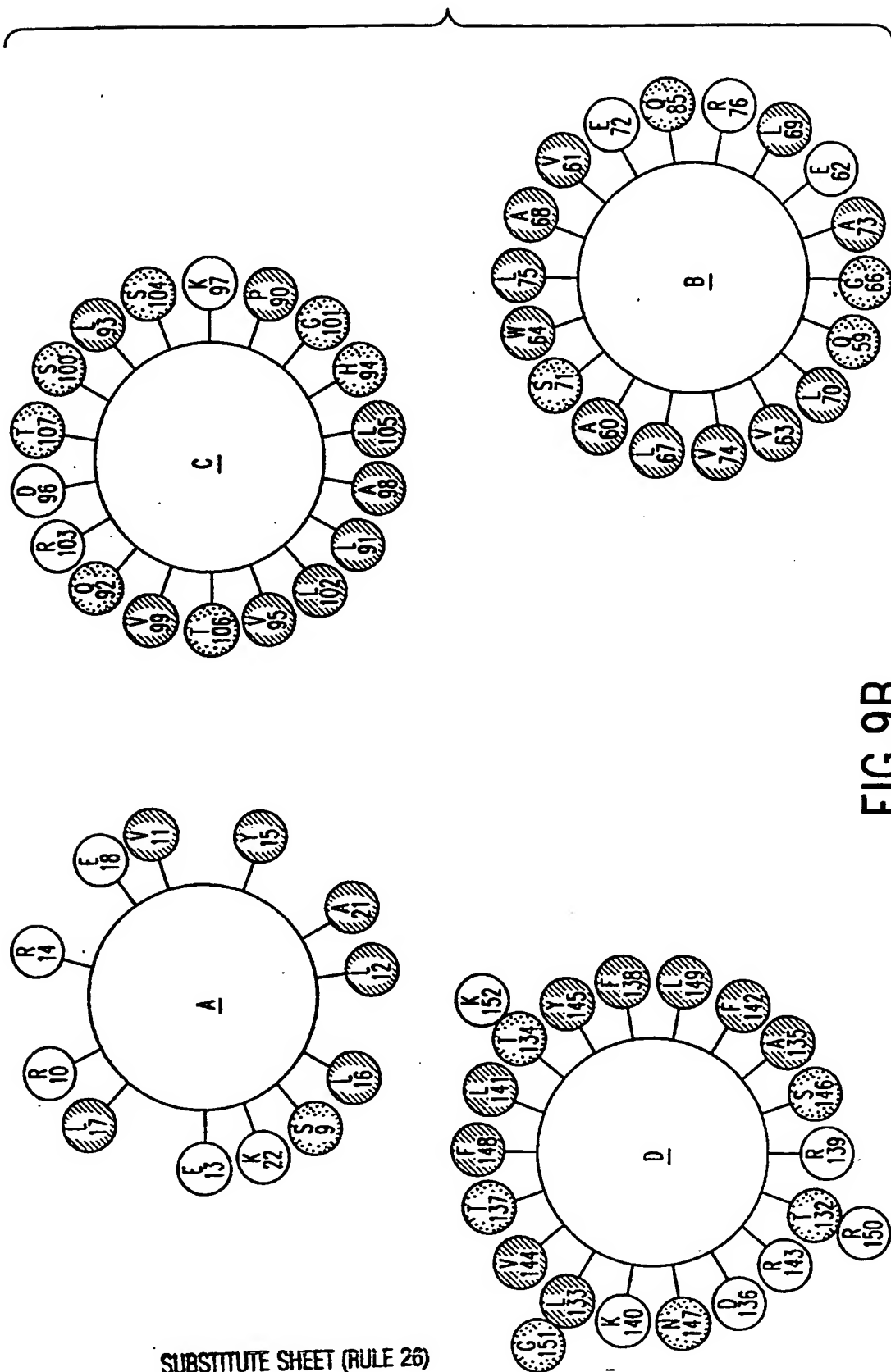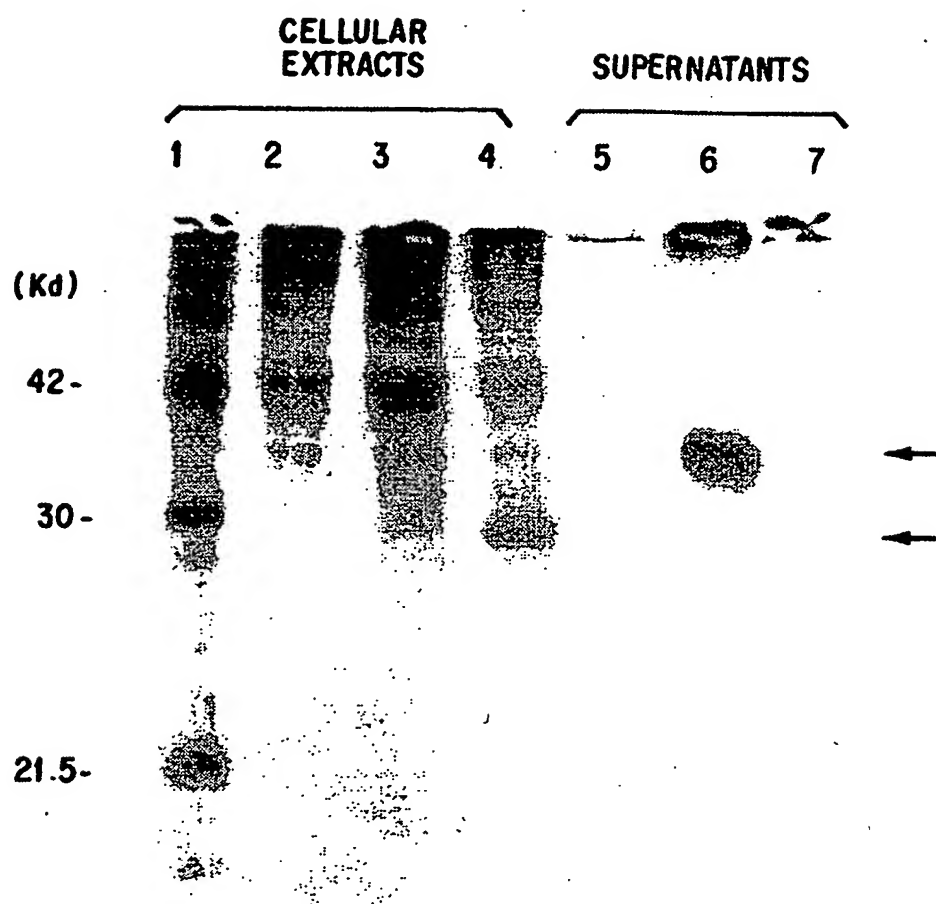
LVNSS

TGCAE   LAQ   SQPWE

T I N E A   E H C S L N E N I T V P D   76 Q G R L V A E S L L A L G Q W V E V A Q Q   90 E P L Q L H V D K A V S G L R S L T T   A A S A A   A D P P S I A E K Q A G L   P L R

A   B   C   D   132

22 A E K A E L L Y R E L V R S D C I L R P P A

1

9

44 T K I V I N I F I Y I A I W K R M E G V

51

59

107 L L R A L

166 R D G T R C A E G T Y L K L K 152

132 R T I T A D T F R K L F R V Y S N F L R G

FIG.9A

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2/16/2007, EAST Version: 2.1.0.14

FIG.9B

FIG. 10

LOOP AB.

- FIGURE 11 -

FIG.11A

- FIGURE 11B -

LOOP CD
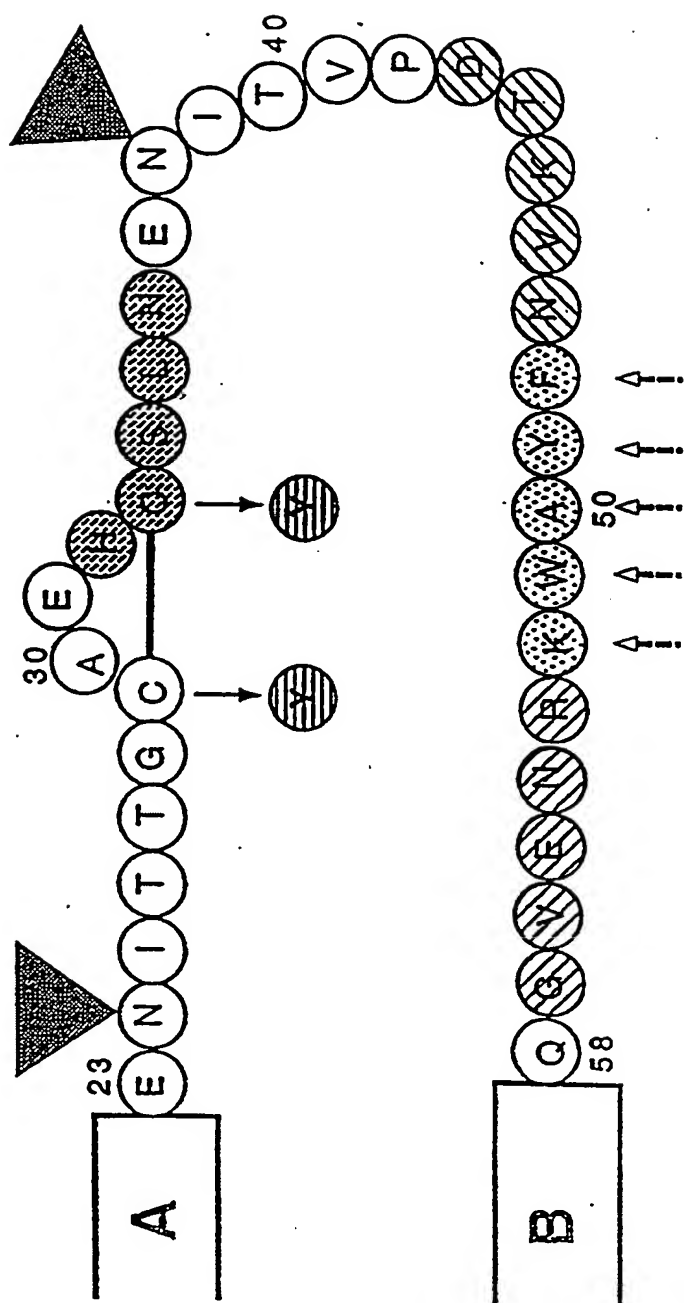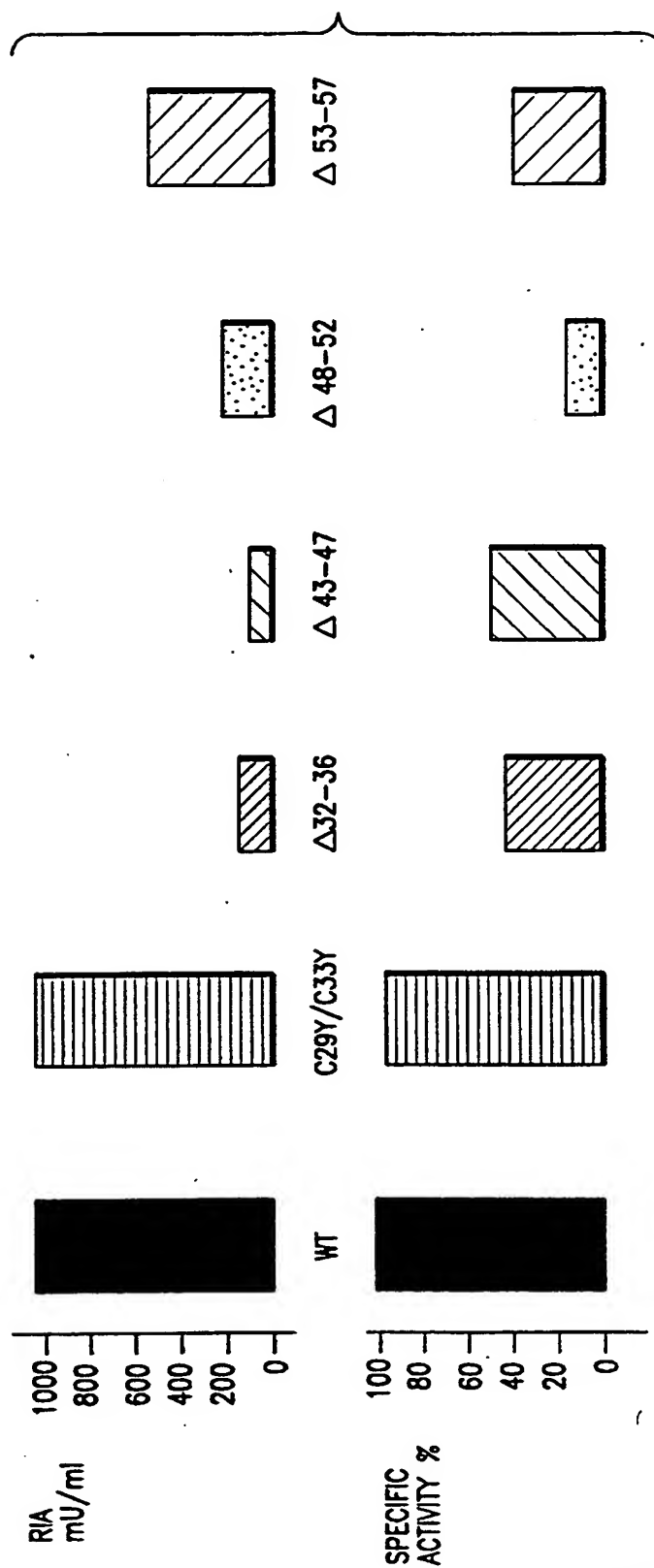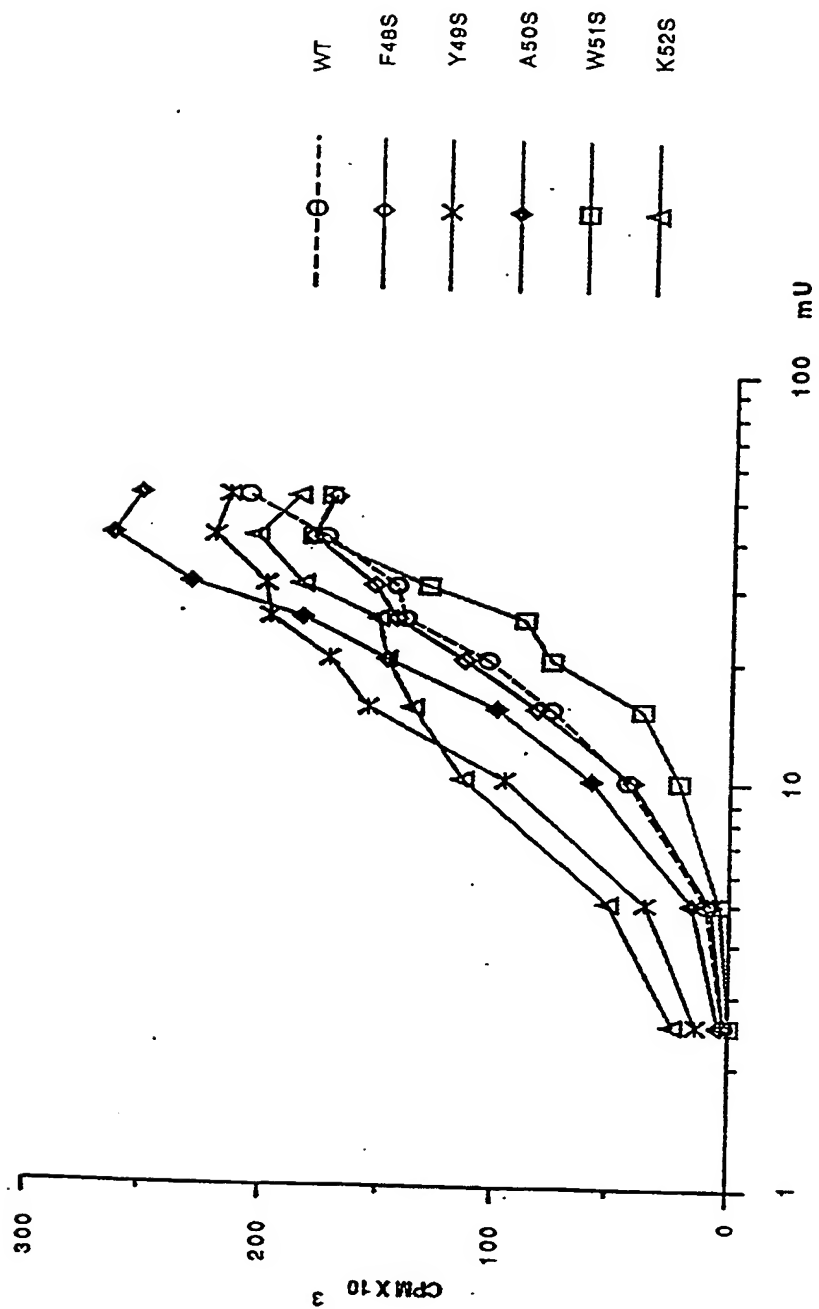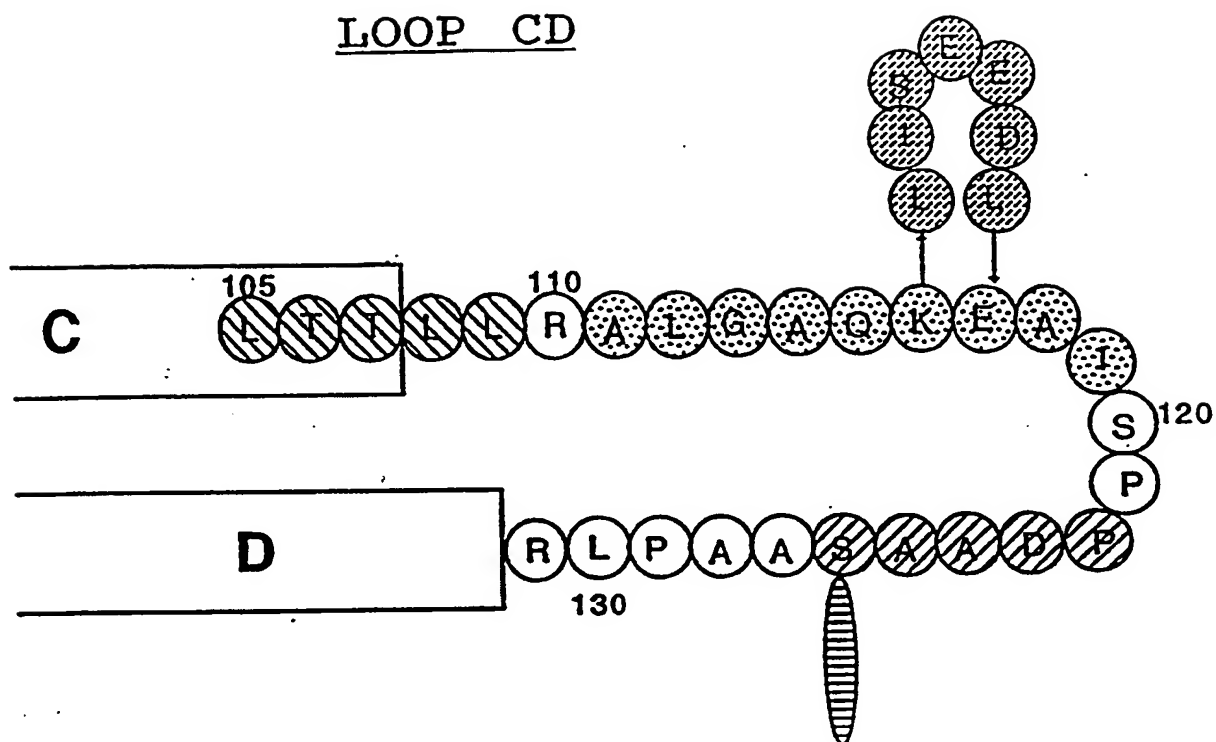
- FIGURE 12 -

FIG.12A

FIG.13

2/16/2007, EAST Version: 2.1.0.14

- FIGURE 13A -

C terminus.

C7

↑

161   166

C R I G D R

K D E L

H H H H H H

- FIGURE 14 -

FIG.14A

$NH_2 - M\ G\ (H)_{10}\ S\ S\ G\ H\ I\ E\ G\ R\ H\ M\ A\ P\ P\ EPO\ COOH$

factor Xa
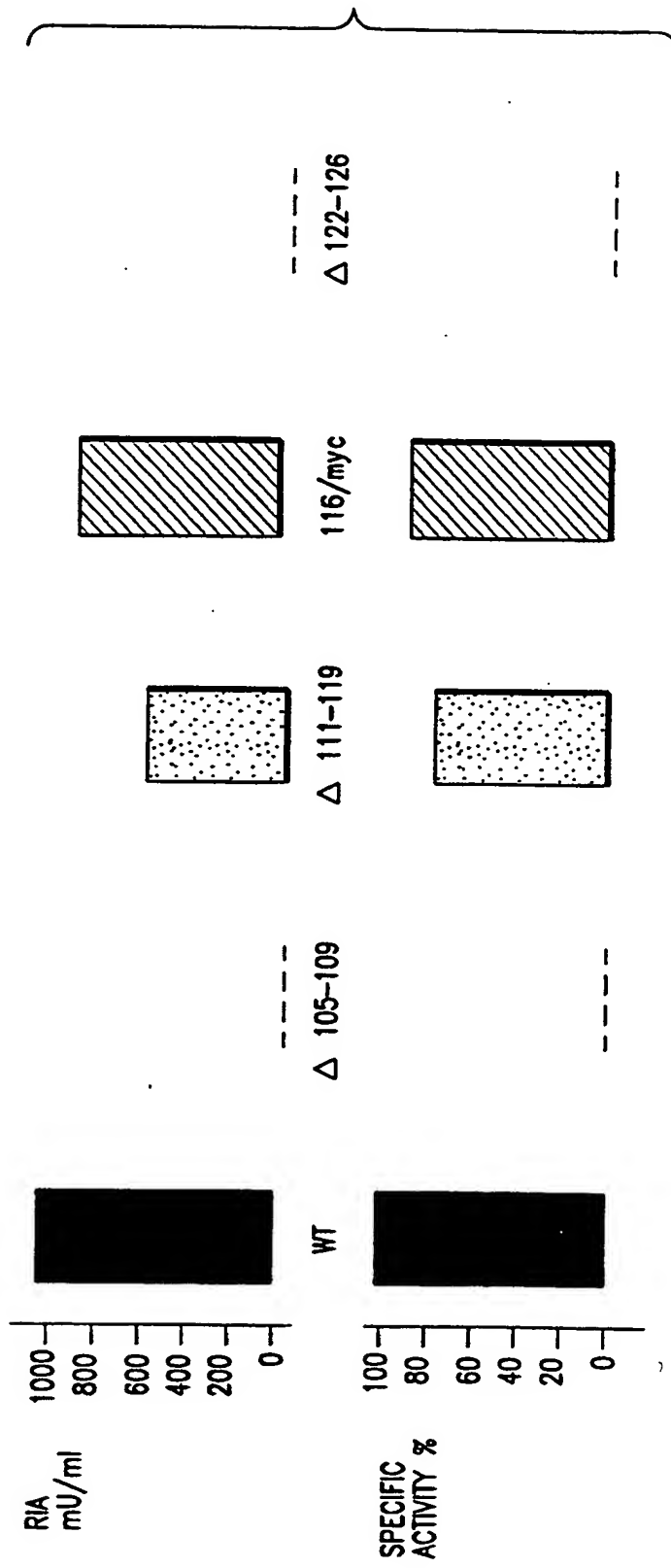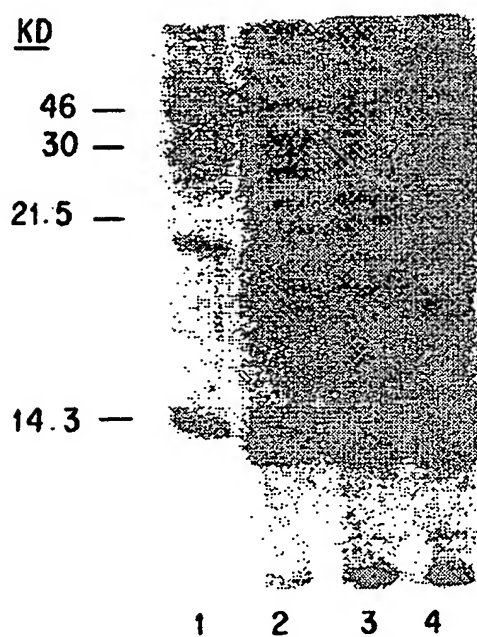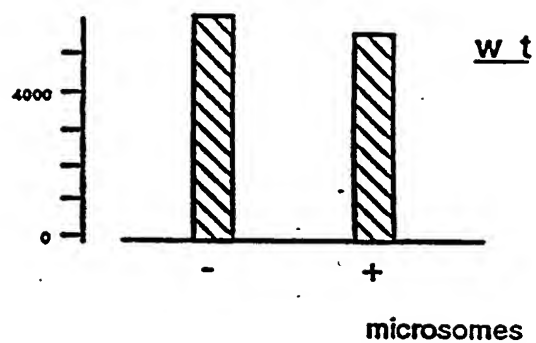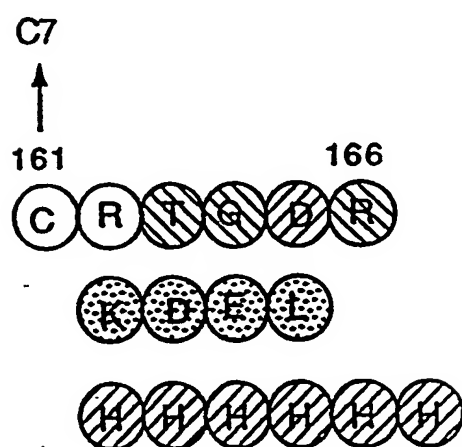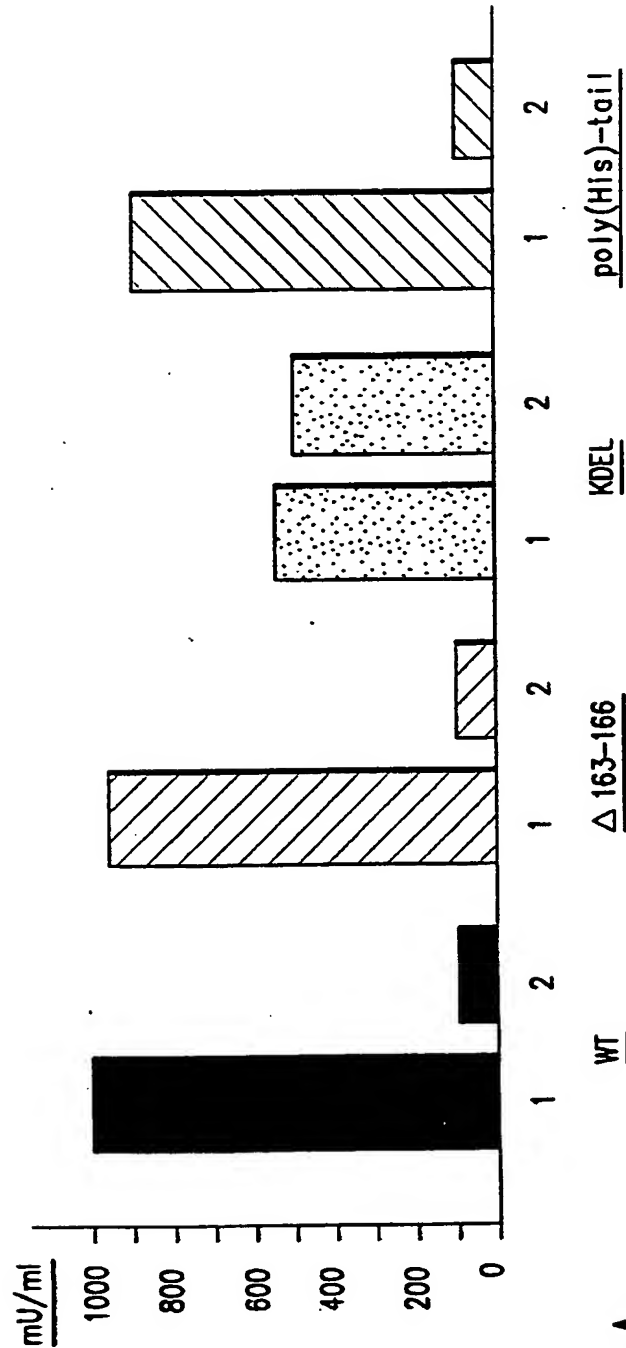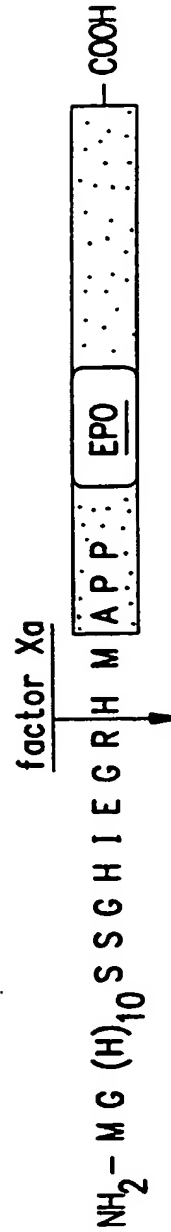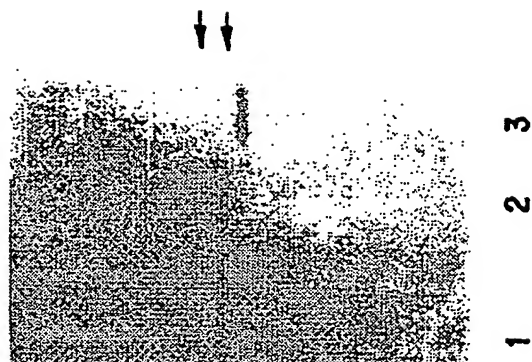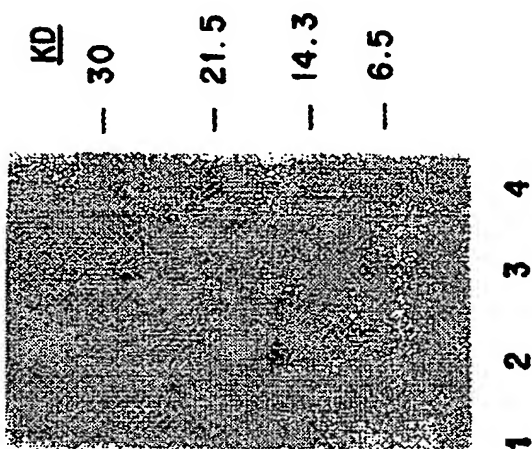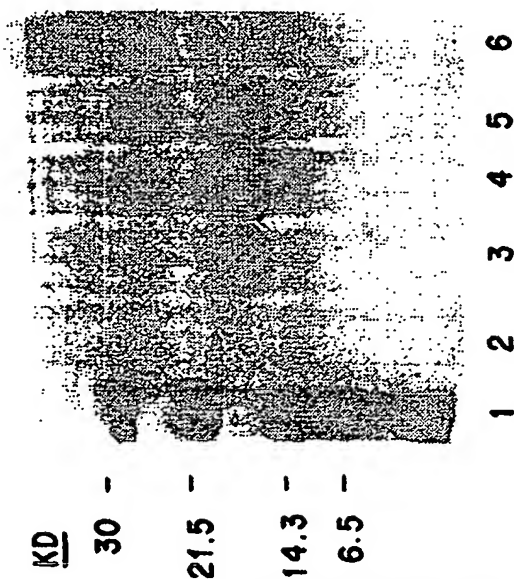
FIG.15

FIG.15A

FIG.15B

FIG.15C
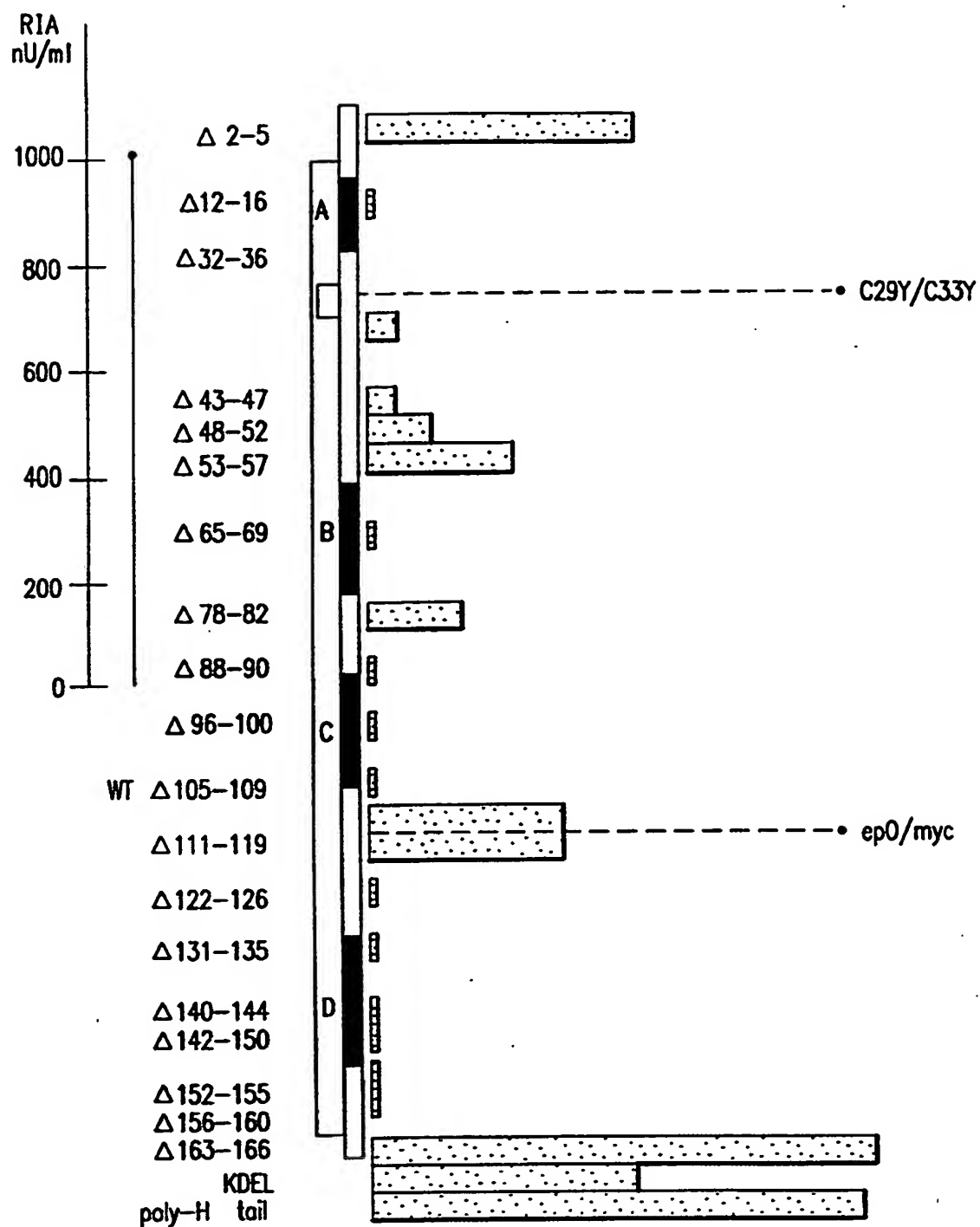
FIG.16
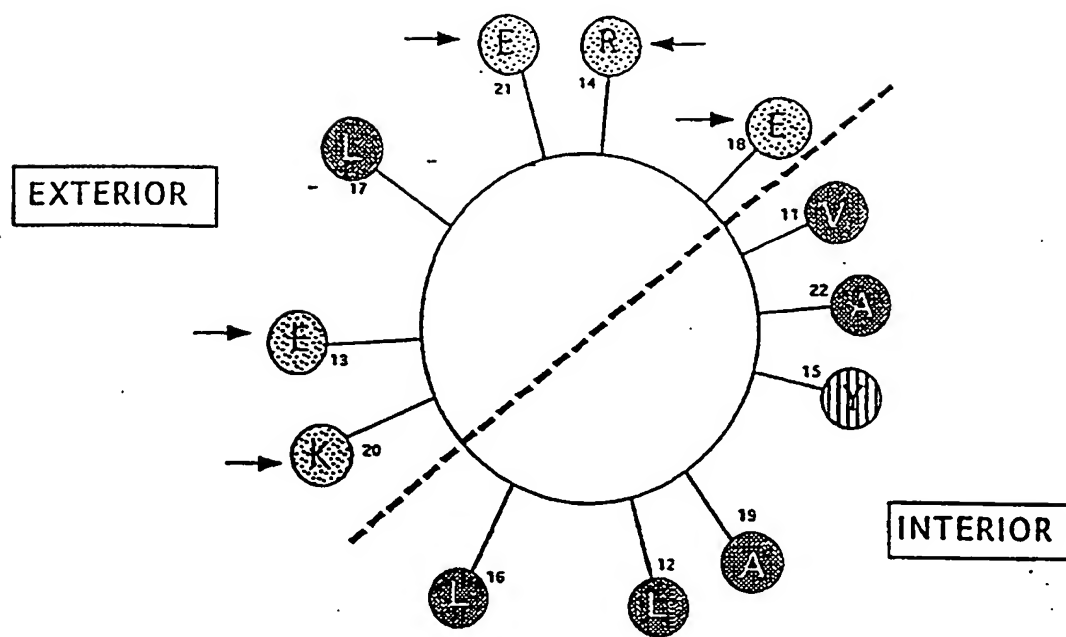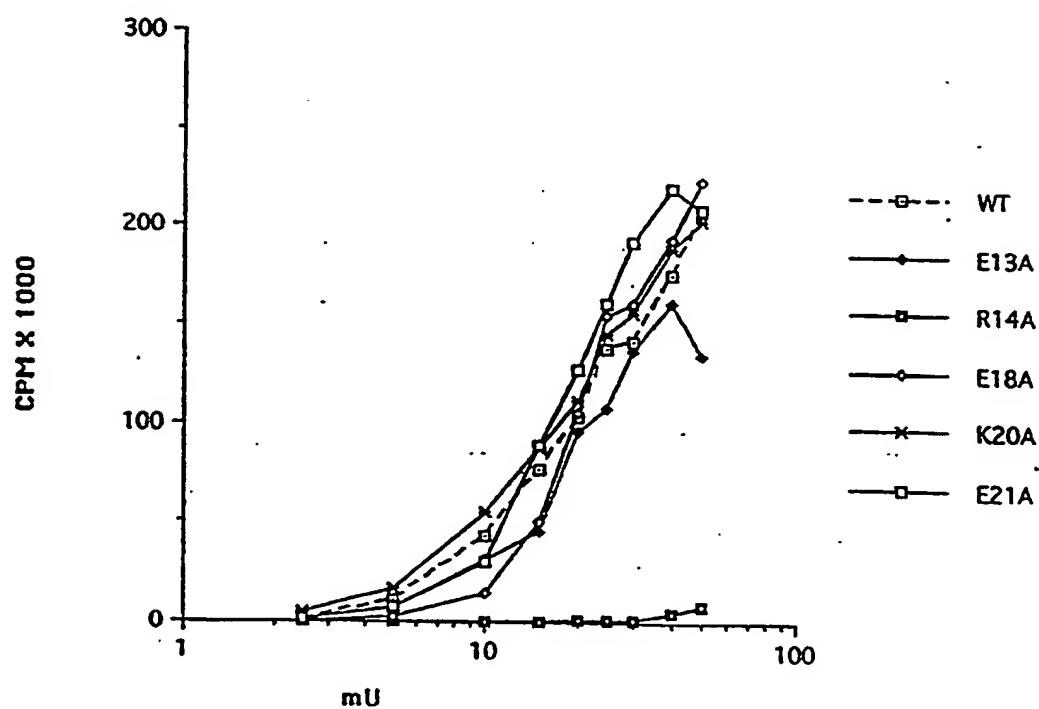
A HELIX

HCD 57

- FIGURE 17 -

D HELIX
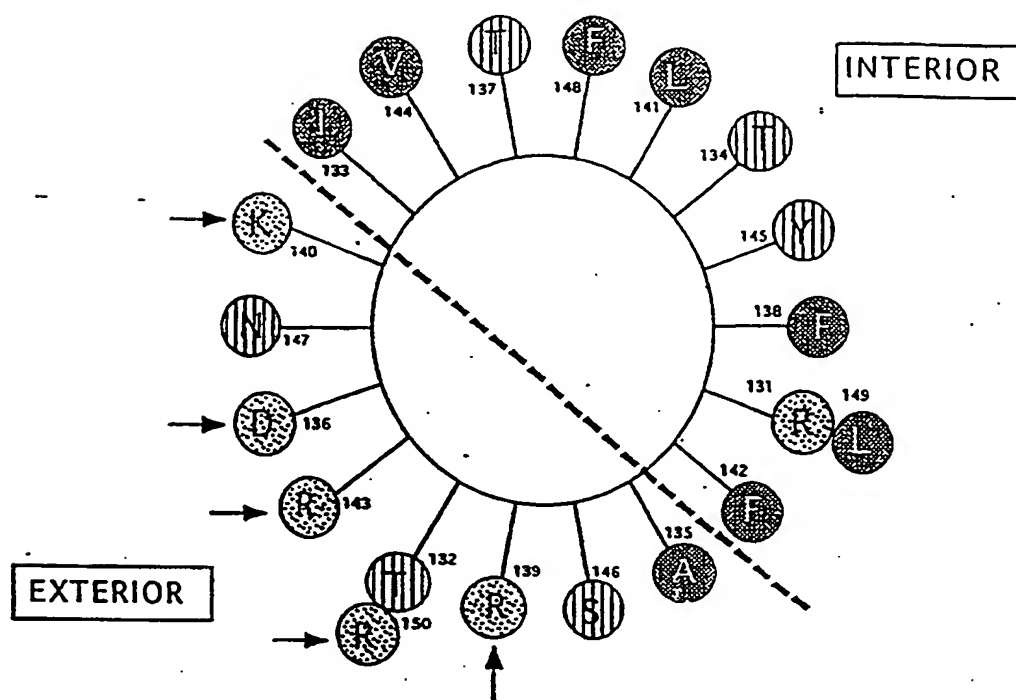
HCD 57
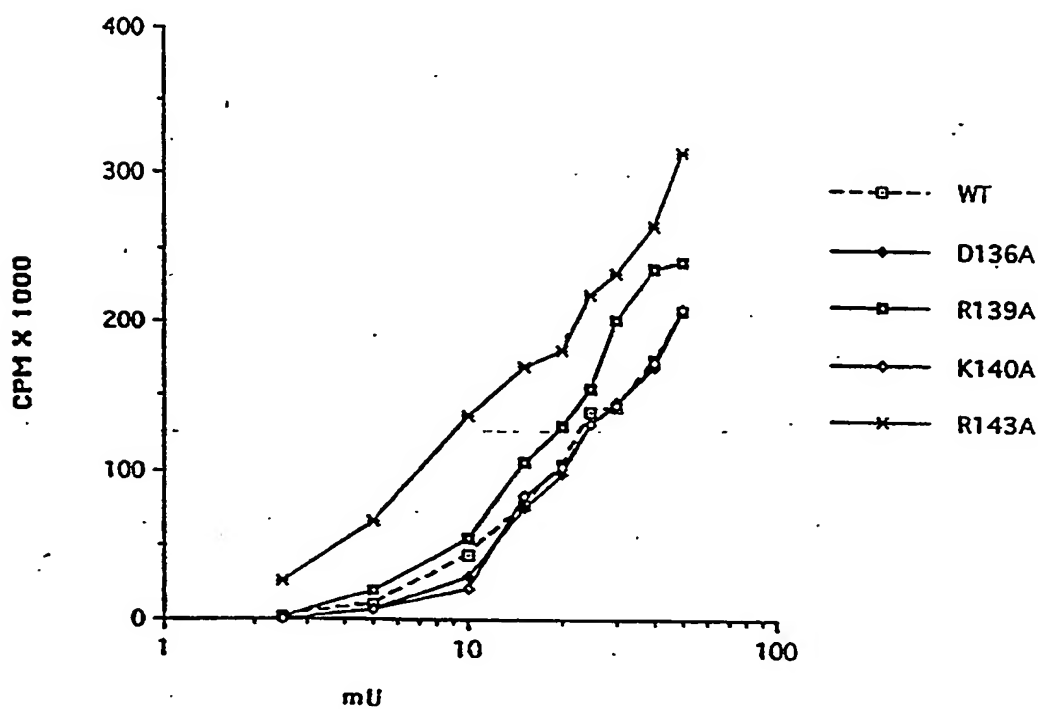
- FIGURE 18 -
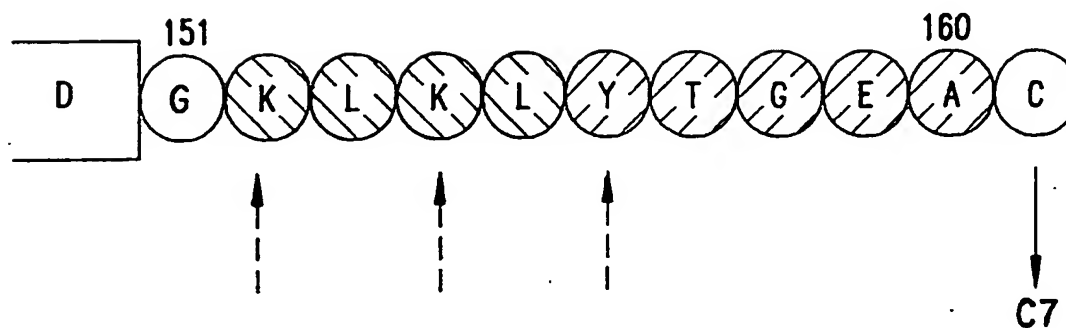
FIG. 19

HCD 57
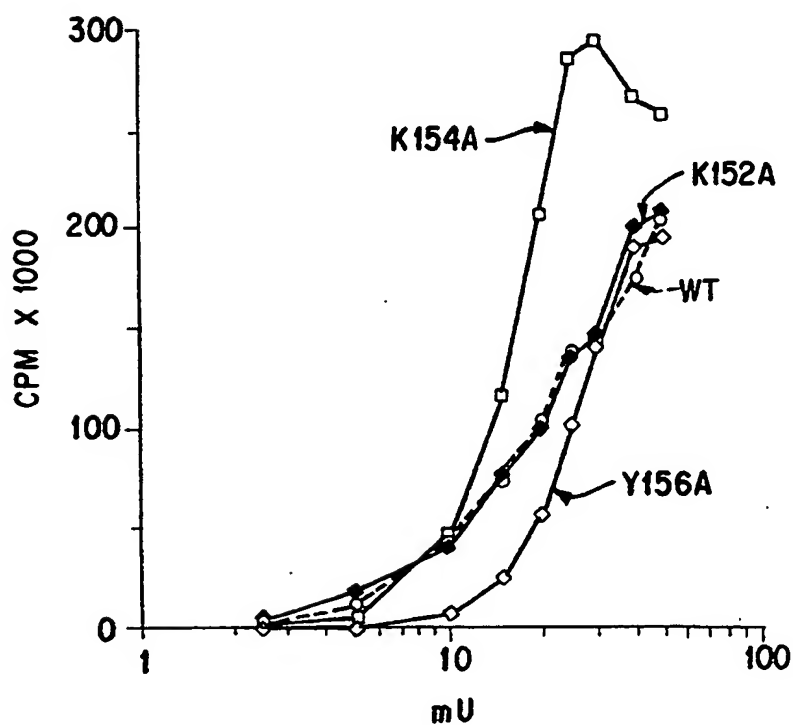
FIG. 19A   SUBSTITUTE SHEET (RULE 26)
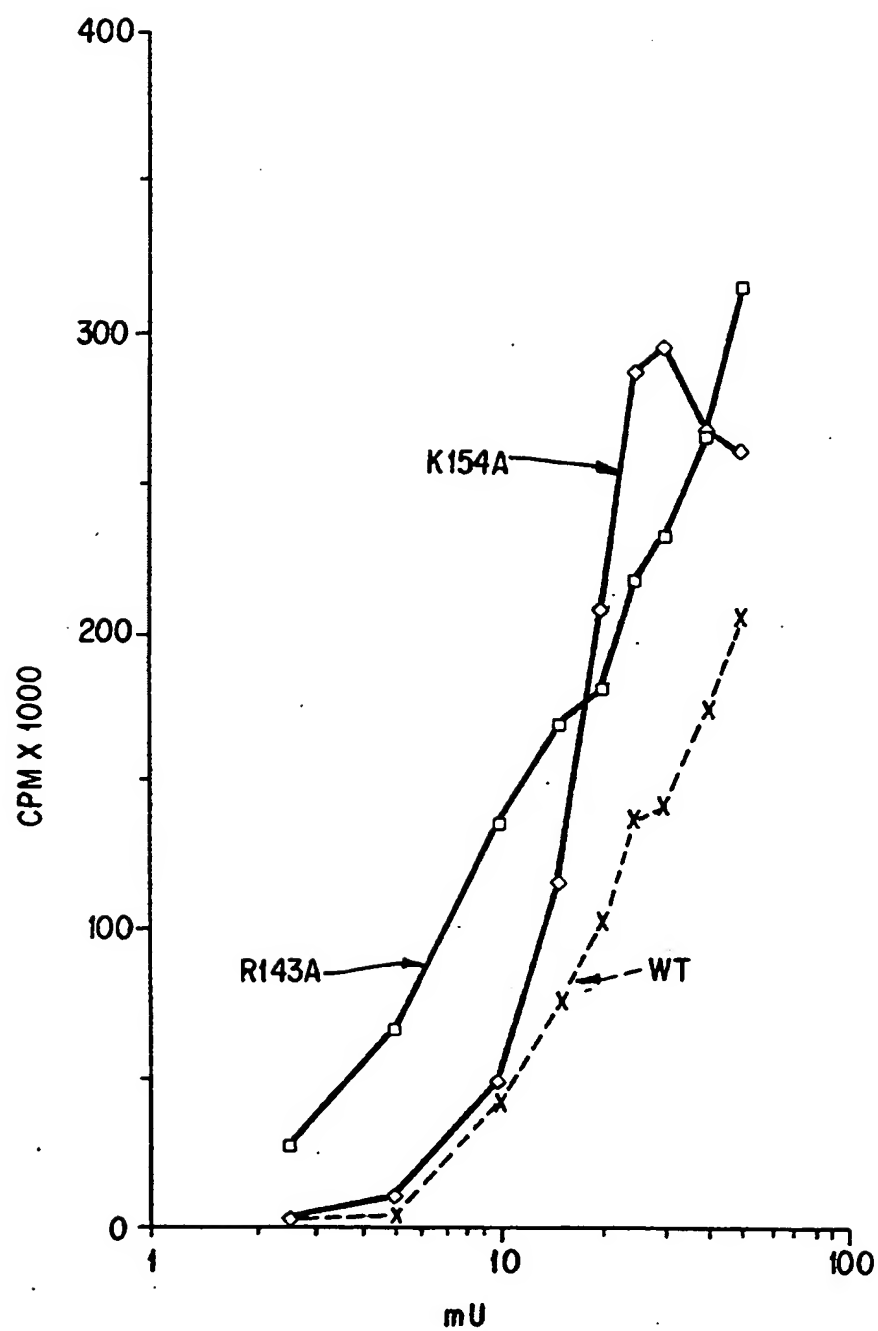
FIG. 20
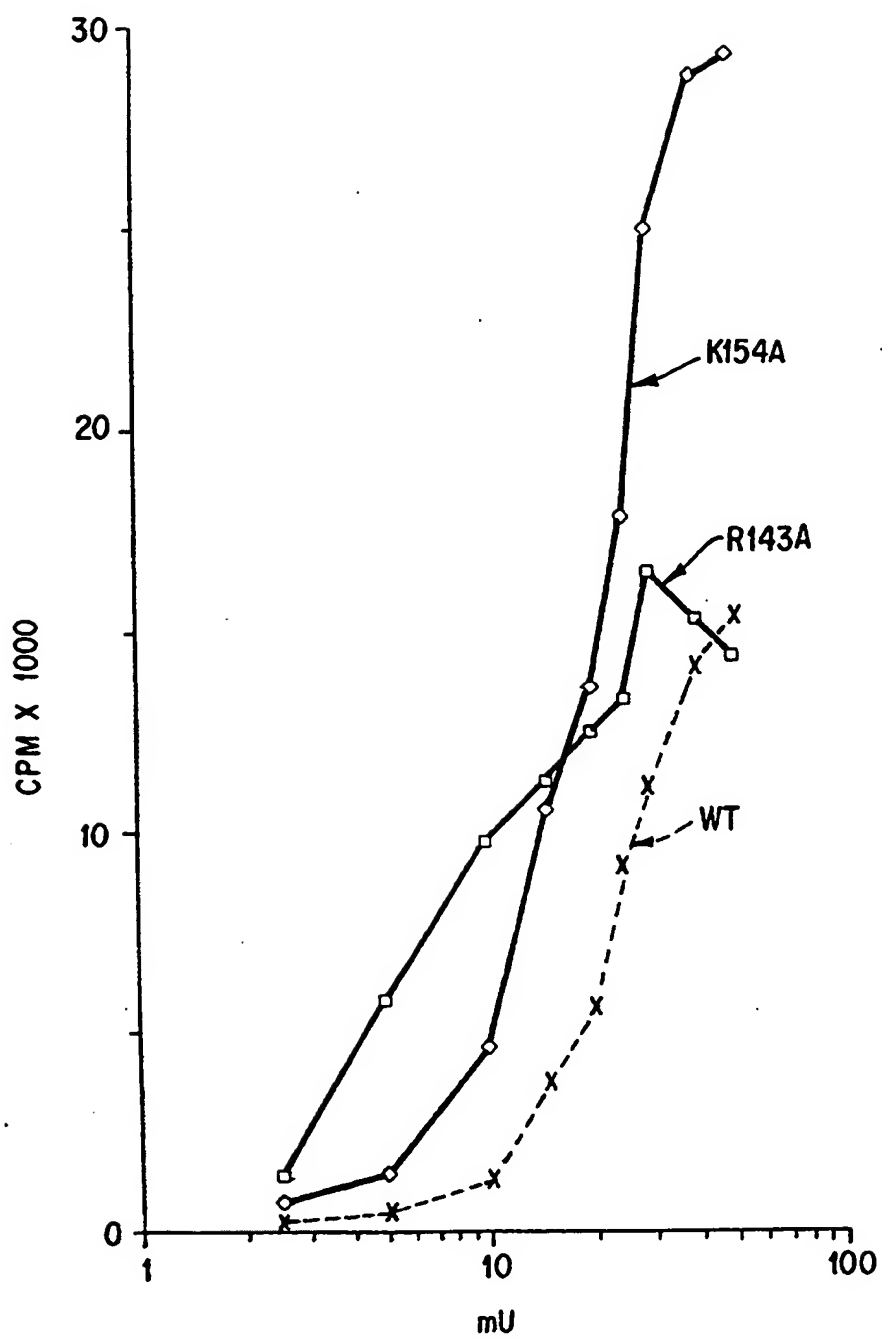
FIG. 21

FIG. 22

Data from "2-1-94 BA UT"

FIGURE 23

FIGURE 24

Data from "3-8-94 BA UT"
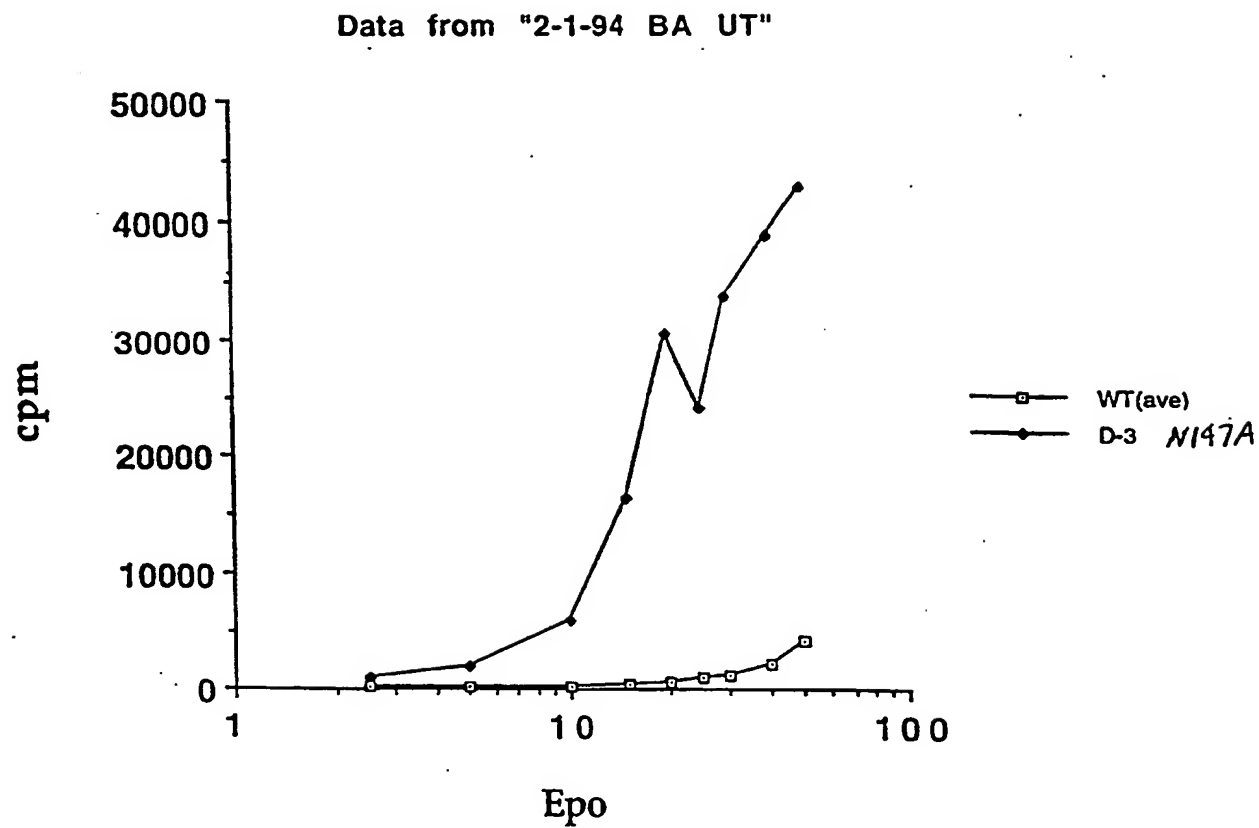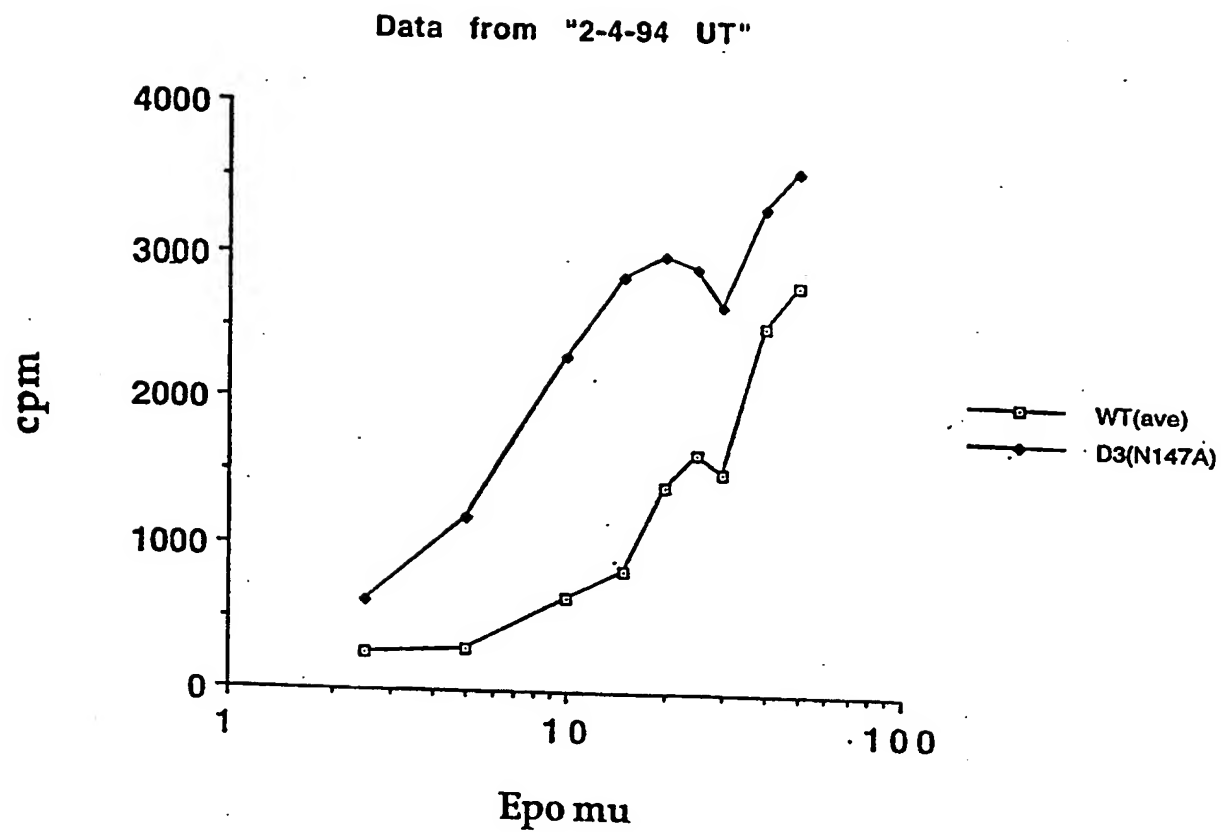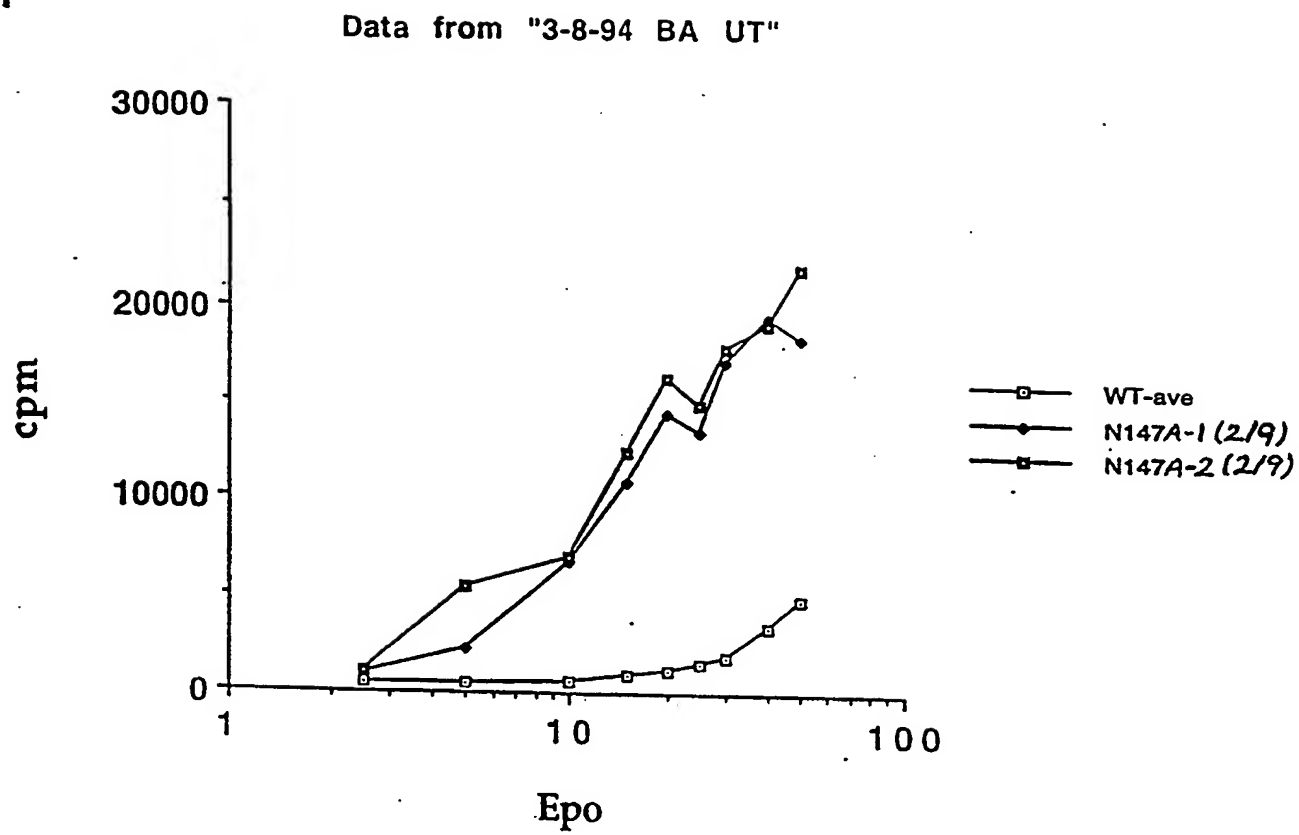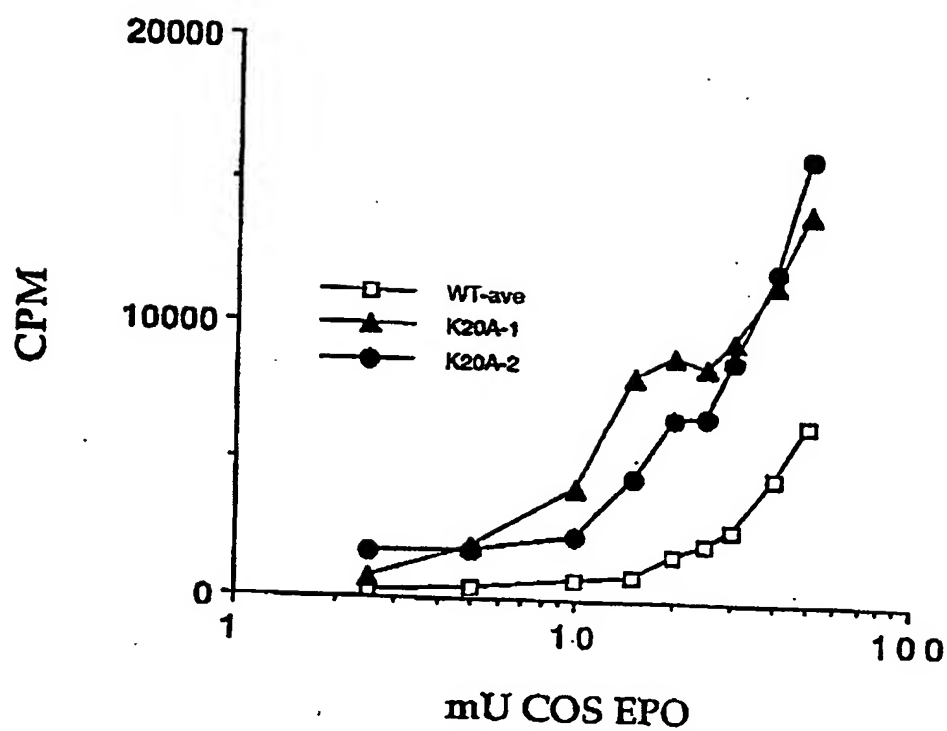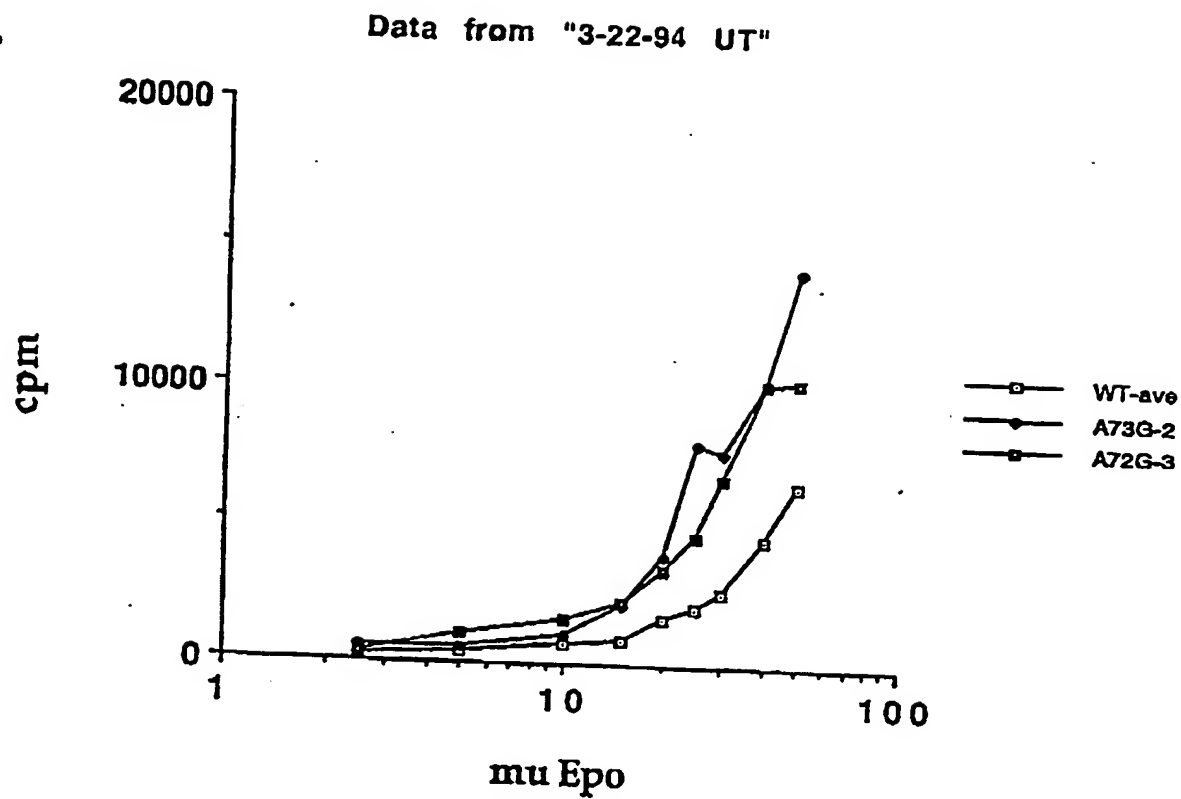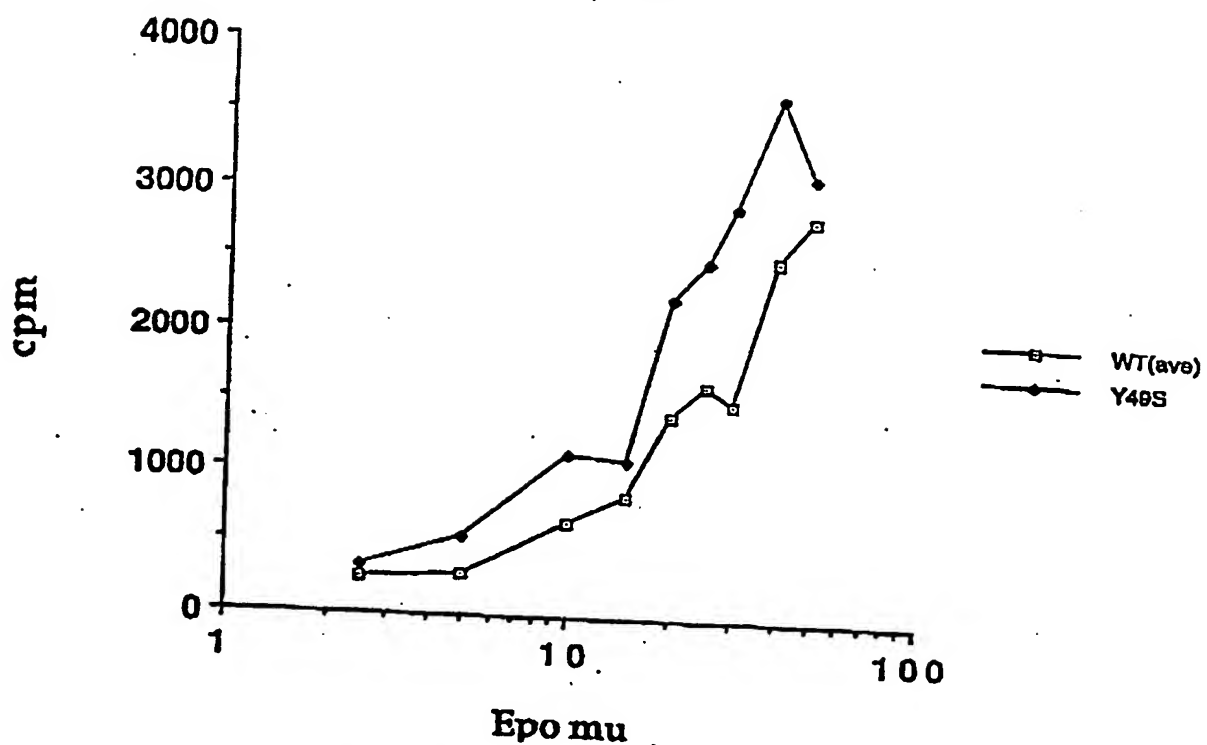
FIGURE 25

FIGURE 26
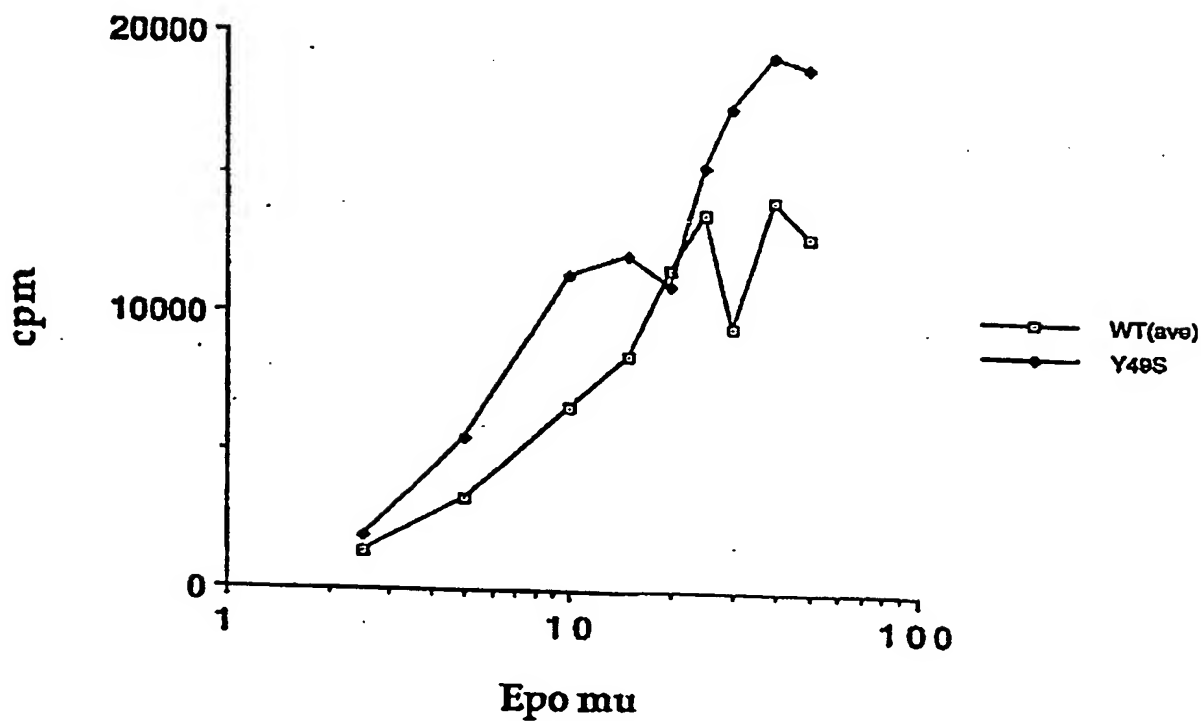
FIGURE 27

FIGURE 28

Data from "3-22-94 UT"

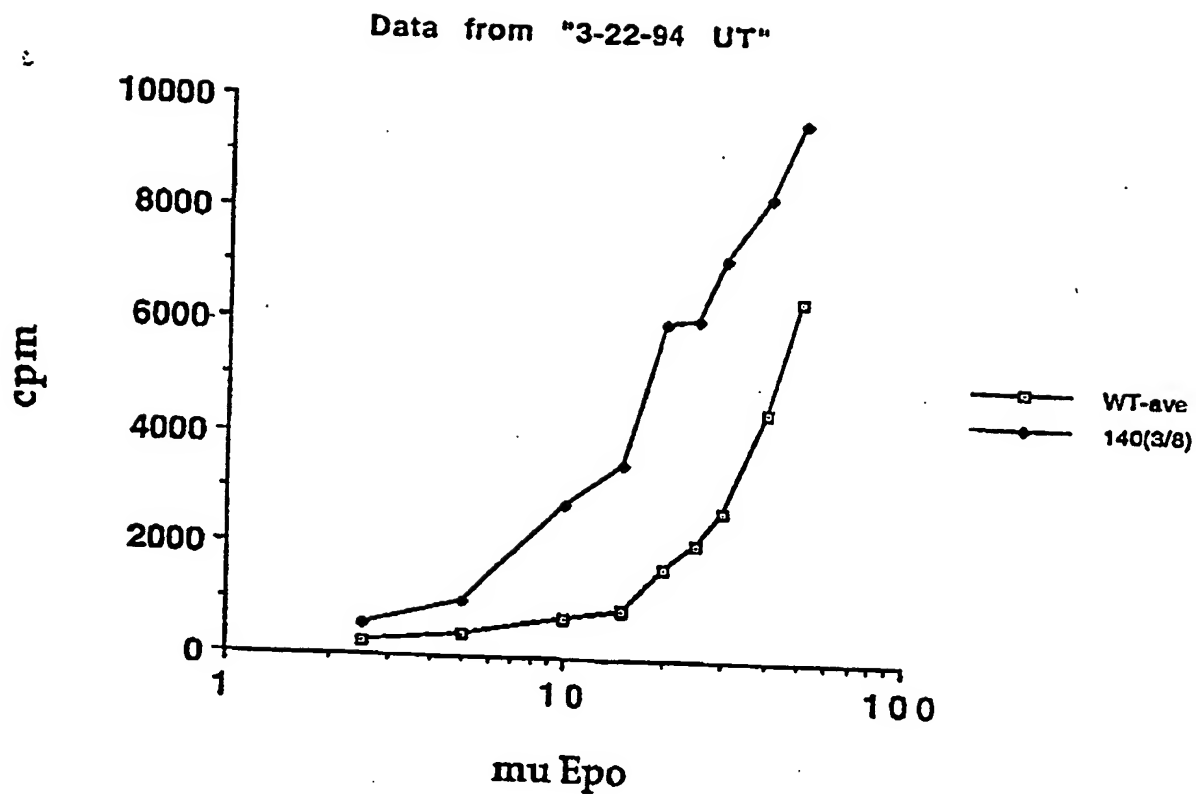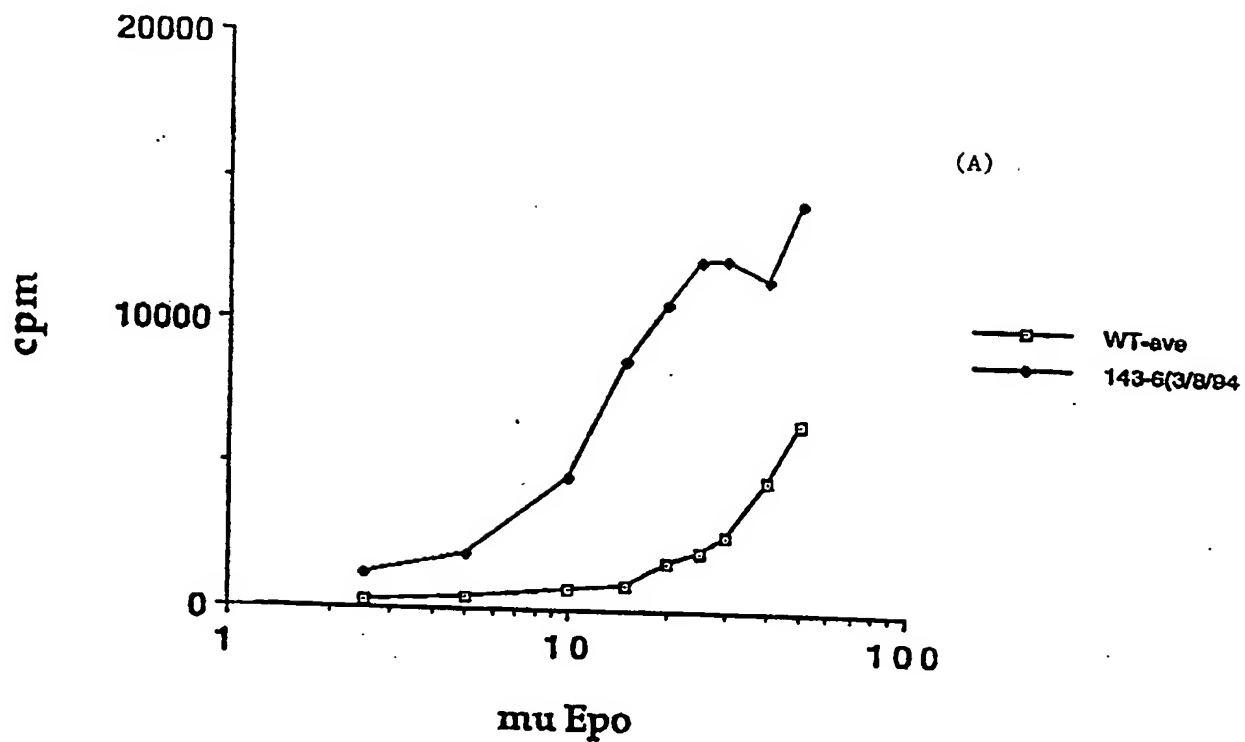FIGURE 29

Data from "3-22-94 UT"

Data from "HCD BA 3-28-94"

FIGURE 30

Data from "3-22-94 UT"
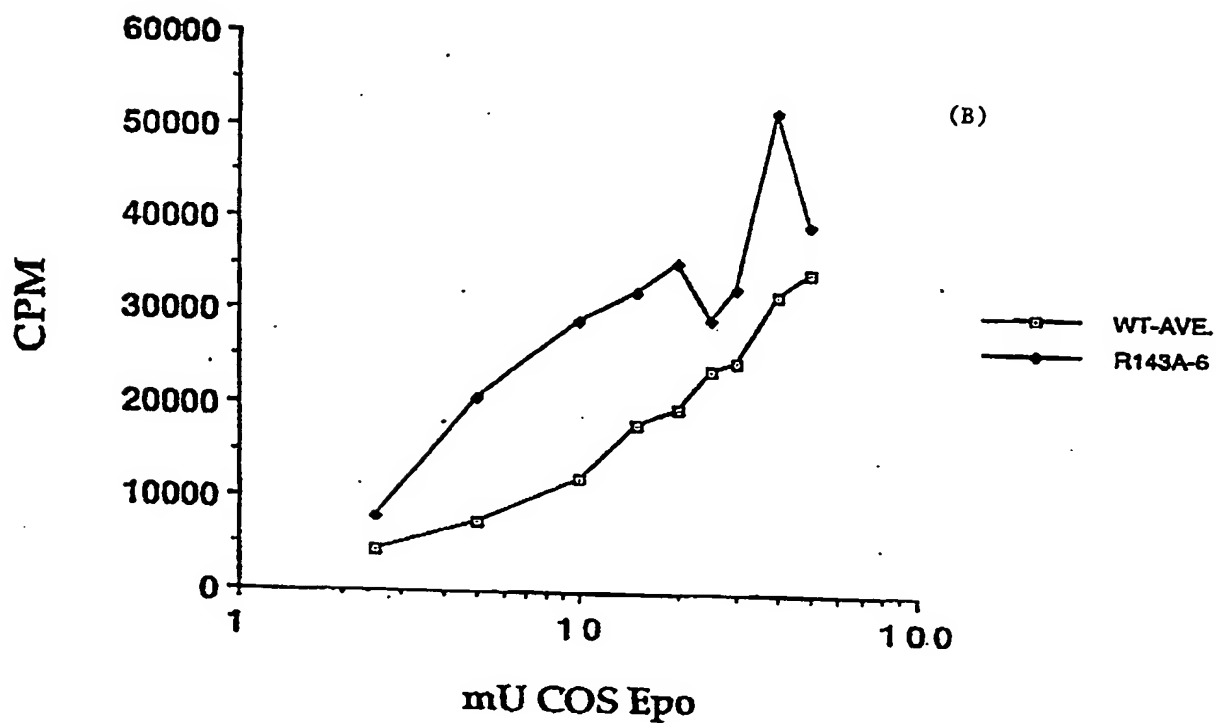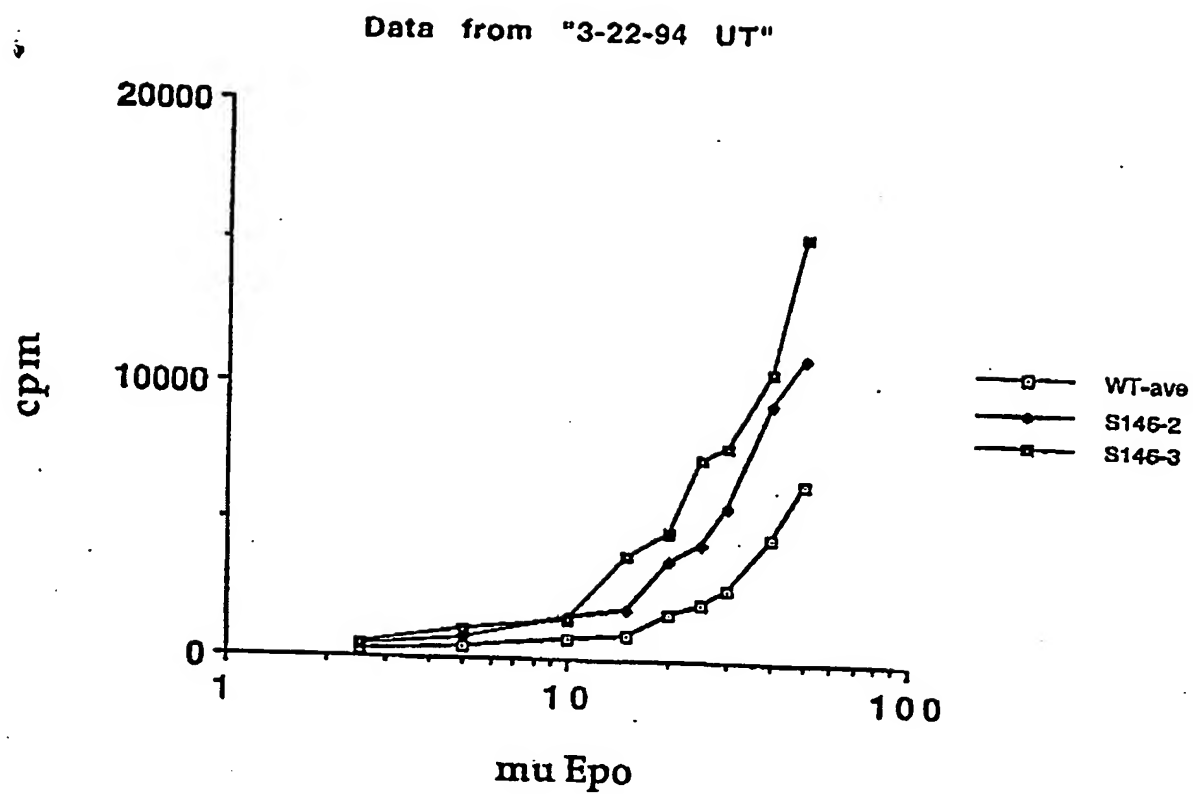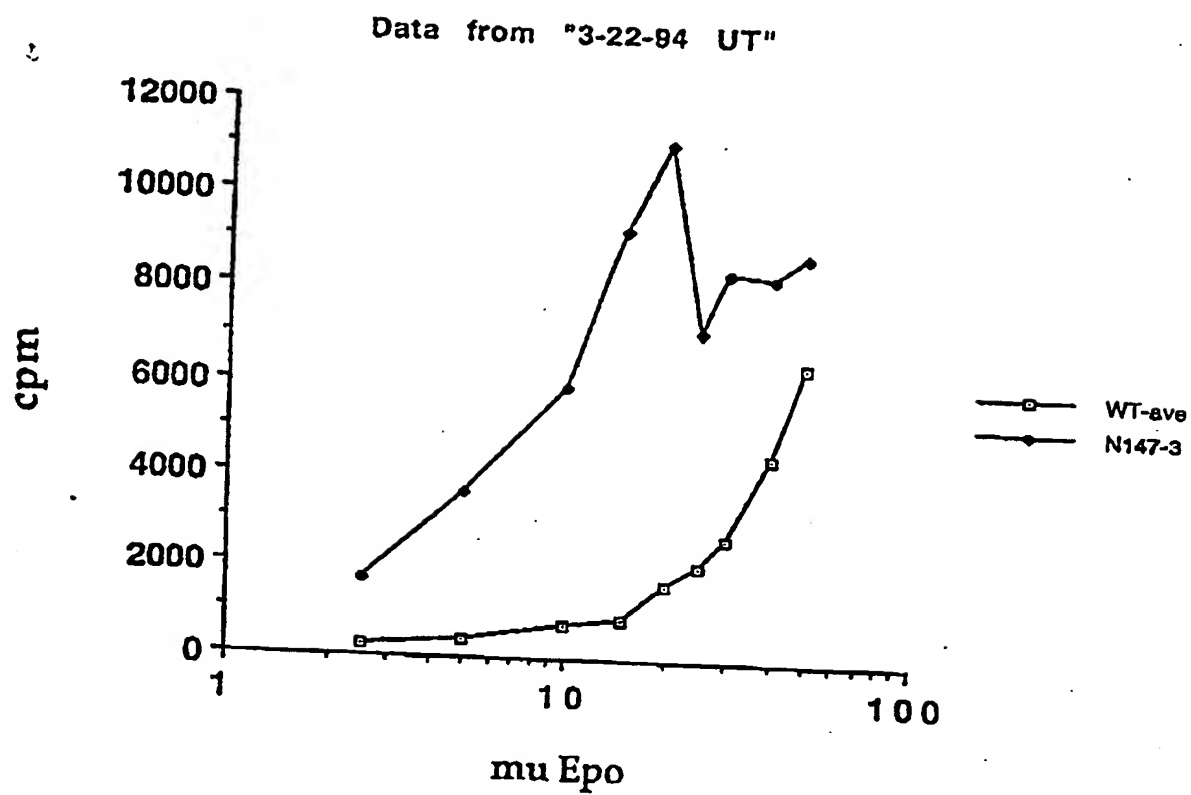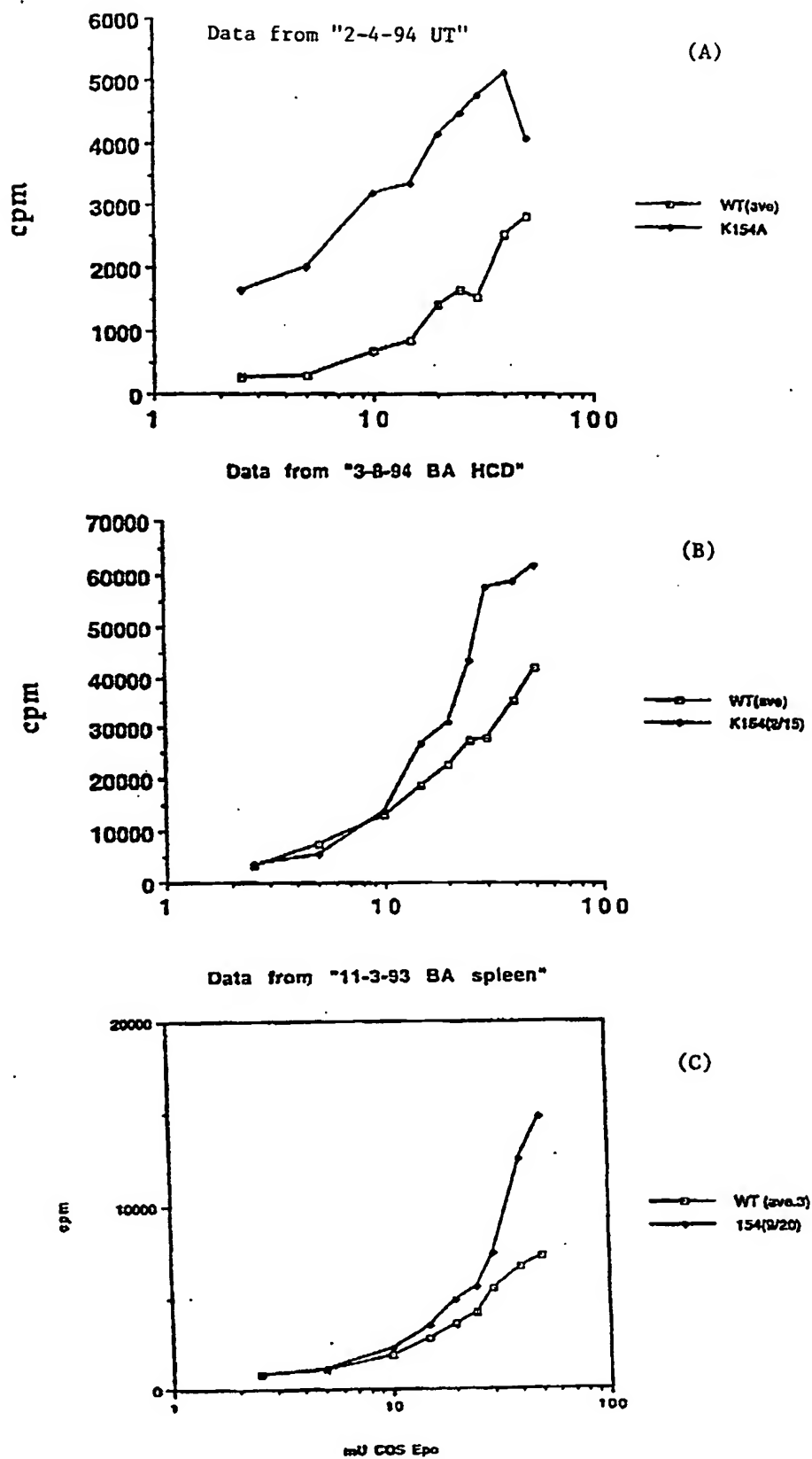

FIGURE 31

FIGURE 32

FIGURE 33

SPECIFIC BIOACTIVITY (% wt h EPO)				
Helix	Mutein	UT7	HCD	Spleen
A	S 9 A	148 ± 35 [3/3]	123 ± 13 [3/3]	–
A	R 10 A	91 [1/1]	123 [1/1]	–
A	E 13 A	111,74 [2/2]	80, 99 [2/2]	–
A	R 14 A	58,56 [2/2]	2, 30 [2/2]	18, 24 [2/2]
A	R 14 L	91 ± 8 [4/6]	86 ± 15 [4/6]	67, 111 [2/2]
A	R 14 E	11 ± 6 [3/5]	12 ± 1 [5/3]	17, 17 [2/2]
A	L 17 A	110 [1/1]	95 [1/1]	–
A	E 18 A	70 ± 17 [3/3]	100, 90 [2/2]	–
A	K 20 A	252 ± 81 [4/4]	103 ± 21 [4/4]	–
A	E 21 A	99, 69 [2/2]	50 [1/1]	–
A–B	C 29 Y / C 33 Y	75 [1/1]	42 [1/1]	–
A–B	K 45 A	110, 101 [2/2]	100, 100 [2/2]	–
A–B	F 48 S	146, 110 [2/2]	100, 61 [2/2]	–
A–B	Y 49 S	150 ± 7 [3/3]	146, 138 [2/2]	–
A–B	A 50 S	128, 92 [2/2]	140, 37 [2/2]	–
A–B	W 51 S	101, 78 [2/2]	89 ± 7 [3/3]	–
A–B	K 52 S	99, 90 [2/2]	99, 88 [2/2]	–
B	Q 59 A	156, 125 [1/2]	130, 134 [1/2]	–
B	E 62 A	101, 85 [2/2]	63,78 [2/2]	82, 111 [2/2]
B	W 64 A	102, 112 [2/2]	87,143 [2/2]	90, 107 [2/2]
B	Q 65 A	96 [1/1]	140 [1/1]	99 [1/1]
B	G 66 A	130 [1/1]	103 [1/1]	85 [1/1]
B	L 69 A	94 [1/1]	84 [1/1]	–
B	S 71 A	64 [1/1]	130 [1/1]	85 [1/1]
B	E 72 A	NS	–	–
B	A 73 G	242 ± 25 [2/3]	104 [1/1]	–
B	R 76 A	109 [1/1]	138 [1/1]	–

FIG.34

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Helix	Mutein	UT7	HCD	Spleen
C	Q 92 A	112 [1/1]	98, 95 [2/2]	113, 91 [2/2]
C	L 93 A	123, 126 [2/2]	127, 95 [2/2]	–
C	H 94 A	NS	–	–
C	D 96 A	NS	–	–
C	K 97 A	84 ± 9 [2/4]	77 ± 27 [2/3]	94 [1/1]
C	S 100 A	98, 85 [2/2]	131 [1/1]	104 [1/1]
C	G 101 A	166 ± 52 [3/3]	146 ± 26 [3/4]	73, 152 [2/2]
C	R 103 A	3 ± 3 [3/5]	7 ± 1 [2/3]	6 [1/1]
C	S 104 A	114 ± 28 [2/4]	35 ± 17 [2/3]	–
C	T 106 A	120 [1/1]	108 [1/1]	–
C–D	L 108 A	158 ± 41 [2/4]	48 ± 6 [2/4]	12, 52 [2/2]
D	D 136 A	108, 114 [2/2]	88 ± 20 [3/3]	–
D	R 139 A	171, 91 [2/2]	120, 109 [2/2]	90, 131 [1/2]
D	K 140 A	189 ± 30 [3/3]	110 ± 19 [3/3]	–
D	R 143 A	276 ± 85 [3/3]	150 ± 27 [3/3]	–
D	S 146 A	198, 269 [1/2]	58, 110 [1/2]	–
D	N 147 A	457 ± 185 [3/6]	115 ± 22 [5/6]	–
D	R 150 A	181 ± 94 [3/4]	92 ± 28 [3/4]	28, 64 [1/2]
D	G 151 A	11 ± 4 [2/3]	6 ± 2 [2/3]	–
D	K 152 A	89, 73 [1/2]	46, 20 [1/2]	16, 35 [1/2]
3'D	L 153 A	95 [1/1]	83 [1/1]	46 [1/2]
3'D	K 154 A	175 ± 60 [3/4]	185 ± 84 [3/5]	82, 157 [1/2]
3'D	L 155 A	95, 128 [2/2]	115 [1/1]	40, 98 [2/2]
3'D	Y 156 A	105 [1/1]	65 ± 12 [3/3]	–
3'D	T 157 A	86, 104 [2/2]	89, 91 [2/2]	102, 104 [2/2]
3'D	G 158 A	84, 109 [2/2]	94, 89 [2/2]	77, 139 [2/2]
3'D	E 159 A	89, 97 [2/2]	101 ± 10 [2/3]	33, 96 [2/2]

FIG.34A

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